

# AN APPLICATION OF $p$ -ADIC INTEGRATION TO THE DYNAMICS OF A BIRATIONAL TRANSFORMATION PRESERVING A FIBRATION

FEDERICO LO BIANCO

ABSTRACT. Let  $f: X \dashrightarrow X$  be a birational transformation of a projective manifold  $X$  whose Kodaira dimension  $\kappa(X)$  is non-negative. We show that, if there exist a meromorphic fibration  $\pi: X \dashrightarrow B$  and a pseudo-automorphism  $f_B: B \dashrightarrow B$  which preserves an ample line bundle  $L \in \text{Pic}(B)$  and such that  $f_B \circ \pi = \pi \circ f$ , then  $f_B$  has finite order. As a corollary we show that, for projective irreducible symplectic manifolds of type  $K3^{[n]}$  or generalized Kummer, the first dynamical degree characterizes the birational transformations admitting a Zariski-dense orbit.

## 1. INTRODUCTION

Let  $f: X \dashrightarrow X$  be a birational transformation of a complex projective manifold. A natural question when studying the dynamical properties of  $f$  is the existence of an equivariant meromorphic fibration, i.e. of a dominant meromorphic map with connected fibres  $\pi: X \dashrightarrow B$  onto a projective manifold and of a birational transformation  $f_B: B \dashrightarrow B$  such that the following diagram commutes:

$$\begin{array}{ccc} M & \dashrightarrow^f & M \\ \pi \downarrow & & \downarrow \pi \\ B & \dashrightarrow^{f_B} & B \end{array}$$

The transformation  $f$  is called *imprimitive* (see [HKZ15]) if there exists a non-trivial  $f$ -equivariant fibration (i.e. such that  $0 < \dim B < \dim X$ ), and primitive otherwise; imprimitive birational transformations should intuitively be simpler to study than primitive ones, as their dynamics decomposes into smaller dimensional dynamical systems: the base and the fibres. The goal of the present paper is to study the action on the base induced by an imprimitive transformation. Our main result is the following:

**Theorem A.** *Let  $X$  be a complex projective manifold and let  $f: X \dashrightarrow X$  be a birational transformation. Suppose that there exist a meromorphic fibration  $\pi: X \dashrightarrow B$  onto a projective manifold  $B$  and a pseudo-automorphism  $f_B: B \dashrightarrow B$  such that  $f_B \circ \pi = \pi \circ f$ . Assume that*

- (1) *the Kodaira dimension  $\kappa(X)$  of  $X$  is non-negative;*
- (2)  *$f_B$  preserves an ample line bundle  $L$ .*

*Then  $f_B$  has finite order.*

*Remark 1.1.* The second assumption of Theorem A is automatically verified if  $\pm K_B$  is ample; remark however that, if  $K_B$  is ample, then in particular  $B$  is of general type, so that the group of birational transformations is finite, which implies the conclusion of the

**Theorem.** The condition that  $-K_B$  is ample is verified if  $X$  is irreducible symplectic and  $\pi$  is a holomorphic fibration.

The following corollary has the advantage of requiring only numerical hypothesis on the action of  $f_B$ , instead of having to compute its action on the Picard group.

**Corollary B.** *Let  $X$  be a projective manifold and let  $f: X \dashrightarrow X$  be a birational transformation. Suppose that there exist a meromorphic fibration  $\pi: X \dashrightarrow B$  onto a projective manifold  $B$  and a pseudo-automorphism  $f_B: B \dashrightarrow B$  such that  $f_B \circ \pi = \pi \circ f$ . Assume that*

- (1) *the Kodaira dimension  $\kappa(X)$  is non-negative;*
- (2)  $\text{Pic}^0(B) = 0$ ;
- (3) *the induced linear maps  $(f_B^N)^*: H^*(B, \mathbb{C}) \rightarrow H^*(B, \mathbb{C})$  have bounded norm as  $N \rightarrow +\infty$ .*

*Then  $f_B$  has finite order.*

*Remark 1.2.* Remark that the second and third assumptions of Corollary B are automatically satisfied if  $\text{Pic}^0(X) = 0$  and the induced linear maps  $(f^N)^*: H^*(X, \mathbb{C}) \rightarrow H^*(X, \mathbb{C})$  have bounded norm.

*Proof.* Since the induced linear maps  $(f_B^N)^*: H^*(B, \mathbb{C}) \rightarrow H^*(B, \mathbb{C})$  have bounded norm as  $N \rightarrow +\infty$ , by [?], up to replacing  $B$  by a birational model and  $f_B$  by an iterate, we may assume that  $f_B$  is an automorphism and that  $f_B \in \text{Aut}^0(B)$ . In particular,  $f_B$  has trivial action on  $H^*(B, \mathbb{C})$  and thus, since line bundles on  $B$  are uniquely determined by their numerical class, on  $\text{Pic}(B)$ . Therefore  $f_B$  is an automorphism which preserves an ample line bundle, hence by Theorem A it has finite order.  $\square$

**1.1. The case of irreducible symplectic manifolds.** Theorem A is particularly interesting in the case where  $X$  is an irreducible symplectic manifold. A compact Kähler manifold is said to be *irreducible symplectic* (or hyperkähler) if it is simply connected and the vector space of holomorphic 2-forms is spanned by a nowhere degenerate form. Irreducible symplectic manifolds form, together with Calabi-Yau manifolds and complex tori, one of the three fundamental classes of compact Kähler manifolds with trivial Chern class.

We will say that  $X$  is of type  $K3^{[n]}$  (resp. generalized Kummer) if it is deformation equivalent to the Hilbert scheme of  $n$  points on a  $K3$  surface (resp. to a generalized Kummer variety); all known irreducible symplectic manifolds are of type  $K3^{[n]}$ , generalized Kummer or deformation equivalent to one of two sporadic examples by O'Grady. See [GHJ03] for a complete introduction to irreducible symplectic manifolds.

If  $f: M \dashrightarrow M$  is a meromorphic transformation of a compact Kähler manifold  $M$ , for  $p = 0, 1, \dots, \dim(M)$  the  $p$ -th *dynamical degree* of  $f$  is

$$\lambda_p(f) := \limsup_{N \rightarrow +\infty} \|(f^N)_p^*\|^{\frac{1}{N}} \geq 1,$$

where  $(f^N)_p^*: H^{p,p}(M) \rightarrow H^{p,p}(M)$  is the linear map induced by  $f^N$  and  $\|\cdot\|$  is any norm on the vector space  $\text{End}(H^{p,p}(M))$ . Note that in the case of an automorphism,  $(f^N)^* = (f^*)^N$  so that  $\lambda_p(f)$  is just the spectral radius (i.e. the maximal modulus of eigenvalues) of  $f_p^*$  on  $H^{p,p}(M)$ ; since  $f_p^*$  preserves a closed salient cone, we actually have that  $\lambda_p(f)$  is a real eigenvalue of  $f_p^*$ .

**Theorem 1.3** (Hu, Keum and Zhang, [HKZ15]). *Let  $X$  be a  $2n$ -dimensional projective irreducible symplectic manifold of type  $K3^{[n]}$  or of type generalized Kummer and let  $f \in \text{Bir}(X)$  be a birational transformation with infinite order; the first dynamical degree*

$\lambda_1(f)$  is equal to 1 if and only if there exist a rational Lagrangian fibration  $\pi: X \dashrightarrow \mathbb{P}^n$  and  $g \in \text{Aut}(\mathbb{P}^n) = \text{PGL}_{n+1}(\mathbb{C})$  such that  $\pi \circ f = g \circ \pi$ .

This allows to prove the following corollary:

**Corollary C.** *Let  $f$  be a birational transformation of a projective irreducible symplectic manifold  $X$  of type  $K3^{[n]}$  or generalized Kummer; then  $f$  admits a Zariski-dense orbit if and only if the first dynamical degree  $\lambda_1(f)$  is  $> 1$ .*

*Proof.* Assume first that  $\lambda_1(f) > 1$ ; then by [LB, Main Theorem] the very general orbits of  $f$  are Zariski-dense.

Assume conversely that  $\lambda_1(f) = 1$ ; by Theorem 1.3 there exists a Lagrangian fibration  $\pi: X \dashrightarrow \mathbb{P}^n$  such that the induced transformation  $g: \mathbb{P}^n \rightarrow \mathbb{P}^n$  is biregular, and particular preserves the ample line bundle  $\mathcal{O}_{\mathbb{P}^n}(1)$ . Note that, by [Huy99, Proposition 9.1], the induced linear automorphism  $f^*: H^2(X, \mathbb{Z}) \rightarrow H^2(X, \mathbb{Z})$  has also infinite order. Thus one can apply Theorem A to deduce that  $g$  has finite order. In particular all the orbits of  $f$  are contained in a finite union of fibres of  $\pi$ , hence they are not Zariski-dense.  $\square$

## 2. ELEMENTS OF $p$ -ADIC INTEGRATION

In this section we give an introduction to  $p$ -adic integration; see [CLNS14], [Pop11, Chapter 3] and [Igu00].

**2.1.  $p$ -adic and local fields.** We remind that, for a prime number  $p$ , the  $p$ -adic norm on  $\mathbb{Q}$  is defined as

$$\left| p^n \cdot \frac{a}{b} \right| = p^{-n} \quad p \nmid a, \quad p \nmid b.$$

We denote  $\mathbb{Q}_p$  the metric completion of  $(\mathbb{Q}, |\cdot|_p)$ ; every element of  $\mathbb{Q}_p$  can be uniquely written as a Laurent series

$$a = \sum_{n=n_0}^{+\infty} a_n p^n \quad a_i \in \{0, 1, \dots, p-1\}.$$

Denote by  $\mathbb{Z}_p$  the closed unit ball in  $\mathbb{Q}_p$ ; it is an integrally closed local subring of  $\mathbb{Q}_p$  with maximal ideal  $p\mathbb{Z}_p$  and residue field  $\mathbb{F}_p$ ; its field of fractions is  $\mathbb{Q}_p$ , and it is a compact, closed and open subset of  $\mathbb{Q}_p$ .

A  $p$ -adic field is a finite extension  $K$  of  $\mathbb{Q}_p$  for some prime  $p$ ; on  $K$  there exists a unique absolute value  $|\cdot|_K$  extending  $|\cdot|_p$ . We denote by  $\mathcal{O}_K$  the closed unit ball in  $K$ .

A local field is a field  $K$  with a valuation  $|\cdot|: K \rightarrow \mathbb{R}_{\geq 0}$  such that  $K$  with the induced topology is locally compact.

**Theorem 2.1.** *A local field of characteristic 0 is isomorphic either to  $\mathbb{R}$  or  $\mathbb{C}$  endowed with the usual absolute values (archimedean case) or to a finite extension of  $\mathbb{Q}_p$  for some prime number  $p$  endowed with the unique extension of  $|\cdot|_p$  (non-archimedean case).*

**2.2. Measure on  $K$ .** On a locally compact topological group  $G$  there exists a measure  $\mu$ , unique up to scalar multiplication, called the *Haar measure of  $G$*  such that:

- any continuous function  $f: G \rightarrow \mathbb{C}$  with compact support is  $\mu$ -integrable;
- $\mu$  is  $G$ -invariant to the left.

Other important properties of the Haar measure are as follows: every Borel subset of  $G$  is measurable;  $\mu(A) > 0$  for every nonempty open subset of  $G$ .

We consider  $G = (\mathbb{Q}_p, +)$ , and take on it the Haar measure  $\mu$  normalized so that

$$\mu(\mathbb{Z}_p) = 1.$$

*Example 2.2.* It is easy to show that for every  $m \geq 0$  one has  $\mu(p^m \mathbb{Z}_p) = p^{-m}$ .

More generally, on a  $p$ -adic field  $K$  we consider the Haar measure  $\mu$  such that

$$\mu(\mathcal{O}_K) = 1.$$

**2.3. Integration on  $K$ -analytic manifolds.** Let  $K$  be a  $p$ -adic field with norm  $|\cdot|$ . For any open subset  $U \subset K^n$ , a function  $f: U \rightarrow K$  is said to be  $K$ -analytic if locally around each point it is given by a convergent power series. Similarly, we call  $f = (f_1, \dots, f_m): U \rightarrow K^m$  a  $K$ -analytic map if all the  $f_i$  are analytic.

As in the real and complex context, we define a  $K$ -analytic manifold of dimension  $n$  as a Hausdorff topological space locally modelled on open subsets of  $K^n$  and with  $K$ -analytic change of charts.

*Example 2.3.* (1) Every open subset  $U \subset K^n$  is a  $K$ -analytic manifold of dimension  $n$ ; in particular, the set  $\mathcal{O}_K^n \subset K^n$  is a  $K$ -analytic manifold.  
 (2) The projective space  $\mathbb{P}_K^n$  over  $K$  is a  $K$ -analytic manifold.  
 (3) Every smooth algebraic variety over  $K$  is a  $K$ -analytic manifold; in order to see this one needs a  $K$ -analytic version of the implicit function theorem (see [CLNS14, §1.6.4]).

Differential forms are defined in the usual way via charts: on a chart with coordinates  $x_1, \dots, x_n$ , a differential form of degree  $k$  can be written as

$$\alpha = \sum_{|I|=k} f_I(x_1, \dots, x_n) dx_{i_1} \wedge \dots \wedge dx_{i_k}$$

with  $f_I: U \rightarrow K$  functions on  $U$ ; if the  $f_I$  are  $K$ -analytic we say that the form is analytic. Now take a maximal degree analytic differential form  $\omega$ ; let  $\phi: U \rightarrow K^n$  be a local chart, defining local coordinates  $x_1, \dots, x_n$ . In these coordinates we can write

$$\phi_*\omega = f(x_1, \dots, x_n) dx_1 \wedge \dots \wedge dx_n.$$

Then one can define a Borel measure  $|\omega|$  on  $U$  as follows: for any open subset  $A \subset U$ , we set

$$|\omega|(A) = \int_{\phi(A)} |f(x)|_K d\mu,$$

where  $\mu$  is the usual normalized Haar measure on  $\phi(U) \subset K^n$ .

Similarly, let  $\omega$  be a maximal degree pluri-form, i.e. a section of the analytic sheaf  $(\Omega_X^n)^{\otimes m}$  for some  $m > 0$ ; let  $\phi: U \rightarrow K^n$  be a local chart, defining local coordinates  $x_1, \dots, x_n$ . In these coordinates we can write

$$\phi_*\omega = f(x_1, \dots, x_n) (dx_1 \wedge \dots \wedge dx_n)^{\otimes m}.$$

Then one can define a Borel measure  ${}^m\sqrt{|\omega|}$  on  $U$  as follows: for any open subset  $A \subset U$ , we set

$${}^m\sqrt{|\omega|}(A) = \int_{\phi(A)} {}^m\sqrt{|f(x)|_K} d\mu,$$

where  $\mu$  is the usual normalized Haar measure on  $\phi(U) \subset K^n$ .

Now let  $\omega$  be a global section of  $\Omega_X^n$  (resp.  $(\Omega_X^n)^{\otimes m}$ ). To define a Borel measure  $|\omega|$  (resp.  ${}^m\sqrt{|\omega|}$ ) on the whole manifold  $X$ , one uses partitions of unity exactly as in the real case. The only thing to check is that  $|\omega|$  (resp.  ${}^m\sqrt{|\omega|}$ ) transforms precisely like differential forms when changing coordinates, which is a consequence of the following  $K$ -analytic version of the change of variables formula.

**Theorem 2.4** (Change of variables formula). *Let  $U$  be an open subset of  $K^n$  and let  $\phi: U \rightarrow K^n$  be an injective  $K$ -analytic map whose Jacobian  $J_\phi$  is invertible on  $U$ . Then for every measurable positive (resp. integrable) function  $f: \phi(U) \rightarrow \mathbb{R}$*

$$\int_{\phi(U)} f(y) d\mu(y) = \int_U f(\phi(x)) |\det J_\phi(x)|_K d\mu(x).$$

### 3. PROOF OF THEOREM A

In this section we give the proof of Theorem A. The strategy of the proof goes as follows:

- (1) A multiple  $mL$  of  $L$  induces an embedding  $B \hookrightarrow \mathbb{P}^N$ ; since  $f_B$  preserves  $L$ , it is the restriction of a linear automorphism  $g \in \mathrm{PGL}_{N+1}(\mathbb{C})$ .
- (2) Find an  $f$ -invariant volume form  $\omega$  on  $X$  (for  $X$  irreducible symplectic,  $\omega = (\sigma \wedge \bar{\sigma})^n$ , where  $2n = \dim X$  and  $\sigma$  is a symplectic form).
- (3) The push-forward of  $\mu$  by  $\pi$  defines a  $f_B$ -invariant measure  $\mathrm{vol}$  on  $B$  not charging positive codimensional subvarieties; using this it is not hard to put  $g \in \mathrm{PGL}_{N+1}(\mathbb{C})$  in diagonal form with only complex numbers of modulus 1 on the diagonal.
- (4) Define the field of coefficients  $k$ : roughly speaking, a finitely generated (but not necessarily finite) extension of  $\mathbb{Q}$  over which  $X, B, f$ , the volume form and all the relevant maps are defined.
- (5) Apply a key lemma: if one of the coefficients  $\alpha$  of  $g$  weren't a root of unity, there would exist an embedding  $k \hookrightarrow K$  into a local field  $K$  such that  $|\rho(\alpha)| \neq 1$ . Then the same measure-theoretic argument as in point (3) leads to a contradiction.

A similar idea appears in the proof of Tits alternative for linear groups, see [Tit72].

**3.1. Invariant volume form on  $X$ .** Remark that, given a holomorphic  $n$ -form  $\Omega$  ( $n$  being the dimension of  $X$ ), the pull-back  $f^*\Omega$  is defined outside the indeterminacy locus of  $f$ ; the latter being of codimension  $\geq 2$ , by Hartogs principle we can extend  $f^*\Omega$  to an  $n$ -form on the whole  $X$ . This action determines a linear automorphism

$$f^*: H^0(X, K_X) \rightarrow H^0(X, K_X).$$

Similarly, for all  $m \geq 0$  one can define linear automorphisms

$$f_m^*: H^0(X, mK_X) \rightarrow H^0(X, mK_X).$$

Since  $X$  has non-negative Kodaira dimension, there exists  $m > 0$  such that  $mK_X$  has a section. The complex vector space  $H^0(X, mK_X)$  has finite dimension, thus there exists an eigenvector  $\Omega \in H^0(X, mK_X) \setminus \{0\}$ :

$$f^*\Omega = \xi\Omega.$$

The section  $\Omega$  can be written in local holomorphic coordinates  $x_1, \dots, x_n$  as

$$\Omega = f(x)(dx_1 \wedge \dots \wedge dx_n)^{\otimes m}$$

for some (local) holomorphic function  $f$ . Thus locally

$$\Omega \wedge \bar{\Omega} = |f(x)|^2 (dx_1 \wedge \dots \wedge dx_n)^{\otimes m} \wedge (d\bar{x}_1 \wedge \dots \wedge d\bar{x}_n)^{\otimes m}.$$

It can be checked that the local form

$$\omega = \frac{(-1)^{n(n-1)/2}}{i^n} \sqrt{|f(x)|^2} dx_1 \wedge \dots \wedge dx_n \wedge d\bar{x}_1 \wedge \dots \wedge d\bar{x}_n$$

is a volume form; since it is canonically associated to  $\Omega$ , such local expressions glue together to define a volume form on  $X$

$$\omega = \frac{(-1)^{n(n-1)/2}}{i^n} \sqrt[n]{\Omega \wedge \bar{\Omega}}.$$

Since the measure  $\mu$  of integration by  $\omega$  doesn't charge positive codimensional analytic subvarieties and since  $f$  is birational,  $f$  preserves the (finite) total measure:

$$\int_X f^*(\omega) = \int_X \omega;$$

this implies that  $|\xi| = 1$ , and in particular that the volume form  $\omega$  is  $f$ -invariant.

The push-forward by  $\pi$  induces a measure  $\text{vol}$  on  $B$ : for all Borel set  $A \subset B$ , we set

$$\text{vol}(A) := \int_{\pi^{-1}(A)} \omega.$$

The measure  $\text{vol}$  is  $f_B$ -invariant.

**3.2. A first reduction of  $g$ .** In a given system of homogeneous coordinates on  $\mathbb{P}^N$ , an automorphism  $g \in \text{Aut}(\mathbb{P}^N) = \text{PGL}_{N+1}(\mathbb{C})$  is represented by a matrix  $M$  acting linearly on such coordinates;  $M$  is well-defined up to scalar multiplication. We will say that  $g$  is semi-simple if  $M$  is; in this case there exist homogeneous coordinates  $Y_0, \dots, Y_N$  such that the action of  $g$  on these coordinates can be written

$$g([Y_0 : \dots : Y_N]) = \begin{bmatrix} 1 & & & & \\ & \alpha_1 & & & \\ & & \ddots & & \\ & & & \ddots & \\ & & & & \alpha_N \end{bmatrix} \underline{Y} = [Y_0 : \alpha_1 Y_1 : \dots : \alpha_N Y_N].$$

By an abuse of terminology, we will call the  $\alpha_i$  the eigenvalues of  $g$ ; they are not well-defined, but the property that they are all of modulus 1 is.

**Lemma 3.1.** *The automorphism  $g$  is semi-simple and its eigenvalues have all modulus 1.*

*Proof.* Let us prove first that  $g$  is semi-simple. If this were not the case, the Jordan form of  $g$  (which is well-defined up to scalar multiplication) would have a non-trivial Jordan block, say of dimension  $k \geq 2$ . It turns out that the computations are clearer if we consider the lower triangular Jordan form. In some good homogeneous coordinates  $Y_0, \dots, Y_N$  of  $\mathbb{P}^N$ , after rescaling the coefficients of  $g$  we can write

$$g(\underline{Y}) = \begin{bmatrix} 1 & & & & \mathbf{0} & & \\ 1 & 1 & & & & & \\ & \ddots & \ddots & & & & \\ \mathbf{0} & & & 1 & 1 & & \mathbf{0} \\ & & & & & \alpha_k & \mathbf{0} \\ & \mathbf{0} & & & & & \ddots \\ & & & & \star & & \alpha_N \end{bmatrix} \underline{Y}.$$

Take the affine chart  $\{Y_0 \neq 0\} \cong \mathbb{C}^N$  with the induced affine coordinates  $y_i = Y_i/Y_0$ . In these coordinates we can write

$$g(y_1, \dots, y_N) = (y_1 + 1, y_2 + y_1, \dots)$$

and thus

$$g^N(y_1, \dots, y_N) = (y_1 + N, \dots).$$

Let

$$A = \{(y_1, \dots, y_N) \in \mathbb{C}^N \mid 0 \leq \operatorname{Re}(y_1) < 1\};$$

then we have

$$\mathbb{C}^N = \prod_{N \in \mathbb{Z}} g^N(A);$$

therefore

$$B \cap \mathbb{C}^N = \prod_{N \in \mathbb{Z}} B \cap g^N(A) = \prod_{N \in \mathbb{Z}} f_B^N(A \cap B)$$

and

$$\operatorname{vol}(B) = \operatorname{vol}(B \cap \mathbb{C}^N) = \sum_{N \in \mathbb{Z}} \operatorname{vol}(f_B^N(A \cap B)) = \sum_{N \in \mathbb{Z}} \operatorname{vol}(A \cap B) = 0 \text{ or } +\infty,$$

which is a contradiction with the finiteness of  $\operatorname{vol}$ . This shows that  $g$  is diagonalizable.

Next we show that, up to rescaling, in good homogeneous coordinates one can write

$$g(\underline{Y}) = \begin{bmatrix} 1 & & & \\ & \alpha_1 & & \\ & & \ddots & \\ & & & \alpha_N \end{bmatrix} \underline{Y} = [Y_0 : \alpha_1 Y_1 : \dots : \alpha_N Y_N]$$

with  $|\alpha_i| = 1$ . Suppose by contradiction that  $|\alpha_1| \neq 1$  (for example  $|\alpha_1| > 1$ ), and define

$$A = \{(y_1, \dots, y_N) \in \mathbb{C}^N \mid 1 \leq |y_1| < |\alpha_1|\} \subset \mathbb{C}^N.$$

The same argument as above leads to a contradiction.  $\square$

**3.3. The field of coefficients.** A key idea of the proof will be to define the "smallest" extension  $k$  of  $\mathbb{Q}$  over which  $X$ ,  $B$  and all the relevant applications are defined, and to embed  $k$  in a local field in such a way as to obtain a contradiction.

Let us fix a cover of  $X$  by affine charts  $U_1, \dots, U_m$  trivializing the canonical bundle. Each of these  $U_i$  is isomorphic to the zero locus of some polynomials  $p_{i,1}, \dots, p_{i,n_i}$  in an affine space  $\mathbb{C}^{N_i}$ ; fix some rational functions  $g_{i,j}: \mathbb{C}^{N_i} \dashrightarrow \mathbb{C}^{N_j}$  giving the changes of coordinates from  $U_i$  to  $U_j$ . Denote  $\phi_{i,j}: U_i \cap U_j \rightarrow \mathbb{C}^*$  the change of charts for the canonical bundle; such functions are algebraic, therefore they are given by some rational functions  $h_{i,j}$  on  $\mathbb{C}^{N_i}$  (or, equivalently,  $\mathbb{C}^{N_j}$ ). Let  $f: \mathbb{C}^{N_i} \dashrightarrow \mathbb{C}^{N_j}$  (resp.  $\Omega_i: \mathbb{C}^{N_i} \dashrightarrow \mathbb{C}$ ) be some rational functions defining  $f$  (resp.  $\Omega$ ). Finally, fix homogeneous coordinates on  $\mathbb{P}^N$  diagonalizing  $g$  (see paragraph 3.2), and let  $q_1, \dots, q_M$  be homogeneous polynomials defining  $B \subset \mathbb{P}^N$ ; fix as well some rational maps  $\pi_i: \mathbb{C}^{N_i} \dashrightarrow \mathbb{C}^{N+1}$  defining  $\pi_{U_i}$  upon passing to quotient.

We define the *field of coefficients*  $k = k_\Omega$  as the extension of  $\mathbb{Q}$  generated by all the coefficients appearing in the  $p_{i,k}, f_{i,j}, g_{i,j}, h_{i,j}, \Omega_i, \pi_i$  and by  $\alpha_1, \dots, \alpha_n$ ; this is a finitely generated (but not necessarily finite) extension of  $\mathbb{Q}$  over which  $X$  is defined.

Let  $\rho: k \hookrightarrow K$  be an embedding of  $k$  into a local field  $K$ ; since  $\mathbb{R}$  is naturally embedded in  $\mathbb{C}$ , we may and will assume that  $K$  is either  $\mathbb{C}$  or a  $p$ -adic field. We can now apply a base change in the sense of algebraic geometry to recover a smooth projective scheme over  $K$  and all the relevant functions.

Here are the details of the construction: the polynomials  $p_{i,k}^\rho = \rho(p_{i,k})$  define affine varieties  $X_i^\rho$  of  $K^{N_i}$ ; the rational functions  $g_{i,j}^\rho$  allow to glue the  $X_i^\rho$ -s into a complex algebraic variety  $X^\rho$ . This variety is actually smooth since smoothness is a local condition which is algebraic in the coefficients of the  $p_{i,k}$ . Furthermore, by applying  $\rho$  to all the relevant rational functions, we can recover a birational transformation  $f^\rho: X^\rho \dashrightarrow X^\rho$

and a canonical section  $\Omega^\rho \in H^0(X^\rho, K_{X^\rho})$ . Remark that we can suppose that  $X^\rho$  is projective: indeed,  $X \subset \mathbb{P}^N(\mathbb{C})$  is the zero locus of some homogeneous polynomials  $P_1, \dots, P_k \in \mathbb{C}[Y_0, \dots, Y_N]$ , and, up to adding the affine open subsets  $X_i = X \cap \{Y_i \neq 0\}$  to the above constructions, it is easy to see that  $X^\rho \subset \mathbb{P}^N(K)$  is the zero locus of  $P_1^\rho, \dots, P_k^\rho$ . Furthermore, applying  $\rho$  to the equations of  $\pi$  defines a meromorphic fibration  $\pi^\rho: X^\rho \dashrightarrow \mathbb{P}_K^n$ , and, denoting  $g^\rho: \mathbb{P}_K^n \rightarrow \mathbb{P}_K^n$  the automorphism given by  $g^\rho[Y_0 : \dots : Y_n] = [Y_0 : \alpha_1^\rho Y_1 : \dots : \alpha_n^\rho Y_n]$ , we have  $\pi^\rho \circ f^\rho = g^\rho \circ \pi^\rho$ :

$$\begin{array}{ccc} X^\rho & \xrightarrow{f^\rho} & X^\rho \\ \downarrow \pi^\rho & & \downarrow \pi^\rho \\ \mathbb{P}_K^n & \xrightarrow{g^\rho} & \mathbb{P}_K^n \end{array}$$

We will denote by  $\mu^\rho$  the measure on  $X^\rho$  associated to  $\Omega^\rho$ : this has been denoted by  $\sqrt[n]{|\Omega^\rho|}$  in section 2.3 in the non-archimedean case, while if  $K = \mathbb{C}$  it is defined as the measure of integration of

$$\omega^\rho = \frac{(-1)^{n(n-1)/2}}{i^n} \sqrt[n]{\Omega^\rho \wedge \overline{\Omega^\rho}}.$$

In both cases,  $\mu^\rho$  doesn't charge positive codimensional analytic subvarieties.

*Remark 3.2.* At this stage we can already prove that the  $\alpha_i$  are algebraic numbers all of whose conjugates over  $\mathbb{Q}$  have modulus 1. Indeed suppose that this is not the case, say for  $\alpha_1$ ; by a standard argument in Galois theory (see for example [Lan02]), one can find an embedding  $\rho: k \hookrightarrow \mathbb{C}$  such that  $|\rho(\alpha_1)| \neq 1$ . Now,  $g^\rho$  preserves the measure  $\text{vol}^\rho$  induced on  $\mathbb{P}^n$  by  $\omega^\rho$

$$\text{vol}^\rho(A) := \int_{(\pi^\rho)^{-1}(A)} \omega^\rho,$$

and Lemma 3.1 leads to a contradiction.

If we somehow knew that the  $\alpha_i$  are algebraic integers, we could conclude by a lemma of Kronecker's (see [Kro57]) that they are roots of unity. However, this is in general not true for algebraic numbers: for example,

$$\alpha = \frac{3 + 4i}{5}$$

has only  $\bar{\alpha}$  as a conjugate over  $\mathbb{Q}$ , and they both have modulus 1, but they are not roots of unity. In order to exclude this case we will have to use the  $p$ -adic argument.

**3.4. Key lemma and conclusion.** In his original proof of the Tits alternative for linear groups [Tit72], Tits proved and used (much like we do in this context) the following simple but crucial lemma:

**Lemma 3.3** (Key lemma). *Let  $k$  be a finitely generated extension of  $\mathbb{Q}$  and let  $\alpha \in k$  be an element which is not a root of unity. Then there exist a local field  $K$  (with norm  $|\cdot|$ ) and an embedding  $\rho: k \hookrightarrow K$  such that  $|\rho(\alpha)| > 1$ .*

We can now show that the number  $\xi$  such that  $f^*\Omega = \xi\Omega$  is actually a root of unity; this follows from the classical result that  $f$  induces a linear map on cohomology preserving the integral structure (see for example [NU73]) and from the fact that all the conjugates of  $\xi$  over  $\mathbb{Q}$  also have modulus 1 (the method for the proof being the same as the one explained in Remark 3.2), but to the best of my knowledge the present proof using Lemma 3.3 is original.



**Lemma 3.4.** *Some iterate  $f^N$  of  $f$  preserves  $\Omega$ .*

*Proof.* We need to show that  $|\xi|$  is a root of unity. Suppose by contradiction that this is not the case, and define the field of coefficients  $k = k_\Omega$ ; since  $f^*\Omega = \xi\Omega$ , we have  $\xi \in k$ . Applying Lemma 3.3, one finds an embedding  $\rho: k \hookrightarrow K$  into a local field  $K$  such that  $|\rho(\xi)| \neq 1$ .

The measure  $\mu^\rho$  on  $X^\rho$  doesn't charge positive codimensional analytic subvarieties and  $f^\rho: X^\rho \dashrightarrow X^\rho$  is a birational map, therefore the (finite) total measure is preserved by  $f^\rho$ :

$$(f^\rho)^*\mu^\rho(X^\rho) = \mu^\rho(X^\rho).$$

On the other hand  $(f^\rho)^*\mu^\rho$  is the measure associated to  $(f^\rho)^*\Omega^\rho = \xi^\rho\Omega^\rho$ , hence  $(f^\rho)^*\mu^\rho = |\xi^\rho| \cdot \mu^\rho$  and  $|\xi^\rho| \neq 1$ , a contradiction.  $\square$

*Proof of Theorem A.* Suppose by contradiction that one of the eigenvalues, say  $\alpha_1$ , is not a root of unity.

We replace  $f$  by an iterate  $f^N$  preserving  $\Omega$ , and define the field of coefficients  $k$ . Now, since  $f^*\Omega = \Omega$ , we have  $(f^\rho)^*(\Omega^\rho) = \Omega^\rho$ , and in particular  $f_B^\rho$  preserves the measure  $\text{vol}^\rho$  on  $B^\rho$  induced by the push-forward of  $\mu_\rho$ :

$$\text{vol}^\rho(A) := \mu^\rho((\pi^\rho)^{-1}(A)).$$

The measure  $\text{vol}^\rho$  is non-trivial, finite, and doesn't charge positive codimensional analytic subvarieties of  $B^\rho$ , thus we can conclude just as in the proof of Lemma 3.1.

Namely, identify  $\{Y_0 \neq 0\} \subset \mathbb{P}_K^N$  with  $K^N$  and denote  $A := \{(y_1 : \dots : y_N) \in K^N \mid 1 \leq |y_1| < |\alpha_1|\} \subset K^N$  if  $|\rho(\alpha_1)| > 1$  (respectively  $A := \{(y_1 : \dots : y_N) \in K^N \mid |\alpha_1^\rho| \leq |y_1| < 1\} \subset K^N$  if  $|\rho(\alpha_1)| < 1$ ); then, since  $\text{vol}^\rho$  doesn't charge positive codimension analytic subsets, we have

$$\begin{aligned} \mu^\rho(X^\rho) &= \text{vol}^\rho(B^\rho) = \text{vol}^\rho(B^\rho \cap K^n) = \\ &= \sum_{N \in \mathbb{Z}} \text{vol}^\rho((g^\rho)^N(A \cap B^\rho)) = \sum_{N \in \mathbb{Z}} \text{vol}^\rho(A \cap B^\rho) = 0 \text{ or } +\infty, \end{aligned}$$

a contradiction.  $\square$

## REFERENCES

- [CLNS14] Antoine Chambert-Loir, Johannes Nicaise, and Julien Sebag. Motivic integration. 2014.
- [GHJ03] M. Gross, D. Huybrechts, and D. Joyce. *Calabi-Yau manifolds and related geometries*. Universitext. Springer-Verlag, Berlin, 2003. Lectures from the Summer School held in Nordfjordeid, June 2001.
- [HKZ15] Fei Hu, JongHae Keum, and De-Qi Zhang. Criteria for the existence of equivariant fibrations on algebraic surfaces and hyperkähler manifolds and equality of automorphisms up to powers: a dynamical viewpoint. *Journal of the London Mathematical Society. Second Series*, 92(3):724–735, 2015.
- [Huy99] Daniel Huybrechts. Compact hyper-Kähler manifolds: basic results. *Invent. Math.*, 135(1):63–113, 1999.
- [Igu00] Jun-ichi Igusa. *An introduction to the theory of local zeta functions*, volume 14 of *AMS/IP Studies in Advanced Mathematics*. American Mathematical Society, Providence, RI; International Press, Cambridge, MA, 2000.
- [Kro57] L. Kronecker. Zwei Sätze über Gleichungen mit ganzzahligen Coefficienten. *Journal für die Reine und Angewandte Mathematik. [Crelle's Journal]*, 53:173–175, 1857.
- [Lan02] Serge Lang. *Algebra*, volume 211 of *Graduate Texts in Mathematics*. Springer-Verlag, New York, third edition, 2002.
- [LB] Federico Lo Bianco. On the primitivity of birational transformations of irreducible symplectic manifolds. *arXiv preprint arXiv:1604.05261*.
- [NU73] Iku Nakamura and Kenji Ueno. An addition formula for Kodaira dimensions of analytic fibre bundles whose fibre are Moisèzon manifolds. *Journal of the Mathematical Society of Japan*, 25:363–371, 1973.

- [Pop11] M Popa. Modern aspects of the cohomological study of varieties. *Lecture notes*, 2011.
- [Tit72] J. Tits. Free subgroups in linear groups. *Journal of Algebra*, 20:250–270, 1972.