## Asymptotic models for internal waves in Oceanography

Vincent Duchêne

École Normale Supérieure de Paris

Applied Mathematics Colloquium APAM – February 01, 2010

Some asymptotic models

The dead water phenomenon 00000000

## Internal waves in ocean



#### The large picture

Figure: Sulu Sea. April 8, 2003<sup>1</sup>

<sup>1</sup>Credits: NASA's Earth Observatory (Picture of the Day July 1, 2003) http://earthobservatory.nasa.gov/IOTD/view.php?id=3586

Some asymptotic models

The dead water phenomenon 00000000

## Internal waves in ocean



Figure: St. Lawrence Estuary<sup>1</sup>

<sup>&</sup>lt;sup>1</sup>Credits: St. Lawrence Estuary Internal Wave Experiment (SLEIWEX) http://myweb.dal.ca/kelley/SLEIWEX/index.php

Two layers of immiscible, homogeneous, ideal, incompressible fluids



The dead water phenomenon 00000000

## Outline

## The full Euler system

- The governing equations
- Reduction of the equations

## 2 Some asymptotic models

- The Boussinesq/Boussinesq models
- Symmetric Boussinesq models
- The KdV approximation

## 3 The dead water phenomenon

- Presentation of the problem
- Asymptotic models



- The governing equations
- Reduction of the equations

### Some asymptotic models

- The Boussinesq/Boussinesq models
- Symmetric Boussinesq models
- The KdV approximation

### The dead water phenomenon

- Presentation of the problem
- Asymptotic models

The dead water phenomenon

The governing equations

## Hypotheses on the fluids

The assumptions	The equations
The fluid is irrotational	$\mathbf{v}_i = \nabla_{\mathbf{x},\mathbf{z}}\phi_i$ $(i=1,2)$
The fluid is homogeneous, incompressible	$\Delta_{x,z}\phi_i = 0$
The fluid is inviscid	$\partial_t \phi_i + \frac{1}{2}  \nabla_{x,z} \phi_i ^2 = -\frac{P}{\rho_i} - gz$
The fluid particles do not cross the bottom	
The fluid particles do not cross the surface	
The particles of the two fluids do not cross	
the interface.	$= \sqrt{1+ \partial_x\zeta_2 ^2}\partial_n\phi_2$ on $\Gamma_2$ .

The dead water phenomenon

The governing equations

## Hypotheses on the fluids

The assumptions	The equations
The fluid is irrotational	$\mathbf{v}_i = \nabla_{\mathbf{x},\mathbf{z}}\phi_i$ $(i=1,2)$
The fluid is homogeneous, incompressible	$\Delta_{x,z}\phi_i = 0$
The fluid is inviscid	$\partial_t \phi_i + \frac{1}{2}  \nabla_{x,z} \phi_i ^2 = -\frac{P}{\rho_i} - gz$
The fluid particles do not cross the bottom	$\partial_z \phi_2 = 0$ on $\Gamma_b$
The fluid particles do not cross the surface	$\partial_t \zeta_1 = \sqrt{1 +  \partial_x \zeta_1 ^2} \partial_n \phi_1$ on $\Gamma_1$
The particles of the two fluids do not cross	$\partial_t \zeta_2 = \sqrt{1 +  \partial_x \zeta_2 ^2} \partial_n \phi_1$
the interface.	$= \sqrt{1+ \partial_x\zeta_2 ^2}\partial_n\phi_2  \text{on } \Gamma_2.$

The dead water phenomenon 00000000

The governing equations

## Hypotheses on the fluids

The assumptions	The equations
The fluid is irrotational	$\mathbf{v}_i = \nabla_{\mathbf{x},\mathbf{z}}\phi_i$ $(i=1,2)$
The fluid is homogeneous, incompressible	$\Delta_{x,z}\phi_i = 0$
The fluid is inviscid	$\partial_t \phi_i + \frac{1}{2}  \nabla_{x,z} \phi_i ^2 = -\frac{P}{\rho_i} - gz$
The fluid particles do not cross the bottom	$\partial_z \phi_2 = 0$ on $\Gamma_b$
The fluid particles do not cross the surface	$\partial_t \zeta_1 \;=\; \sqrt{1+ \partial_x \zeta_1 ^2} \partial_n \phi_1  \text{ on } \Gamma_1$
The particles of the two fluids do not cross	$\partial_t \zeta_2 = \sqrt{1 +  \partial_x \zeta_2 ^2} \partial_n \phi_1$
the interface.	$= \sqrt{1+ \partial_x\zeta_2 ^2}\partial_n\phi_2  \text{on } \Gamma_2.$

## Additional assumptions

The fluid is at rest at infinity

The pressure P is constant at the surface, and continuous at the interface

There is no surface tension

Some asymptotic models

The dead water phenomenon 00000000

Reduction of the equations

## Dirichlet-Neumann operators

The equations can be reduced to evolution equations located on the surface and on the interface thanks to the following operators



Some asymptotic models

The dead water phenomenon 00000000

Reduction of the equations

## Dirichlet-Neumann operators

The equations can be reduced to evolution equations located on the surface and on the interface thanks to the following operators



Some asymptotic models

The dead water phenomenon 00000000

Reduction of the equations

## Dirichlet-Neumann operators

The equations can be reduced to evolution equations located on the surface and on the interface thanks to the following operators

## Definition (Dirichlet-Neumann operators)

The following operators are well-defined:

$$\begin{split} G_2\psi_2 &\equiv \sqrt{1+|\partial_x\zeta_2|^2}\partial_n\phi_2_{|\text{interface}},\\ G_1(\psi_1,\psi_2) &\equiv \sqrt{1+|\partial_x\zeta_1|^2}\partial_n\phi_1_{|\text{surface}},\\ H(\psi_1,\psi_2) &\equiv \partial_x\Big(\phi_1_{|z=\zeta_2}\Big). \end{split}$$

Therefore, the system is entirely defined by

$$\zeta_1$$
 ;  $\zeta_2$  ;  $\psi_1 \equiv \phi_{1|\text{surface}}$  ;  $\psi_2 \equiv \phi_{2|\text{interface}}$ 

The full Euler system	Some asymptotic models	The dead water phenomenon
000		
Reduction of the equations		

Thanks to the the previous definitions, and after an adapted change of variables (obtained through the study of the linearized system), one obtains

The dimensionless full Euler system

$$\begin{split} (\Sigma) \\ \begin{cases} \partial_t \zeta_1 &- \frac{1}{\varepsilon} G_1(\psi_1, \psi_2) = 0, \\ \partial_t \zeta_2 &- \frac{1}{\varepsilon} G_2 \psi_2 = 0, \\ \partial_t \partial_x \psi_1 &+ \partial_x \zeta_1 + \frac{\varepsilon}{2} \partial_x (|\partial_x \psi_1|^2) - \varepsilon^2 \partial_x \mathcal{N}_1 = 0, \\ \partial_t (\partial_x \psi_2 - \gamma H(\psi_1, \psi_2)) &+ (1 - \gamma) \partial_x \zeta_2 &+ \frac{\varepsilon}{2} \partial_x (|\partial_x \psi_2|^2 - \gamma |H(\psi_1, \psi_2)|^2) \\ &- \varepsilon^2 \partial_x \mathcal{N}_2 &= 0, \end{split}$$

Solutions of this system are <u>exact</u> solutions of our problem. We construct then asymptotic models, and therefore look for approximate solutions.

- The governing equations
- Reduction of the equations

### Some asymptotic models

- The Boussinesq/Boussinesq models
- Symmetric Boussinesq models
- The KdV approximation

### The dead water phenomenon

- Presentation of the problem
- Asymptotic models

The dead water phenomenon 00000000

## State of the art

- The one-layer problem in the long wave regime
  - Justification of asymptotic Boussinesq model and KdV approximation: [Craig 1985], [Schneider, Wayne 2000], [BenYoussef, Colin 2000], [Bona, Colin, Lannes 2005], [Alvarez-Samaniego, Lannes 2008]
- The two-layer problem, with a rigid lid
  - KdV equations for internal waves [Keulegan, 1953], [Long, 1956]
  - Boussinesq-type models [Miyata 1985], [Choi, Camassa 1996]
  - Hamiltonian formulation [Lvov, Tabak 2004], [Craig, Guyenne, Kalisch 2005]
  - Consistency of Boussinesq-type models [Bona, Lannes, Saut 2008] (*d* = 1 or 2, with topography)
  - Stability of the flow [Chumakova, Menzaque, Milewski, Rosales, Tabak, Turner 2004]
- The two-layer problem, with a free surface
  - The KdV equations [Peters, Stoker 1960]
  - Boussinesq-type models [Matsuno 1993], [Choi, Camassa 1996]

The dead water phenomenon 00000000

## State of the art

- The one-layer problem in the long wave regime
  - Justification of asymptotic Boussinesq model and KdV approximation: [Craig 1985], [Schneider, Wayne 2000], [BenYoussef, Colin 2000], [Bona, Colin, Lannes 2005], [Alvarez-Samaniego, Lannes 2008]
- The two-layer problem, with a rigid lid
  - KdV equations for internal waves [Keulegan, 1953], [Long, 1956]
  - Boussinesq-type models [Miyata 1985], [Choi, Camassa 1996]
  - Hamiltonian formulation [Lvov, Tabak 2004], [Craig, Guyenne, Kalisch 2005]
  - Consistency of Boussinesq-type models [Bona, Lannes, Saut 2008] (d = 1 or 2, with topography)
  - Stability of the flow [Chumakova, Menzaque, Milewski, Rosales, Tabak, Turner 2004]
- The two-layer problem, with a free surface
  - The KdV equations [Peters, Stoker 1960]
  - Boussinesq-type models [Matsuno 1993], [Choi, Camassa 1996]

The dead water phenomenon 00000000

## State of the art

- The one-layer problem in the long wave regime
  - Justification of asymptotic Boussinesq model and KdV approximation: [Craig 1985], [Schneider, Wayne 2000], [BenYoussef, Colin 2000], [Bona, Colin, Lannes 2005], [Alvarez-Samaniego, Lannes 2008]
- The two-layer problem, with a rigid lid
  - KdV equations for internal waves [Keulegan, 1953], [Long, 1956]
  - Boussinesq-type models [Miyata 1985], [Choi, Camassa 1996]
  - Hamiltonian formulation [Lvov, Tabak 2004], [Craig, Guyenne, Kalisch 2005]
  - Consistency of Boussinesq-type models [Bona, Lannes, Saut 2008] (d = 1 or 2, with topography)
  - Stability of the flow [Chumakova, Menzaque, Milewski, Rosales, Tabak, Turner 2004]
- The two-layer problem, with a free surface
  - The KdV equations [Peters, Stoker 1960]
  - Boussinesq-type models [Matsuno 1993], [Choi, Camassa 1996]

#### The Boussinesq/Boussinesq models



### The full Euler system

- The governing equations
- Reduction of the equations

### Some asymptotic models

- The Boussinesq/Boussinesq models
- Symmetric Boussinesq models
- The KdV approximation

### The dead water phenomenon

- Presentation of the problem
- Asymptotic models

Some asymptotic models

The dead water phenomenon 00000000

The Boussinesq/Boussinesq models

## Asymptotic expansion of the operators

### Proposition

Let 
$$s > 1$$
,  $\zeta_1$ ,  $\zeta_2$ ,  $\psi_1$ ,  $\psi_2 \in H^{s+t}(\mathbb{R})$ . Then one has  

$$\begin{vmatrix} G_2\psi_2 + \varepsilon\partial_x(h_2\partial_x\psi_2) + \varepsilon^2 \frac{1}{3\delta^3}\partial_x^3\partial_x\psi_2) \end{vmatrix}_{H^s} \leq \varepsilon^3 C \\
\begin{vmatrix} G_1(\psi_1, \psi_2) + \varepsilon\partial_x(h_1\partial_x\psi_1 + h_2\partial_x\psi_2) \\
+ \varepsilon^2\partial_x^3 \Big(\frac{1}{3}\partial_x\psi_1 + \Big(\frac{1}{3\delta^3} + \frac{1}{2\delta}\Big)\partial_x\psi_2\Big) \end{vmatrix}_{H^s} \leq \varepsilon^3 C, \\
\end{vmatrix}$$

$$\begin{vmatrix} H(\psi_1, \psi_2) - \partial_x\psi_1 - \varepsilon\partial_x^2 \Big(\frac{1}{2}\partial_x\psi_1 + \frac{1}{\delta}\partial_x\psi_2\Big) \end{vmatrix}_{H^s} \leq \varepsilon^2 C, \end{aligned}$$

Notations:  $h_1 = 1 + \varepsilon \zeta_1 - \varepsilon \zeta_2$  and  $h_2 = \frac{1}{\delta} + \varepsilon \zeta_2$ .

Some asymptotic models

The dead water phenomenon 00000000

The Boussinesq/Boussinesq models

## The Boussinesq/Boussinesq models

## A Boussinesq/Boussinesq model

$$\begin{aligned} \partial_t \zeta_1 &+ \partial_x (h_1 \partial_x \psi_1) + \partial_x (h_2 \partial_x \psi_2) = -\varepsilon \left(\frac{1}{3} \partial_x^4 \psi_1 + \left(\frac{1}{3\delta^3} + \frac{1}{2\delta}\right) \partial_x^4 \psi_2\right), \\ \partial_t \zeta_2 &+ \partial_x (h_2 \partial_x \psi_2) = -\varepsilon \frac{1}{3\delta^3} \partial_x^4 \psi_2, \\ \partial_t \partial_x \psi_1 &+ \partial_x \zeta_1 + \frac{\varepsilon}{2} \partial_x \left(|\partial_x \psi_1|^2\right) = 0, \\ \partial_t \partial_x \psi_2 &+ (1 - \gamma) \partial_x \zeta_2 + \gamma \partial_x \zeta_1 + \frac{\varepsilon}{2} \partial_x \left(|\partial_x \psi_2|^2\right) \\ &= \varepsilon \partial_t \partial_x^2 \left(\frac{\gamma}{\delta} \partial_x \psi_2 + \frac{\gamma}{2} \partial_x \psi_1\right), \end{aligned}$$

 $\hookrightarrow \quad \partial_t U + A_0 \partial_x U + \varepsilon (A_1(U) \partial_x U + B \partial_x^2 \partial_t U + C \partial_x^3 U) = 0$ 

with  $U = (\zeta_1, \zeta_2, \partial_x \psi_1, \partial_x \psi_2).$ 

### Proposition (consistency)

The full Euler system is consistent with the Boussinesq/Boussinesq model, with precision  $\mathcal{O}(\varepsilon^2).$ 

Some asymptotic models

The dead water phenomenon 00000000

The Boussinesq/Boussinesq models

## The Boussinesq/Boussinesq models

## A Boussinesq/Boussinesq model

 $(\mathcal{M}_B) \ \partial_t U \ + \ A_0 \partial_x U \ + \ \varepsilon \left( \ A_1(U) \partial_x U \ + \ B \partial_x^2 \partial_t U \ + \ C \partial_x^3 U \ \right) \ = \ 0.$ 

### Proposition (consistency)

The full Euler system is consistent with the Boussinesq/Boussinesq model, with precision  $\mathcal{O}(\varepsilon^2)$ .

Let U be a strong solution of the full Euler system ( $\Sigma$ ), uniformly bounded in a sufficiently high Sobolev norm. Then U satisfies the Boussinesq/Boussinesq model, up to some residuals, bounded (in  $H^s$  norm) by  $\epsilon^2 C_0$ .

## **Open questions:**

- Well-posedness of any Boussinesq/Boussinesq system?
- Convergence of its solutions towards solutions of the full Euler system?

#### Symmetric Boussinesq models



### The full Euler system

- The governing equations
- Reduction of the equations

### 2 Some asymptotic models

- The Boussinesq/Boussinesq models
- Symmetric Boussinesq models
- The KdV approximation

### The dead water phenomenon

- Presentation of the problem
- Asymptotic models

The full Euler system	Some asymptotic models	The dead water phenomenon
	000000000	
Symmetric Boussinesq models		

## Symmetrization

The system can be written under the compact form

$$\partial_t U + A_0 \partial_x U + \varepsilon \left( A_1(U) \partial_x U + B \partial_x^2 \partial_t U + C \partial_x^3 U \right) = 0.$$

Multiply by adapted  $S \equiv S_0 + \varepsilon S_1(U) - \varepsilon S_2 \partial_x^2$ , and withdraw  $O(\varepsilon^2)$  terms. One obtains a perfectly symmetric model of the form:

## The symmetric Boussinesq/Boussinesq model

$$(\mathcal{S}_{B}) \left(S_{0} + \varepsilon \left(S_{1}(U) - S_{2}\partial_{x}^{2}\right)\right) \partial_{t}U + \left(\Sigma_{0} + \varepsilon \left(\Sigma_{1}(U) - \Sigma_{2}\partial_{x}^{2}\right)\right) \partial_{x}U = 0,$$

with the following properties:

- Matrices  $S_0, S_2, \Sigma_0, \Sigma_2 \in \mathcal{M}_4(\mathbb{R})$  are symmetric.
- $S_1(\cdot)$  and  $\Sigma_1(\cdot)$  are linear mappings, with values in  $\mathcal{M}_4(\mathbb{R})$ , and for all  $U \in \mathbb{R}^4$ ,  $S_1(U)$  and  $\Sigma_1(U)$  are symmetric.
- $S_0$  et  $S_2$  are definite positive.

The dead water phenomenon 00000000

Symmetric Boussinesq models

### Consistency

The full Euler system is consistent with the symmetric Boussinesq/Boussinesq model ( $S_B$ ), with precision  $O(\varepsilon^2)$ .

### Well posedness

The symmetric system is well-posed in  $H^{s+1}$  (s > 3/2) over times of order  $O(1/\varepsilon)$ . Moreover, one has the estimate

$$\left(\left|U(t)\right|_{H^{s}}^{2}+\varepsilon\left|U(t)\right|_{H^{s+1}}^{2}\right)^{1/2}=\left|U(t)\right|_{H^{s+1}_{\varepsilon}}\leq C_{0}\frac{\left|U^{0}\right|_{H^{s+1}_{\varepsilon}}}{1-C_{0}t\varepsilon\left|U^{0}\right|_{H^{s+1}_{\varepsilon}}}$$

The dead water phenomenon 00000000

Symmetric Boussinesq models

### Consistency

The full Euler system is consistent with the symmetric Boussinesq/Boussinesq model ( $S_B$ ), with precision  $\mathcal{O}(\varepsilon^2)$ .

#### Well posedness

The symmetric system is well-posed in  $H^{s+1}$  (s > 3/2) over times of order  $O(1/\varepsilon)$ . Moreover, one has the estimate

$$\left(\left|U(t)\right|_{H^{s}}^{2}+\varepsilon\left|U(t)\right|_{H^{s+1}}^{2}\right)^{1/2}=\left|U(t)\right|_{H^{s+1}_{\varepsilon}}\leq C_{0}\frac{\left|U^{0}\right|_{H^{s+1}_{\varepsilon}}}{1-C_{0}t\varepsilon\left|U^{0}\right|_{H^{s+1}_{\varepsilon}}}$$

#### Convergence

The difference between any solution U of the full Euler system ( $\Sigma$ ), and the solution  $U_B$  of the symmetric Boussinesq/Boussinesq model ( $S_B$ ) with same initial data, satisfies

$$\forall t \in [0, T/\varepsilon], \qquad \left| U - U_B \right|_{L^{\infty}([0,t]; H^{s+1}_{\varepsilon})} \leq \varepsilon^2 t C_1.$$

#### ▶ Numerical simulation

#### The KdV approximation



### The full Euler system

- The governing equations
- Reduction of the equations

### Some asymptotic models

- The Boussinesq/Boussinesq models
- Symmetric Boussinesq models
- The KdV approximation

### The dead water phenomenon

- Presentation of the problem
- Asymptotic models

Some asymptotic models

The dead water phenomenon 00000000

The KdV approximation

## The WKB expansion

We seek an approximate solution of system  $(S_B)$ :

$$\left(S_0 + \varepsilon \left(S_1(U) - S_2 \partial_x^2\right)\right) \partial_t U + \left(\Sigma_0 + \varepsilon \left(\Sigma_1(U) - \Sigma_2 \partial_x^2\right)\right) \partial_x U = 0$$

of the form  $U_{app}(t,x) \equiv U_0(\varepsilon t, t, x) + \varepsilon U_1(\varepsilon t, t, x)$ .

At order  $\mathcal{O}(1)$ :  $(S_0\partial_t + \Sigma_0\partial_x)U_0 = 0.$ There exists a basis  $\mathbf{e}_i \in \mathbb{R}^4$  (i = 1..4), diagonalizing  $S_0$  and  $\Sigma_0$ :  $\implies U_0 = \sum_{i=1}^4 u_i \mathbf{e}_i$ , with  $u_i(\tau, t, x) = u_i(\tau, x - c_i t).$ 

At order  $\mathcal{O}(\varepsilon)$ :  $S_0 \partial_{\tau} U_0 + \Sigma_1(U_0) \partial_x U_0 + S_1(U_0) \partial_t U_0$   $-\Sigma_2 \partial_x^3 U_0 - S_2 \partial_x^2 \partial_t U_0 = -(S_0 \partial_t + \Sigma_0 \partial_x) U_1.$ We split the equation in

$$\partial_{\tau} u_i + \lambda_i u_i \partial_{x_i} u_i + \mu_i \partial_{x_i}^3 u_i = 0,$$
  
$$(\partial_t + c_i \partial_x) \mathbf{e}_i \cdot U_1 + \sum_{(j,k) \neq (i,i)} \alpha_{ijk} u_k \partial_x u_j + \sum_{j \neq i} \beta_{ij} \partial_x^3 u_j = 0.$$

Some asymptotic models

The dead water phenomenon

The KdV approximation

## The KdV Approximation

### Definition

Let U be a solution of the full Euler system ( $\Sigma$ ). We define then the KdV approximation as  $U_{KdV} = \sum_{i=1}^{4} u_i \mathbf{e}_i$ , with  $u_i$  solution of

(KdV) 
$$\begin{cases} \partial_t u_i + c_i \partial_x u_i + \varepsilon \lambda_i u_i \partial_x u_i + \varepsilon \mu_i \partial_x^3 u_i = 0, \\ u_i|_{t=0} = u_i^0, \end{cases}$$

The	full	Euler	system

The dead water phenomenon 00000000

#### The KdV approximation

### Well-posedness

There exists a unique strong solution  $U_0(\tau, t, x)$ , uniformly bounded in  $L^{\infty}([0, T] \times \mathbb{R}; H^{s+2}_{\varepsilon})$ .

Then, there exists an explicit residual  $U_1 \in C^1([0, T) \times \mathbb{R}; H^s)$ .

### Secular growth of the residual

$$\forall (\tau,t) \in [0,T] imes \mathbb{R}, \quad \left| U_1(\tau,t,\cdot) \right|_{H^s} \leq C_0 \sqrt{t}.$$

Moreover, if  $(1 + x^2)U_0 \in H^{s+1}$ , then one has the uniform estimate

$$|U_1(\tau, t, \cdot)|_{H^s} \leq C_0,$$

### Consistency

 $U_0(\varepsilon t, t, x) + \varepsilon U_1(\varepsilon t, t, x)$  satisfies the symmetric Boussinesq/Boussinesq model ( $S_B$ ), with precision  $\mathcal{O}(\varepsilon^{3/2})$  (and  $\mathcal{O}(\varepsilon^2)$  if  $(1 + x^2)U^0 \in H^{s+1}$ ).  $\implies$  convergence towards the solution of ( $S_B$ ).

## Convergence towards solutions of the full Euler system

The difference between any solution U of the full Euler system ( $\Sigma$ ), and  $U_{\text{KdV}} \equiv \sum_{i=1}^{4} u_i \mathbf{e}_i$ , with  $u_i$  solutions of (KdV), satisfies

$$|U - U_{\mathsf{KdV}}|_{L^{\infty}([0,t];H^{s+1}_{\varepsilon})} \leq \varepsilon \sqrt{t}C_{0},$$

Moreover, if  $(1 + x^2)U|_{t=0} \in H^{s+4}$ , then one has the uniform estimate  $|U - U_{\mathsf{KdV}}|_{L^{\infty}([0, T/\varepsilon]; H^{s+1}_{\varepsilon})} \leq \varepsilon C_0.$ 

Some asymptotic models

The dead water phenomenon 00000000

The KdV approximation

## Why localization in space is relevant ?

Propagation of a soliton

Splitting of a bell curve

Some asymptotic models

The dead water phenomenon 00000000

#### The KdV approximation

## Why localization in space is relevant ?



Comparison between free surface and rigid lid configurations.

• Big density difference:  $\gamma = 1/4$ 

Small density difference:  $\gamma =$ 

- The governing equations
- Reduction of the equations

### Some asymptotic models

- The Boussinesq/Boussinesq models
- Symmetric Boussinesq models
- The KdV approximation

### The dead water phenomenon

- Presentation of the problem
- Asymptotic models

3

Some asymptotic models

The dead water phenomenon

#### Presentation of the problem

### Fridtjof Nansen, 1898

This peculiar phenomenon [...] manifests itself in the form of larger or smaller ripples or waves stretching across the wake, the one behind the other, arising sometimes as far forward as almost midships. When caught in dead water, "Fram" appeared to be held back, as if by some mysterious force, and she did not always answer the helm. In calm weather, with a light cargo, "Fram" was capable of 6 to 7 knots. When in dead water she was unable to make 1.5 knots. We made loops in our course, turned sometimes right around, tried all sorts of antics to get clear of it, but to very little purpose.

### Vilhelm Bjerknes, 1900

In my reply to Prof. Nansen I remarked that in the case of a layer of fresh water resting on the top of salt water, a ship will not only produce the ordinary visible waves at the boundary between the water and the air, but will also generate invisible waves in the salt-water freshwater boundary below; I suggested that the great resistance experienced by the ship was due to the work done in generating these [internal] waves. (...) In December 1899 I consequently suggested a pupil of mine, Dr. V. Walfrid Ekman (...) that he should do some simple preliminary experiments.

Some asymptotic models

The dead water phenomenon ○●○○○○○○

Presentation of the problem





Some asymptotic models

The dead water phenomenon

Presentation of the problem

## Wave (making) resistance suffered by the body

Definition (Wave resistance)

$$R_W \equiv \int_{\Gamma_{\rm ship}} P(-\mathbf{e}_x \cdot \mathbf{n}) \, dS = -\int_{\mathbb{R}} P_{|d_1+\zeta_1} \partial_x \zeta_1 \, dx.$$

where  $\Gamma_{ship}$  is the exterior domain of the ship, *P* is the pressure,  $\mathbf{e}_{x}$  is the horizontal unit vector and **n** the normal unit vector exterior to the ship.

As a solution of the Bernoulli equation, the pressure P satisfies

$$\frac{P(x,z)}{\rho_1} = -\partial_t \phi_1(x,z) - \frac{1}{2} |\nabla_{x,z} \phi_1(x,z)|^2 - gz$$

Using the previous change of variables, we define the dimensionless wave resistance coefficient  $C_W$ . In our regime ( $\epsilon_2 = \mu = \epsilon_1/\epsilon_2 \equiv \varepsilon \ll 1$ ), the first order approximation is

$$C_W = \int_{\mathbb{R}} \zeta_1 \partial_x \zeta_2 \, dx + \mathcal{O}(\varepsilon).$$

Some asymptotic models

The dead water phenomenon

Presentation of the problem

## Wave (making) resistance suffered by the body

Definition (Wave resistance)

$$R_W \equiv \int_{\Gamma_{\rm ship}} P(-\mathbf{e}_x \cdot \mathbf{n}) \, dS = -\int_{\mathbb{R}} P_{|d_1+\zeta_1} \partial_x \zeta_1 \, dx.$$

where  $\Gamma_{ship}$  is the exterior domain of the ship, *P* is the pressure,  $\mathbf{e}_{x}$  is the horizontal unit vector and **n** the normal unit vector exterior to the ship.

As a solution of the Bernoulli equation, the pressure P satisfies

$$\frac{P(x,z)}{\rho_1} = -\partial_t \phi_1(x,z) - \frac{1}{2} |\nabla_{x,z} \phi_1(x,z)|^2 - gz$$

Using the previous change of variables, we define the dimensionless wave resistance coefficient  $C_W$ . In our regime ( $\epsilon_2 = \mu = \epsilon_1/\epsilon_2 \equiv \varepsilon \ll 1$ ), the first order approximation is

$$C_W = \int_{\mathbb{R}} \zeta_1 \partial_x \zeta_2 \, dx + \mathcal{O}(\varepsilon).$$

Some asymptotic models

The dead water phenomenon

Presentation of the problem

## Wave (making) resistance suffered by the body

Definition (Wave resistance)

$$R_W \equiv \int_{\Gamma_{\rm ship}} P(-\mathbf{e}_x \cdot \mathbf{n}) \, dS = -\int_{\mathbb{R}} P_{|d_1+\zeta_1} \partial_x \zeta_1 \, dx.$$

where  $\Gamma_{ship}$  is the exterior domain of the ship, *P* is the pressure,  $\mathbf{e}_{x}$  is the horizontal unit vector and **n** the normal unit vector exterior to the ship.

As a solution of the Bernoulli equation, the pressure P satisfies

$$\frac{P(x,z)}{\rho_1} = -\partial_t \phi_1(x,z) - \frac{1}{2} |\nabla_{x,z} \phi_1(x,z)|^2 - gz$$

Using the previous change of variables, we define the dimensionless wave resistance coefficient  $C_W$ . In our regime ( $\epsilon_2 = \mu = \epsilon_1/\epsilon_2 \equiv \varepsilon \ll 1$ ), the first order approximation is

$$C_W = \int_{\mathbb{R}} \zeta_1 \partial_x \zeta_2 \, dx + \mathcal{O}(\varepsilon).$$

Some asymptotic models

The dead water phenomenon 00000000

#### Presentation of the problem

## Numerical simulation



Some asymptotic models

The dead water phenomenon

Asymptotic models

## The dimensionless full Euler system

The governing equations can be deduced from the full Euler system in the free surface case:

The full Euler system when  $\zeta_1(t,x) \equiv \zeta_1(x - \operatorname{Fr} t)$ 

$$\varepsilon \partial_t \zeta_1 - \frac{1}{\varepsilon} G_1(\psi_1, \psi_2) = 0,$$

$$\partial_t \zeta_2 - \frac{1}{\epsilon} G_2 \psi_2 = 0$$

 $\partial_t \partial_x \psi_1 + \partial_x \zeta_1 + \frac{\varepsilon}{2} \partial_x (|\partial_x \psi_1|^2) - \varepsilon^2 \partial_x \mathcal{N}_1 = 0,$ 

$$\partial_t (\partial_x \psi_2 - \gamma H(\psi_1, \psi_2)) + (1 - \gamma) \partial_x \zeta_2 + \frac{\varepsilon}{2} \partial_x (|\partial_x \psi_2|^2 - \gamma |H(\psi_1, \psi_2)|^2) \\ - \frac{\varepsilon^2}{2} \partial_x \mathcal{N}_2 = 0,$$

Some asymptotic models

The dead water phenomenon

Asymptotic models

## The dimensionless full Euler system

The governing equations can be deduced from the full Euler system in the free surface case:

The dimensionless full Euler system with rigid lid

$$(\tilde{\Sigma}) \begin{cases} -\varepsilon \operatorname{Fr} \partial_x \zeta_1 - \frac{1}{\varepsilon} G_1(\psi_1, \psi_2) = 0, \\ \\ \partial_t \zeta_2 - \frac{1}{\varepsilon} G_2 \psi_2 = 0, \\ \\ \partial_t \left( \partial_x \psi_2 - \gamma H(\psi_1, \psi_2) \right) + (\gamma + \delta) \partial_x \zeta_2 + \frac{\varepsilon}{2} \partial_x \left( |\partial_x \psi_2|^2 - \gamma |H(\psi_1, \psi_2)|^2 \right) \\ \\ = \varepsilon^2 \partial_x \mathcal{N}_2, \end{cases}$$

Using that  $\zeta_1$  is a fixed parameter, the system reduces to two evolution equations for  $(\zeta_2, v)$ , with v the shear velocity defined by

$$\mathbf{v} \equiv \partial_x \left( \left( \phi_2 - \gamma \phi_1 \right)_{|z=\varepsilon \zeta_2} \right) = \partial_x \psi_2 - \gamma H(\psi_1, \psi_2).$$

Some asymptotic models

The dead water phenomenon

Asymptotic models

## The Boussinesq-type models

Plug the asymptotic expansion of the operators  $G_1$ ,  $G_2$ , H into the full Euler system ( $\tilde{\Sigma}$ ), and withdraw  $\mathcal{O}(\varepsilon^2)$  terms.

### Boussinesq/Boussinesq model

$$\begin{cases} \partial_t \zeta_2 + \frac{1}{\delta + \gamma} \partial_x v + \varepsilon \frac{\delta^2 - \gamma}{(\gamma + \delta)^2} \partial_x (\zeta_2 v) + \varepsilon \frac{1 + \gamma \delta}{3\delta(\delta + \gamma)^2} \partial_x^3 v = -\varepsilon \frac{\operatorname{Fr} \gamma}{\delta + \gamma} \partial_x \zeta_1, \\ \partial_t v + (1 - \gamma) \partial_x \zeta_2 + \frac{\varepsilon}{2} \frac{\delta^2 - \gamma}{(\gamma + \delta)^2} \partial_x (|v|^2) = 0. \end{cases}$$

The Boussinesq/Boussinesq model system can be written as

$$\partial_t U + A_0 \partial_x U + \varepsilon A_1(U) \partial_x U - \varepsilon A_2 \partial_x^3 U = \varepsilon b_0(x - \operatorname{Fr} t),$$

Some asymptotic models

The dead water phenomenon

Asymptotic models

## The Boussinesq-type models

### Boussinesq/Boussinesq model

$$\begin{cases} \partial_t \zeta_2 + \frac{1}{\delta + \gamma} \partial_x v + \varepsilon \frac{\delta^2 - \gamma}{(\gamma + \delta)^2} \partial_x (\zeta_2 v) + \varepsilon \frac{1 + \gamma \delta}{3\delta(\delta + \gamma)^2} \partial_x^3 v = -\varepsilon \frac{\operatorname{Fr} \gamma}{\delta + \gamma} \partial_x \zeta_1, \\ \partial_t v + (1 - \gamma) \partial_x \zeta_2 + \frac{\varepsilon}{2} \frac{\delta^2 - \gamma}{(\gamma + \delta)^2} \partial_x (|v|^2) = 0. \end{cases}$$

The Boussinesq/Boussinesq model system can be written as

$$\partial_t U + A_0 \partial_x U + \varepsilon A_1(U) \partial_x U - \varepsilon A_2 \partial_x^3 U = \varepsilon b_0(x - \operatorname{Fr} t),$$

When we multiply by adapted symmetrizer  $S(U) \equiv S_0 + \varepsilon S_1(U) - \varepsilon S_2 \partial_x^2$ , one gets

$$S_0 + \varepsilon S_1(U) - \varepsilon S_2 \partial_x^2 \Big) \partial_t U + (\Sigma_0 + \varepsilon \Sigma_1(U) - \varepsilon \Sigma_2) \partial_x U = \varepsilon b(x - \operatorname{Fr} t),$$

- The symmetric Boussinesq model is consistent at order  $\mathcal{O}(\varepsilon^2)$ ,
- The symmetric Boussinesq model is well-posed, + energy estimate,
- $\implies$  The solutions of the Boussinesq model converge towards solutions of the full Euler system  $(\tilde{\Sigma})$ , at order  $\mathcal{O}(\varepsilon^2 t)$ , for  $t \in [0, T/\varepsilon]$ .

Some asymptotic models

The dead water phenomenon

Asymptotic models

## The Boussinesq-type models

### Boussinesq/Boussinesq model

$$\left\{ \begin{array}{ll} \partial_t \zeta_2 \ + \ \frac{1}{\delta + \gamma} \partial_x \nu + \varepsilon \frac{\delta^2 - \gamma}{(\gamma + \delta)^2} \partial_x (\zeta_2 \nu) + \varepsilon \frac{1 + \gamma \delta}{3\delta(\delta + \gamma)^2} \partial_x^3 \nu \ = \ -\varepsilon \frac{\operatorname{Fr} \gamma}{\delta + \gamma} \partial_x \zeta_1, \\ \partial_t \nu \ + \ (1 - \gamma) \partial_x \zeta_2 \ + \ \frac{\varepsilon}{2} \frac{\delta^2 - \gamma}{(\gamma + \delta)^2} \partial_x \left( |\nu|^2 \right) \ = \ 0. \end{array} \right.$$

The Boussinesq/Boussinesq model system can be written as

$$\partial_t U + A_0 \partial_x U + \varepsilon A_1(U) \partial_x U - \varepsilon A_2 \partial_x^3 U = \varepsilon b_0(x - \operatorname{Fr} t),$$

When we multiply by adapted symmetrizer  $S(U) \equiv S_0 + \varepsilon S_1(U) - \varepsilon S_2 \partial_x^2$ , one gets

$$S_0 + \varepsilon S_1(U) - \varepsilon S_2 \partial_x^2 \left( \partial_t U + (\Sigma_0 + \varepsilon \Sigma_1(U) - \varepsilon \Sigma_2) \partial_x U \right) = \varepsilon b(x - \operatorname{Fr} t),$$

- The symmetric Boussinesq model is consistent at order  $\mathcal{O}(\varepsilon^2)$ ,
- The symmetric Boussinesq model is well-posed, + energy estimate,
- $\implies$  The solutions of the Boussinesq model converge towards solutions of the full Euler system  $(\tilde{\Sigma})$ , at order  $\mathcal{O}(\varepsilon^2 t)$ , for  $t \in [0, T/\varepsilon]$ .

Some asymptotic models

The dead water phenomenon

Asymptotic models

## The KdV approximation

### Definition

Let  $U = (\zeta_2, \nu)$  be a solution of the full Euler system ( $\tilde{\Sigma}$ ). We define then the KdV approximation as  $U_{KdV} = (\eta_+ + \eta_-, (\gamma + \delta)(\eta_+ - \eta_-))$ , with  $\eta_{\pm}$  solution of

$$\begin{cases} \partial_t \eta_{\pm} \pm \partial_x \eta_{\pm} \pm \varepsilon_2^3 \frac{\delta^2 - \gamma}{\gamma + \delta} \eta_{\pm} \partial_x \eta_{\pm} \pm \varepsilon_1^1 \frac{1 + \gamma \delta}{\delta(\gamma + \delta)} \partial_x^3 \eta_{\pm} = -\varepsilon \operatorname{Fr} \gamma \partial_x \zeta_1, \\ \eta_{\pm|t=0} = \eta_{\pm}^0, \end{cases}$$

### Convergence theorem

The difference between any solution U of the full Euler system ( $\tilde{\Sigma}$ ), and  $U_{KdV}$  is bounded by

$$|U - U_{\mathsf{KdV}}|_{L^{\infty}([0,t];H^{s+1}_{\varepsilon})} \leq \varepsilon \sqrt{t} C_0.$$

Moreover, if  $(1+x^2)U\big|_{t=0}\in H^{s+4}$ , then one has the uniform estimate

$$|U - U_{\mathsf{KdV}}|_{L^{\infty}([0, T/\varepsilon]; H^{s+1}_{\varepsilon})} \leq \varepsilon C_0.$$

Some asymptotic models

The dead water phenomenon

Asymptotic models

## A simple application

### Lemma

Let u be the solution of  $\partial_t u + c \partial_x u + \varepsilon \lambda u \partial_x u + \varepsilon \nu \partial_x^3 u = \varepsilon \partial_x f(x - c_0 t),$ with  $u_{|t=0} = \varepsilon u^0 \in H^{s+3}$ , s > 3/2.

There exists 
$$T(|\frac{1}{c-c_0}|)$$
 and  $C(|\frac{1}{c-c_0}|) > 0$  such that  
 $|u|_{L^{\infty}([0,T/\varepsilon];H^s)} \leq C\varepsilon.$ 

The transport equation  $\partial_t v + c \partial_x v = \varepsilon \partial_x f(x - c_0 t)$  leads to

$$v = \varepsilon u^0(x-ct) + \frac{\varepsilon}{c_0-c} (f(x-c_0t)-f(x-ct)).$$

The result is obtained by comparison with this function.

As a consequence, the dead-water phenomenon will always be small if the velocity of the body is away from the critical velocity (|Fr| = 1).

The full Euler system 000	Some asymptotic models	The dead water phenomenon ○○○○○○○●
Asymptotic models		
A simple application		

As a consequence, the dead-water phenomenon will always be small if the velocity of the body is away from the critical velocity (|Fr| = 1).



Wave resistance coefficient  $C_W$  at time T = 10, depending on the Froude number Fr, with  $\delta = 1$  and 2 ( $\gamma = 0.9$ ,  $\varepsilon = 0.1$ ).

For more details:

Coupled models (Boussinesq, shallow water, Green-Naghdi): *Asymptotic shallow water models for internal waves in a two-fluid system with a free surface*, SIAM J. Math. Anal., **42** (2010)

KdV approximation: Boussinesq/Boussinesq systems for internal waves with a free surface, and the KdV approximation, to appear in Math. Model. Numer. Anal. (M2AN)

Dead-water phenomenon: Asymptotic models for the generation of internal waves by a moving ship, and the dead-water phenomenon, preprint Arxiv:1012.5892.

# Thank you for your attention !