

# ENDOMORPHISMS AND BIJECTIONS OF THE CHARACTER VARIETY $\chi(\mathbf{F}_2, \mathrm{SL}_2(\mathbf{C}))$

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ABSTRACT. We answer a question of Gelander and Souto in the special case of the free group of rank 2. The result may be stated as follows. If  $\mathbf{F}$  is a free group of rank 2, and  $\mathbf{G}$  is a proper subgroup of  $\mathbf{F}$ , the restriction of homomorphisms  $\mathbf{F} \rightarrow \mathrm{SL}_2(\mathbf{C})$  to the subgroup  $\mathbf{G}$  defines a map from the character variety  $\chi(\mathbf{F}, \mathrm{SL}_2(\mathbf{C}))$  to the character variety  $\chi(\mathbf{G}, \mathrm{SL}_2(\mathbf{C}))$ ; this algebraic map never induces a bijection between these two character varieties.

RÉSUMÉ. Le résultat suivant, qui répond à une question de Gelander et Souto dans un cas particulier, est démontré : si  $\mathbf{F}$  est le groupe libre de rang 2 et  $\mathbf{G}$  est un sous-groupe de  $\mathbf{F}$ , la restriction des homomorphismes  $\mathbf{F} \rightarrow \mathrm{SL}_2(\mathbf{C})$  au sous-groupe  $\mathbf{G}$  fournit une application de la variété des caractères  $\chi(\mathbf{F}, \mathrm{SL}_2(\mathbf{C}))$  vers la variété des caractères  $\chi(\mathbf{G}, \mathrm{SL}_2(\mathbf{C}))$ ; cette application algébrique n'est bijective que si  $\mathbf{G}$  coïncide avec  $\mathbf{F}$ .

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## 1. REPRESENTATIONS AND CHARACTER VARIETIES

Consider the free group of rank 2,

$$\mathbf{F} = \langle a, b \mid \emptyset \rangle, \tag{1.1}$$

and an algebraic group  $H$ . Every representation  $\rho: \mathbf{F} \rightarrow H$  is defined by prescribing the images of the generators  $A = \rho(a)$  and  $B = \rho(b)$  in  $H$ . Thus, the variety of representations  $Rep(\mathbf{F}, H)$  is just the product  $H \times H$ . The group  $H$  acts on this

variety by conjugation, and the quotient, in the sense of geometric invariant theory, is called the character variety of  $(\mathbf{F}, H)$ ; we shall denote it  $\chi(\mathbf{F}, H)$ .

Assume now that  $H$  is the special linear group  $\mathrm{SL}_2$  (the field of definition will be specified later). Since traces of matrices are polynomial functions in the coefficients of the matrices and are invariant under conjugacy, the three functions

$$x = \mathrm{tr}(A), y = \mathrm{tr}(B), z = \mathrm{tr}(AB) \quad (1.2)$$

provide regular functions on the character variety  $\chi(\mathbf{F}, \mathrm{SL}_2)$ . The following result, due to Fricke, is proven in details in [5].

**Fricke's Theorem.** *The character variety  $\chi(\mathbf{F}, \mathrm{SL}_2)$  is the affine space of dimension 3; its ring of regular functions are the polynomial functions in the coordinates  $(x, y, z) = (\mathrm{tr}(A), \mathrm{tr}(B), \mathrm{tr}(AB))$ .*

We did not specify the field because this theorem works for any algebraically closed field. Examples of invariant functions are given by traces of words in the matrices  $A$  and  $B$ , for instance by the function  $\mathrm{tr}(A^3B^{-2}AB)$ ; in fact, the theorem of Fricke is based on the fact that these traces can be expressed as polynomial functions of  $x$ ,  $y$ , and  $z$  with integer coefficients. This follows easily from Cayley-Hamilton theorem. For instance  $A - \mathrm{tr}(A)Id + A^{-1} = 0$ , which shows that  $\mathrm{tr}(A^{-1}B) = xy - z$ . A classical example is given by the trace of the commutator of  $A$  and  $B$ :

$$\mathrm{tr}(ABA^{-1}B^{-1}) = x^2 + y^2 + z^2 - xyz - 2. \quad (1.3)$$

The level sets of this polynomial function are the cubic surfaces

$$S_\kappa = \{(x, y, z); x^2 + y^2 + z^2 = xyz + \kappa\}. \quad (1.4)$$

The surface  $S_0$  is known as the Markoff surface, and  $S_4$  as the Cayley cubic (see [1] §2.8 and [2], §1.5).

## 2. RESTRICTIONS

Now, consider a subgroup  $\mathbf{G}$  of  $\mathbf{F}$ . It is a free group, and we assume that  $\mathbf{G}$  has rank two, as  $\mathbf{F}$ . Fixing a basis  $(u, v)$  of  $\mathbf{G}$ , we have:

- (1)  $u$  and  $v$  are elements of  $\mathbf{F}$ , hence they are words  $u = u(a, b)$  and  $v = v(a, b)$  in the generators  $a$  and  $b$  and their inverses;
- (2)  $\mathbf{G} = \langle u, v \rangle$ , with no relations between  $u$  and  $v$ .

Since  $u$  is a word in  $a$  and  $b$ , we know from the theorem of Fricke that there is a polynomial function  $P \in \mathbf{Z}[X, Y, Z]$  with the following property. For every pair

$(A, B)$  of elements of  $\mathrm{SL}_2$ ,

$$P(x, y, z) = \mathrm{tr}(u(A, B)) \quad \text{where} \quad (x, y, z) = (\mathrm{tr}(A), \mathrm{tr}(B), \mathrm{tr}(AB)). \quad (2.1)$$

Similarly, there are polynomial functions  $Q$  and  $R$  such that

$$Q(x, y, z) = \mathrm{tr}(v(A, B)) \quad \text{and} \quad R(x, y, z) = \mathrm{tr}(u(A, B)v(A, B)). \quad (2.2)$$

Every representation  $\rho$  of  $\mathbf{F}$  into  $\mathrm{SL}_2$  gives a representation of  $\mathbf{G}$ : the restriction of  $\rho$  to  $\mathbf{G}$ . Thus, we get a map  $res: \chi(\mathbf{F}, \mathrm{SL}_2) \rightarrow \chi(\mathbf{G}, \mathrm{SL}_2)$ . Once these character varieties have been identified to affine spaces of dimension 3 using the coordinates  $(x, y, z) = (\mathrm{tr}(A), \mathrm{tr}(B), \mathrm{tr}(AB))$  and  $(r, s, t) = (\mathrm{tr}(U), \mathrm{tr}(V), \mathrm{tr}(UV))$ , this map  $res$  corresponds to the algebraic endomorphism  $\mathbb{A}^3 \rightarrow \mathbb{A}^3$  defined by

$$(x, y, z) \mapsto (P(x, y, z), Q(x, y, z), R(x, y, z)). \quad (2.3)$$

Our goal is to understand whether this map can be a bijection (resp. an isomorphism of algebraic varieties) when  $\mathbf{G}$  is a strict subgroup of  $\mathbf{F}$ . This was the question raised by Gelander and Souto, in its simpler form.

To restate this question more precisely, we adopt another equivalent viewpoint. Consider the endomorphism  $\varphi: \mathbf{F} \rightarrow \mathbf{F}$  that maps  $a$  to  $u(a, b)$  and  $b$  to  $v(a, b)$ . Its image is  $\mathbf{G}$ . Given any representation  $\rho$  of  $\mathbf{F}$ ,  $\varphi_*\rho = \rho \circ \varphi$  is a new representation of  $\mathbf{F}$ ; this determines an algebraic endomorphism

$$\Phi: \chi(\mathbf{F}, \mathrm{SL}_2) \rightarrow \chi(\mathbf{F}, \mathrm{SL}_2). \quad (2.4)$$

Then,  $res$  is a bijection if and only if  $\Phi$  is a bijection (these two maps are actually the same maps in affine coordinates). Thus, the question may be stated as follows.

**Questions.**— *Given an endomorphism  $\varphi: \mathbf{F} \rightarrow \mathbf{F}$  of the free group  $\mathbf{F}$ , under what condition does it induce an automorphism  $\Phi: \chi(\mathbf{F}, \mathrm{SL}_2) \rightarrow \chi(\mathbf{F}, \mathrm{SL}_2)$  of the algebraic variety  $\chi(\mathbf{F}, \mathrm{SL}_2)$ ? Given an endomorphism  $\varphi: \mathbf{F} \rightarrow \mathbf{F}$ , and a field  $\mathbf{k}$ , under what condition does  $\varphi$  induce a bijection  $\Phi: \mathbb{A}^3(\mathbf{k}) \rightarrow \mathbb{A}^3(\mathbf{k})$  of the set of  $\mathbf{k}$  points of  $\chi(\mathbf{F}, \mathrm{SL}_2) = \mathbb{A}^3$ ?*

For the second version of the question, it is crucial to indicate over which field one works. If the field is too small, for instance if it is a finite field, there are many endomorphisms  $\varphi$  that induce bijections on the set of representations into  $\mathrm{SL}_2(\mathbf{k})$ . Indeed, consider a finite group  $H$ , for example  $H = \mathrm{SL}_2(\mathbf{k})$  for some finite field  $\mathbf{k}$ , and denote by  $n$  the number of elements of  $H$ . Then, every element  $h \in H$  satisfies  $h^n = e_H$ . Now, pick positive integers  $\ell$  and  $\ell'$  and consider the endomorphism  $\varphi$  of  $\mathbf{F}$  that maps  $a$  to  $a^{\ell n + 1}$  and  $b$  to  $b^{\ell' n + 1}$ . Then,  $\rho(\varphi(a)) = \rho(a)$  and  $\rho(\varphi(b)) = \rho(b)$

for every representation  $\rho: \mathbf{F} \rightarrow H$ ; thus,  $\varphi$  induces an injection (hence a bijection) of the finite set of representations of  $\mathbf{F}$  into  $H$ .

If we assume that  $\mathbf{k}$  is algebraically closed and of characteristic 0, the two questions are actually equivalent, as the following classical statement shows.

**Bijectivity Theorem.** *Let  $\Phi: \mathbb{A}^d \rightarrow \mathbb{A}^d$  be a regular endomorphism of an affine space, defined over an algebraically closed field  $\mathbf{k}$  of characteristic 0. If  $\Phi$  is an injective transformation of  $\mathbb{A}^d(\mathbf{k})$  then  $\Phi$  is an automorphism of  $\mathbb{A}^d$ : it is bijective and its inverse is also defined by polynomial formulas.*

This theorem fails over the field of real numbers, as  $x \mapsto x + x^3$  shows. It also fails in positive characteristic, as the Frobenius morphism shows. For a proof of the Bijectivity Theorem see the book [7]. Note also that this result holds in much greater generality, and can therefore be applied to character varieties of higher rank free groups.

### 3. THE MAIN THEOREM

**Theorem A.** *Let  $\mathbf{F}$  be the free group of rank 2, and  $\varphi: \mathbf{F} \rightarrow \mathbf{F}$  be an endomorphism of  $\mathbf{F}$ . If the algebraic endomorphism*

$$\Phi: \chi(\mathbf{F}, \mathrm{SL}_2(\mathbf{C})) \rightarrow \chi(\mathbf{F}, \mathrm{SL}_2(\mathbf{C}))$$

*induced by  $\varphi$  is injective, then  $\varphi: \mathbf{F} \rightarrow \mathbf{F}$  is an automorphism of the free group  $\mathbf{F}$ .*

**Corollary 3.1.** *Let  $\mathbf{F}$  be the free group of rank 2. If  $\mathbf{G}$  is a proper subgroup of  $\mathbf{F}$ , the restriction  $res: \rho \mapsto \rho|_{\mathbf{G}}$  does not induce a bijection from the character variety  $\chi(\mathbf{F}, \mathrm{SL}_2(\mathbf{C}))$  to the character variety  $\chi(\mathbf{G}, \mathrm{SL}_2(\mathbf{C}))$ .*

*Proof of the corollary.* For  $res$  to be a bijection,  $\mathbf{G}$  should have rank 2 (the dimension of  $\chi(\mathbf{G}, \mathrm{SL}_2(\mathbf{C}))$  is  $3\mathrm{rk}(\mathbf{G}) - 3$ ). The previous section shows that  $res$  is a bijection if and only if the endomorphism  $\varphi: \mathbf{F} \rightarrow \mathbf{F}$  determined by any isomorphism between  $\mathbf{F}$  and  $\mathbf{G}$  induces a bijection on the character variety of  $\mathbf{F}$ . Theorem A shows that  $\varphi$  must be an isomorphism, hence  $\mathbf{G} = \mathbf{F}$ .  $\square$

### 4. THE PROOF

To prove Theorem A, one first makes use of the Bijectivity Theorem, and deduce that the polynomial endomorphism  $\Phi$  which is determined by  $\varphi$  is a polynomial automorphism of the character variety  $\chi(\mathbf{F}, \mathrm{SL}_2(\mathbf{C}))$ . In what follows,  $S_{\mathbf{k}}$  is the complex affine surface defined by Equation (1.4) (it may be better to denote it  $S_{\mathbf{k}}(\mathbf{C})$ ).

4.1. **Automorphisms of the surfaces  $S_\kappa$ .** In what follows, we denote by  $\text{Aut}(W)$  the group of automorphisms of the algebraic variety  $W$ . (Note that we play with two distinct notions of automorphisms and endomorphisms, one for groups, one for algebraic varieties.)

One can identify the group  $\text{Out}(\mathbf{F})$  with  $\text{GL}_2(\mathbf{Z})$  (see [6], Proposition I.4.5). This group acts on the character variety  $\chi(\mathbf{F}, \text{SL}_2)$ . The function  $\text{tr}([A, B])$  is invariant under this action because every automorphism of the group  $\mathbf{F}$  maps  $aba^{-1}b^{-1}$  to a conjugate of itself or its inverse (see [6], Proposition I.5.1 for instance). This gives an embedding

$$\text{GL}_2(\mathbf{Z}) \rightarrow \text{Aut}(\chi(\mathbf{F}, \text{SL}_2)), \quad (4.1)$$

i.e. in  $\text{Aut}(\mathbb{A}^3)$ , that preserves the polynomial function  $x^2 + y^2 + z^2 - xyz - 2$  and its level sets  $S_\kappa$ .

**El’Huti’s Theorem.** *Let  $\kappa$  be a complex number. The group  $\text{GL}_2(\mathbf{Z}) = \text{Out}(\mathbf{F})$  provides a subgroup of index 4 in the group of all automorphisms of the complex affine surface  $S_\kappa$ : every automorphism of  $S_\kappa$  is the composition of an element of  $\text{Out}(\mathbf{F})$  and a linear map  $(x, y, z) \mapsto (\varepsilon_1 x, \varepsilon_2 y, \varepsilon_3 z)$  where each  $\varepsilon_i = \pm 1$  and  $\varepsilon_1 \varepsilon_2 \varepsilon_3 = 1$ .*

Let us explain how this result follows from the main theorems of [4]. First, note that the image of the homomorphism  $\text{GL}_2(\mathbf{Z}) \rightarrow \text{Aut}(S_\kappa)$  contains the finite group of permutations of the coordinates. For instance, the permutation  $(x, y, z) \mapsto (z, y, x)$  is induced by the automorphism of  $\mathbf{F}$  mapping  $a$  and  $b$  to  $(ab)^{-1}$  and  $b$ .

To describe more precisely El’Huti’s work, we compactify  $S_\kappa$  by taking its closure  $\overline{S_\kappa}$  in the projective space  $\mathbb{P}_{\mathbb{C}}^3$ . In homogeneous variables  $[x : y : z : w]$ , this surface is defined by the cubic equation

$$(x^2 + y^2 + z^2)w = xyz + \kappa w^3. \quad (4.2)$$

It intersects the plane at infinity  $\{w = 0\}$  into a triangle  $\{xyz = 0\}$ . If  $f$  is an automorphism of  $S_\kappa$ , it extends as a birational map  $\overline{f}$  of  $\overline{S_\kappa}$ , typically with indeterminacy points on the triangle at infinity.

There are three obvious involutions on  $S_\kappa$ . Indeed, if one projects  $S_\kappa$  onto the  $(x, y)$ -plane one gets a 2-to-1 cover because the equation of  $S_\kappa$  has degree 2 with respect to the  $z$ -variable; the deck transformation of this cover is the involution

$$\sigma_z(x, y, z) = (x, y, xy - z). \quad (4.3)$$

Geometrically,  $\sigma_z$  is the following birational transformation of  $\overline{S_\kappa}$ : if  $[x : y : z : w]$  is a point of  $\overline{S_\kappa}$ , draw the line joining this point to the point “at infinity”  $[0 : 0 : 1 : 0] \in \overline{S_\kappa}$ ;

this line intersects  $\overline{S_\kappa}$  in exactly three points, and the third point of intersection is precisely  $\sigma_z[x : y : z : w]$ . Permuting the variables, we obtain three involutions  $\sigma_x, \sigma_y, \sigma_z$  and Theorem 1 of [4] says that the group generated by those three involutions is a free product  $\mathbf{Z}/2\mathbf{Z} \star \mathbf{Z}/2\mathbf{Z} \star \mathbf{Z}/2\mathbf{Z}$ . Now, note that the element

$$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \in \mathrm{GL}_2(\mathbf{Z}) \quad (4.4)$$

is represented by the automorphism of  $\mathbf{F}$  mapping the generators  $a$  and  $b$  to  $a$  and  $b^{-1}$ , and its action on traces corresponds to  $\sigma_z$  because  $\mathrm{tr}(B^{-1}) = \mathrm{tr}(B)$  and  $\mathrm{tr}(AB^{-1}) = -\mathrm{tr}(AB) + \mathrm{tr}(A)\mathrm{tr}(B)$  for elements of  $\mathrm{SL}_2$  (see Section 1). Using permutations of coordinates, we see that the image of  $\mathrm{GL}_2(\mathbf{Z})$  in  $\mathrm{Aut}(S_\kappa)$  contains the three involutions  $\sigma_x, \sigma_y$ , and  $\sigma_z$ , hence the group  $\langle \sigma_x, \sigma_y, \sigma_z \rangle$  that they generate.

Theorem 2 of [4] states that the automorphism group  $\mathrm{Aut}(S_\kappa)$  is generated by two groups: the group  $\langle \sigma_x, \sigma_y, \sigma_z \rangle \simeq \mathbf{Z}/2\mathbf{Z} \star \mathbf{Z}/2\mathbf{Z} \star \mathbf{Z}/2\mathbf{Z}$ , and the group  $W(S_\kappa)$  of projective transformations of  $\mathbb{P}_{\mathbf{C}}^3$  preserving the compact surface  $\overline{S_\kappa}$  and its open surface  $S_\kappa \subset \overline{S_\kappa}$ . The following lemma concludes the proof of what we called El'Huti's theorem.

**Lemma 4.1.** *The group  $W(S_\kappa)$  is the group generated by*

- (1) *the group of permutations of the coordinates  $(x, y, z)$ , and*
- (2) *the changes of sign of pairs of coordinates (such as  $(x, y, z) \mapsto (-x, -y, z)$ ).*

*Proof.* Let  $f$  be a linear projective transformation preserving  $S_\kappa \subset \overline{S_\kappa}$ . Then,  $f$  preserves the triangle  $\overline{S_\kappa} \setminus S_\kappa$ , of equation  $\{w = 0, xyz = 0\}$ . Composing  $f$  by a permutation of the coordinates, we may assume that (i)  $f$  induces an affine transformation of the affine space  $\mathbb{A}_{\mathbf{C}}^3$  and (ii)  $f$  fixes the three points  $[1 : 0 : 0 : 0]$ ,  $[0 : 1 : 0 : 0]$  and  $[0 : 0 : 1 : 0]$  at infinity. Thus,  $f$  becomes an affine transformation whose linear part is diagonal, i.e.  $f(x, y, z) = (\alpha x + a, \beta y + b, \gamma z + c)$  for some complex numbers  $\alpha, \beta, \gamma, a, b$ , and  $c$  with  $\alpha\beta\gamma \neq 0$ . Now, if one writes that  $S_\kappa$  is invariant, and look at the quadratic terms  $xy, yz$ , and  $zx$ , one sees that  $a = b = c = 0$ ; then,  $\alpha, \beta$ , and  $\gamma$  are all equal to  $+1$  or  $-1$ .  $\square$

**4.2. Invariance of  $S_4$ .** Reducible representations correspond to the surface  $S_4$ : both  $A$  and  $B$  preserve a one dimensional subspace of  $\mathbf{C}^2$ , so that  $A$  and  $B$  can be written simultaneously as upper triangular matrices; there commutator  $ABA^{-1}B^{-1}$  is upper triangular, with 1's on the diagonal, and  $\mathrm{tr}(ABA^{-1}B^{-1}) = 2$ .

If  $\rho$  is a reducible representation of  $\mathbf{F}$ , so is  $\varphi_*\rho$ ; thus  $\Phi$  induces an automorphism of  $S_4$ . Since  $\mathrm{GL}_2(\mathbf{Z})$  generates a subgroup of  $\mathrm{Aut}(S_4)$  of finite index, we obtain the following lemma.

**Lemma 4.2.** *The endomorphism  $\Phi$  is an automorphism of the complex algebraic variety  $\chi(\mathbf{F}, \mathrm{SL}_2) = \mathbb{A}^3$  that preserves  $S_4$ . It induces an automorphism of  $S_4$ . There is an integer  $k > 0$  and an element  $\Psi$  of  $\mathrm{Out}(\mathbf{F})$  such that  $\Phi^k = \Psi$  on  $S_4$ .*

Here  $\Psi$  denotes the automorphism of  $\chi(\mathbf{F}, \mathrm{SL}_2)$  which is defined by  $\psi_*$ .

**Remark 4.3.** This remark is not needed in the proof, but illustrates the nice geometry of  $S_4$ . One can “uniformize”  $S_4$  by  $\mathbf{C}^* \times \mathbf{C}^*$ , as follows. Given a pair  $(z_1, z_2) \in \mathbf{C}^* \times \mathbf{C}^*$ , consider two upper triangular matrices  $A$  and  $B$  whose diagonal coefficients are respectively  $(z_1, 1/z_1)$  and  $(z_2, 1/z_2)$ . Then,

$$(\mathrm{tr}(A), \mathrm{tr}(B), \mathrm{tr}(AB)) = (z_1 + 1/z_1, z_2 + 1/z_2, z_1 z_2 + 1/(z_1 z_2)). \quad (4.5)$$

Then,

- the map  $\pi: (z_1, z_2) \mapsto (z_1 + 1/z_1, z_2 + 1/z_2, z_1 z_2 + 1/(z_1 z_2))$  is invariant under the involution  $\eta(z_1, z_2) = (1/z_1, 1/z_2)$  of  $\mathbf{C}^* \times \mathbf{C}^*$ ;
- the image  $\pi(\mathbf{C}^* \times \mathbf{C}^*)$  is  $S_4$ ;
- the projection  $\pi: \mathbf{C}^* \times \mathbf{C}^* \rightarrow S_4$  realizes  $S_4$  as the quotient  $(\mathbf{C}^* \times \mathbf{C}^*)/\eta$ ;
- the four fixed points  $(\pm 1, \pm 1)$  of  $\eta$  give rise to the four singularities of  $S_4$ .

The group  $\mathrm{GL}_2(\mathbf{Z})$  acts by automorphisms on the algebraic group  $\mathbf{C}^* \times \mathbf{C}^*$ ; in coordinates  $(z_1, z_2)$ , this action is given by monomial transformations  $(z_1, z_2) \mapsto (z_1^a z_2^b, z_1^c z_2^d)$ . From El’Huti’s theorem, or by a direct computation, one easily deduces that this copy of  $\mathrm{GL}_2(\mathbf{Z})$  in  $\mathrm{Aut}(S_4)$  coincides with the one given by  $\mathrm{Out}(\mathbf{F})$  and has finite index in  $\mathrm{Aut}(S_4)$ . (see §1.2 of [2] for details)

**4.3. Invariance of  $E_4$ .** *We replace  $\Phi$  by  $\Phi^k$  and compose it with  $\Psi^{-1}$ ; after such a modification  $\Phi$  is the identity on  $S_4$ .*

Our goal is to show that, under this extra hypothesis,  $\Phi$  is the identity. For this, note that the equation

$$E_4(x, y, z) = x^2 + y^2 + z^2 - xyz - 4 \quad (4.6)$$

of  $S_4$  is transformed by the automorphism  $\Phi: \mathbb{A}^3 \rightarrow \mathbb{A}^3$  into another (reduced) equation of the same (hyper)surface. Thus, there is a non-zero constant  $\alpha$  such that

$$E_4 \circ \Phi = \alpha E_4. \quad (4.7)$$

**Lemma 4.4.** *The constant  $\alpha$  is equal to 1. Hence,  $E_4$  is  $\Phi$ -invariant, and each of the surfaces  $S_\kappa$  is  $\Phi$  invariant.*

*Proof.* Since  $E_4 \circ \Phi = \alpha E_4$ , the level sets  $S_\kappa$  of  $E_4$  are permuted by the automorphism  $\Phi$ . Among them, exactly two are singular surfaces. The surface  $S_4$ , and the

Markov surface  $S_0$ . Indeed, the differential of  $E_4$  is  $(2x - yz)dx + (2y - zx)dy + (2z - xy)dz$ ; if it vanishes, we obtain  $x^2 = y^2 = z^2 = xyz/2 = \kappa$ , and we deduce that  $\kappa = 0$  or 4. Since  $S_4$  is  $\Phi$ -invariant, the singular surface  $S_0$  (and its singularity at the origin) must also be  $\Phi$ -invariant. This implies  $\alpha E_4(0, 0, 0) = E_4(0, 0, 0)$  and  $\alpha = 1$ .  $\square$

**Remark 4.5.** Instead of looking at singularities of the surfaces  $S_\kappa$ , we could have considered the subset  $F$  of  $\chi(\mathbf{F}, \mathrm{SL}_2)$  given by irreducible representations with finite image. This set is  $\Phi$ -invariant, and it is finite. Thus, there exists  $\ell > 0$  such that  $\Phi^\ell$  fixes  $F$  pointwise. This implies  $E_4 \circ \Phi^\ell = E_4$ ; looking at the different possibilities for the finite images, one can even deduce that  $\ell = 1$

**4.4. Conclusion.** From Lemma 4.4 we get  $E_4 \circ \Phi = E_4$ . Thus,  $\Phi$  is an automorphism of the complex affine space  $\chi(\mathbf{F}, \mathrm{SL}_2)$  that preserves every level set  $S_\kappa$  of  $E_4$ . Fix such a constant  $\kappa$ , and consider the restriction of  $\Phi$  to  $S_\kappa$ . This is an automorphism of  $S_\kappa$  and we denote by  $\overline{\Phi}$  its extension, as a birational transformation, to the compactification  $\overline{S_\kappa}$  of  $S_\kappa$  in  $\mathbb{P}^3(\mathbf{C})$ . The trace of  $\overline{S_\kappa}$  at infinity is the triangle given by  $xyz = 0$  (see Section 4.1). This triangle does not depend on  $\kappa$ , and one verifies that the action of  $\overline{\Phi}$  at infinity does not depend on  $\kappa$  either: indeterminacy points, and exceptional curves are the same for all values of  $\kappa$  (see §2.4 and 2.6 of [1]). But for  $\kappa = 4$ , we know that this action is just the identity map. Thus,  $\overline{\Phi}$  is in fact an automorphism of  $\overline{S_\kappa}$  for all values of  $\kappa$ . From Section 4.1, we know this automorphism  $\overline{\Phi}$  is a composition of a permutation of the coordinates  $(x, y, z)$  with a diagonal linear map whose diagonal coefficients are  $\pm 1$ . Since  $\overline{\Phi}$  is the identity on  $S_4$ , we deduce that  $\overline{\Phi}$  is the identity.

Thus, we have shown that there is an automorphism  $\psi$  of  $\mathbf{F}$  and a positive integer  $k$  such that  $\Phi^k \circ \Psi^{-1}$  is the identity map. In other words,  $\Phi^k = \Psi$  on  $\chi(\mathbf{F}, \mathrm{SL}_2)$ . To conclude, one needs to show that an endomorphism  $\phi$  of  $\mathbf{F}$  that induces the identity map on  $\chi(\mathbf{F}, \mathrm{SL}_2)$  is in fact an inner automorphism of the group  $\mathbf{F}$ . To prove it, fix a faithful representation  $\rho: \mathbf{F} \rightarrow \mathrm{SL}_2(\mathbf{C})$ ; its image is automatically Zariski dense in the complex algebraic group  $\mathrm{SL}_2(\mathbf{C})$ . For instance, take

$$\rho(a) = \begin{pmatrix} 1 & z \\ 0 & 1 \end{pmatrix} \quad \text{and} \quad \rho(b) = \begin{pmatrix} 1 & 0 \\ z & 1 \end{pmatrix} \quad (4.8)$$

for  $z = 2$  or even for a generic  $z \in \mathbf{C}$  (see [3], §II.B.25). Then, the fiber of  $\rho$  for the quotient map  $\mathrm{Rep}(\mathbf{F}, \mathrm{SL}_2(\mathbf{C})) \rightarrow \chi(\mathbf{F}, \mathrm{SL}_2(\mathbf{C}))$  is an orbit for the action of  $\mathrm{SL}_2(\mathbf{C})$  by conjugation on

$$\mathrm{Rep}(\mathbf{F}, \mathrm{SL}_2(\mathbf{C})) \simeq \mathrm{SL}_2(\mathbf{C}) \times \mathrm{SL}_2(\mathbf{C}). \quad (4.9)$$

Since  $\varphi$  induces the identity map on  $\chi(\mathbf{F}, \mathrm{SL}_2)$ ,  $\rho$  and  $\rho \circ \varphi$  are in the same conjugacy class, there is an element  $c \in \mathbf{F}$  such that  $\rho \circ \varphi(w) = \rho(cwc^{-1})$  for every  $w \in \mathbf{F}$ , and  $\varphi$  coincides with the conjugation by  $c$  because  $\rho$  is faithful.

**Remark 4.6.** It may also be possible to conclude the proof by showing that  $\varphi$  preserves the conjugacy classes of  $aba^{-1}b^{-1}$  and its inverse (because  $\Phi$  preserves the polynomial function  $E_4$ ). And this property is sufficient to imply that  $\varphi$  is an automorphism of  $\mathbf{F}$ .

## 5. TWO OPEN PROBLEMS

Theorem A leaves many natural questions open. One may, for instance, replace the free group of rank 2 by a free group of rank  $n > 1$  (or by fundamental groups of closed surfaces) and the group  $\mathrm{SL}_2$  by other algebraic groups. One may also replace the field  $\mathbf{C}$  by other fields, for instance by  $\mathbf{Q}$ ,  $\mathbf{R}$  or  $\mathbf{Q}_p$ . Let us now state two open problems that concern  $\chi(\mathbf{F}, \mathrm{SL}_2)$ .

**5.1. Real coefficients.** The proof makes use of the fact that  $\mathbf{C}$  is algebraically closed in order to get the equivalence “ $\Phi$  is a bijection if and only if it is an automorphism”. Let us replace  $\mathbf{C}$  by the field  $\mathbf{R}$  of real numbers, and simply assume that  $\Phi$  is a bijection of the real part  $\mathbb{A}^3(\mathbf{R})$  of the character variety. The difficulty is that there are algebraic bijections of  $\mathbf{R}$  which are not isomorphisms, for instance  $t \mapsto t + t^3$ .

There are two parts in  $\mathbb{A}^3(\mathbf{R})$ , corresponding respectively to representations of  $\mathbf{F}$  in  $\mathrm{SL}_2(\mathbf{R})$  and in  $\mathrm{SU}_2$ . Their common boundary is the surface  $S_4(\mathbf{R})$ . These subsets are  $\Phi$ -invariant; in particular,  $S_4(\mathbf{R})$  is invariant, as a subset of  $\mathbb{A}^3(\mathbf{R})$  (this does not imply that its equation  $E_4$  is invariant). I haven’t been able to use this invariance to prove that  $\Phi$  is a bijection of  $\mathbb{A}^3(\mathbf{R})$  if and only if  $\varphi$  is an automorphism of  $\mathbf{F}$ . Thus, Theorem A remains an open problem if one replaces the field  $\mathbf{C}$  by  $\mathbf{R}$ .

**5.2. Topological degree.** A better result than Theorem A would be to compute the topological degree of  $\Phi: \mathbb{A}^3(\mathbf{C}) \rightarrow \mathbb{A}^3(\mathbf{C})$  given by any injective endomorphism  $\varphi$  of  $F$ , or at least to estimate it from below. Theorem A just says that it cannot be equal to 1.

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