LINKS OF SENIALGEBRAIC SETS

Idea. He link is a local invariant of the singularities it is simpler than the initial singularities (and even smooth if the singularity is isolated).

 $x^3 = y^2 + z^2$ $\sum_{x = y^2 + z^2} X = x \wedge B(x_0, z)$ $\sum_{x = y^2 + z^2} X = x \wedge B(x_0, z)$ $\sum_{x = y^2 + z^2} X = x \wedge B(x_0, z)$

Goal Sullivan Theorem (1971)

Let X G R" be a real algebraic set. For all $\alpha \in X$, the Euler Characteristics χ (Link (X, α)) is even.

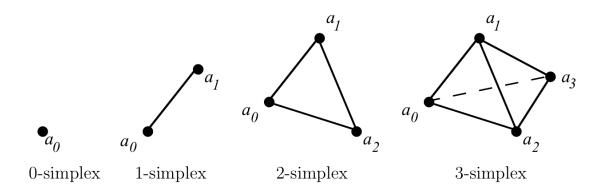
Remark we will use R= IR, even if it can be done with real closed fields.

The reason is that we are interested in topology.

Reference: M. Coste, Real Algebraic Sets, in "Are spaces and additive invariants in real algebraic and analytic georetry."

I TRIANGULATION OF SENIALGEBRAIC SETS

We first recall some definitions concerning simplicial complexes that we shall need. Let a_0, \ldots, a_d be points of \mathbb{R}^n which are affine independent (i.e. not contained in an affine subspace of dimension d-1).



The d-simplex with vertices as, -, ad is:

$$[a_0, \dots, a_d] = \{x \in \mathbb{R}^n \; ; \; \exists \lambda_0, \dots, \lambda_d \in [0, 1] \; \sum_{i=0}^d \lambda_i = 1 \; \text{ and } \; x = \sum_{i=0}^d \lambda_i a_i \}$$

The corresponding open simplex is

$$(a_0, \dots, a_d) = \{x \in \mathbb{R}^n \; ; \; \exists \lambda_0, \dots, \lambda_d \in (0, 1] \; \sum_{i=0}^d \lambda_i = 1 \; \text{and} \; x = \sum_{i=0}^d \lambda_i a_i \}$$

We shall denote by $\overset{\circ}{\sigma}$ the open simplex corresponding to the simplex σ . A face of the simplex $\sigma = [a_0, \dots, a_d]$ is a simplex $\tau = [b_0, \dots, b_e]$ such that

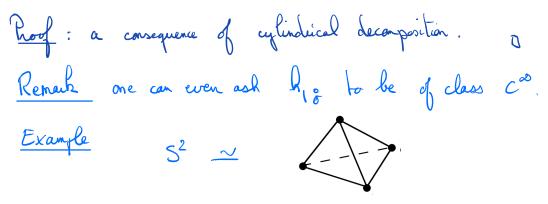
$$\{b_0,\ldots,b_e\}\subset\{a_0,\ldots,a_d\}$$
.

A finite simplicial complex in \mathbb{R}^n is a finite collection $K = \{\sigma_1, \ldots, \sigma_p\}$ of simplices $\sigma_i \subset \mathbb{R}^n$ such that, for every $\sigma_i, \sigma_j \in K$, the intersection $\sigma_i \cap \sigma_j$ is a common face of σ_i and σ_j (see Figure 3.3).



We set $|K| = \bigcup_{\sigma_i \in K} \sigma_i$; this is a semialgebraic subset of \mathbb{R}^n . A polyhedron in \mathbb{R}^n is a subset P of \mathbb{R}^n , such that there exists a finite simplicial complex K in \mathbb{R}^n with P = |K|.

Theorem Let $S \subset \mathbb{R}^n$ be a compact semialgebraic set, and S_1, \ldots, S_p , semialgebraic subsets of S. Then there exists a finite simplicial complex K in \mathbb{R}^n and a semialgebraic homeomorphism $h: |K| \to S$, such that each S_k is the image by h of a union of open simplices of K.



The semialgebraic homeomorphism $h: |K| \to S$ will be called a semialgebraic triangulation of S (compatible with the S_j).

Continuous semialgebraic functions can also be triangulated, in the following sense.

Theorem 1.11 Let $A \subset \mathbb{R}^n$ be a compact semialgebraic set, and B_1, \ldots, B_p , semialgebraic subsets of A. Let $f: A \to \mathbb{R}$ be a continuous semialgebraic function. Then there exists a semialgebraic triangulation $h: |K| \to A$ compatible with the B_j and such that $f \circ h$ is linear on each simplex of K.

Idea of paorf

The method to prove this theorem is to triangulate the graph of f in $\mathbb{R}^n \times \mathbb{R}$ in a way which is "compatible" with the projection on the last factor.

Remark

We fact that f is a function with values in \mathbb{R} and not a map with values in \mathbb{R}^k , k > 1, is crucial here. Actually, the blowing up map $[-1,1]^2 \to \mathbb{R}^2$ given by $(x,y) \mapsto (x,xy)$ cannot be triangulated (it is not equivalent to a PL map).

Remark A continuous function f: P-oR on a polyledian P is said pieceurise linear of there excists a simplicial complex K with INI-P such Hat $V\sigma \in K$ for is linear

Theoem (difficult and impatent, called Hauptvermuturg, Code-Shiota)
If two polylediens P and Q are semialgebraically
Rememorphic, then Hey are PL-honeomorphic.

The triangulation theorem can be applied to the non compact case in the following way. Let A be a noncompact semialgebraic subset of \mathbb{R}^n . Up to a semialgebraic homeomorphism, we can assume that A is bounded. Indeed, \mathbb{R}^n is semialgebraically homeomorphic to the open ball of radius 1 by $x \mapsto (1+||x||^2)^{-1/2}x$. Then one can take a triangulation of the compact semialgebraic set clos(A) compatible with A. So we obtain:

Proposition 1.13 Let A be any semialgebraic set and B_1, \ldots, B_p semialgebraic subsets of A. There exist a finite simplicial complex K, a union U of open simplices of K and a semialgebraic homeomorphism $h: U \to A$ such that each B_j is the image by h of a union of open simplices contained in U.

Addendum on semi-algebraic dimension.

Proposition 3.16 Let $A \subset \mathbb{R}^n$ be a semialgebraic set. Then

- 1. $\dim(\operatorname{clos}(A)) = \dim A$,
- 2. $\dim(\operatorname{clos}(A) \setminus A) < \dim A$.

The dimension is invariant by a semialgebraic homeomorphism.

Lemma 3.17 Let $A \subset \mathbb{R}^{n+k}$ be a semialgebraic set, $\pi : \mathbb{R}^{n+k} \to \mathbb{R}^n$ the projection on the space of the first n coordinates. Then $\dim(\pi(A)) \leq \dim(A)$. Moreover, if the restriction of π to A is one-to-one, then $\dim(\pi(A)) = \dim A$.

Theorem 3.18 Let S be a semialgebraic subset of \mathbb{R}^n , and $f: S \to \mathbb{R}^k$ a semialgebraic mapping (not necessarily continuous). Then dim $f(S) \leq \dim S$. If f is one-to-one, then dim $f(S) = \dim S$.

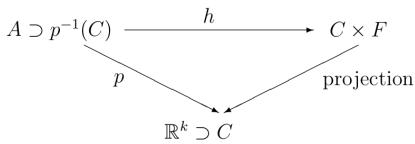
I TRIVIAUZATION

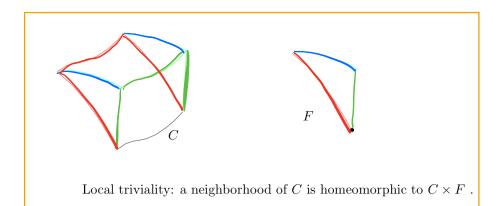
Idea: compare general maps to projections.

Interest of projections: the fibers are lameomorphic $p: A \times B \longrightarrow A$ $\forall a_1, a_2 \in A$ $p'(a_1) \stackrel{\sim}{=} p'(a_2) \stackrel{\sim}{=} [a_1] \times B$

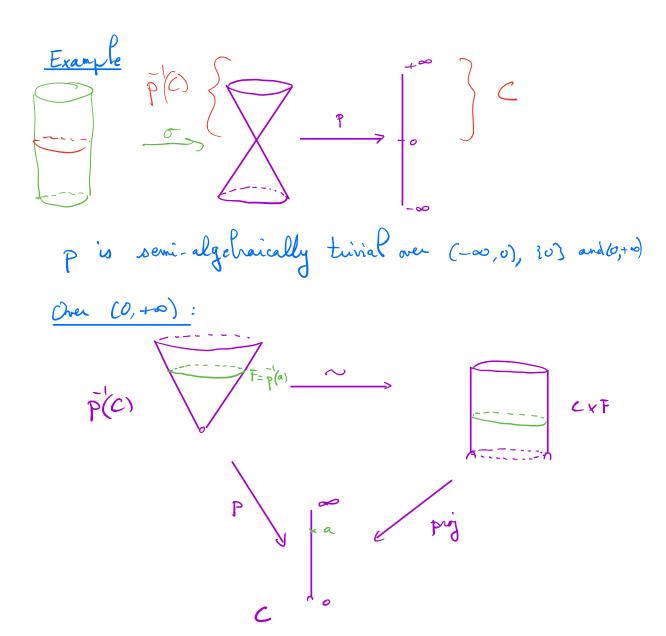
Definition

A continuous semi-algebraic mapping $p:A\to\mathbb{R}^k$ is said to be *semialge-braically trivial over a semialgebraic subset* $C\subset\mathbb{R}^k$ is there is a semialgebraic set F and a semialgebraic homeomorphism $h:p^{-1}(C)\to C\times F$, such that the composition of h with the projection $C\times F\to C$ is equal to the restriction of p to $p^{-1}(C)$.





The homeomorphism h is called a semi-algebraic trivialization of p over C. We say that the trivialization h is compatible with a semialgebraic subset $B \subset A$ if there is a semialgebraic subset $G \subset F$ such that $h(B \cap p^{-1}(C)) = C \times G$.



| Nect | result | states | Hat | any | semialgehaic | mapping |
|----------|-----------|--------|-----|-----|--------------|---------|
| ' | Pieceurse | timal | | O | V | ,, 0 |

Theorem (Hardt's semialgebraic triviality) Let $A \subset \mathbb{R}^n$ be a semialgebraic set and $p: A \to \mathbb{R}^k$, a continuous semi-algebraic mapping. There is a finite semialgebraic partition of \mathbb{R}^k into C_1, \ldots, C_m such that p is semialgebraically trivial over each C_i .

Moreover, if B_1, \ldots, B_q are finitely many semialgebraic subsets of A, we can ask that each trivialization $h_i: p^{-1}(C_i) \to C_i \times F_i$ is compatible with all B_i .

About the poof: quite involved, via cylindrical decomposition.

Example projecting a cubic on a line

P: A - R

C1

C2

Cher C2:

Technical remark (for future reference)

For a paint $C \in C_i$ then the dimension of the fiber p(c) satisfies: $\lim_{n \to \infty} p'(c) = \dim_{n} F_i = \dim_{n} p'(C_i) - \dim_{n} C_i \leq \dim_{n} A - \dim_{n} C_i$

Application 1: local conical structure.

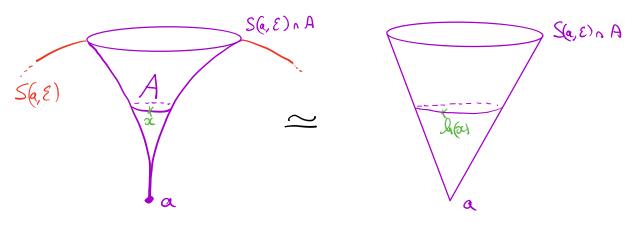
Let A be a semialgebraic subset of \mathbb{R}^n and a, a nonisolated point of A: for every $\varepsilon > 0$ there is $x \in A$, $x \neq a$, such that $||x - a|| < \varepsilon$.

Notation $a * (S(e, E) \cap A)$ S(a, E)

 $a*(S(a,\varepsilon)\cap A)$ the cone with vertex a and basis $S(a,\varepsilon)\cap A$, i.e. the set of points in \mathbb{R}^n of the form $\lambda a+(1-\lambda)x$, where $\lambda\in[0,1]$ and $x\in S(a,\varepsilon)\cap A$.

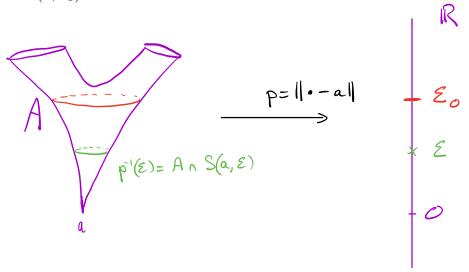
Locally, a semialgebaix set looks like a cone:

Theorem For $\varepsilon > 0$ sufficiently small, there is a semialgebraic homeomorphism $h : \overline{B}(a,\varepsilon) \cap A \to a * (S(a,\varepsilon) \cap A)$ such that ||h(x) - a|| = ||x - a|| and $h_{|S(a,\varepsilon) \cap A} = \operatorname{Id}$.



The proof is a consequence of Hardt triviality applied to the distance function.

Proof. We apply Hardt's theorem to the mapping $p:A\to\mathbb{R}$ defined by $p(x)=\|x-a\|$. We obtain semialgebraic trivializations of p over a finite semialgebraic partition of \mathbb{R} . We can assume that this partition has as member an interval $(0,\varepsilon_0)$.



Choose ε such that $0 < \varepsilon < \varepsilon_0$. Since $p^{-1}(\varepsilon) = (A \cap S(a, \varepsilon))$, we have a semialgebraic homeomorphism

$$g: p^{-1}((0, \varepsilon_0)) \to (0, \varepsilon_0) \times (A \cap S(a, \varepsilon))$$

such that $g(x) = (\|x - a\|, g_1(x))$, where the restriction of g_1 to $S(a, \varepsilon) \cap A$ is the identity.

$$\frac{1}{p'(\epsilon)} = A \cap S(a, \epsilon)$$

Now define $h: \overline{B}(a,\varepsilon) \cap A \to C_{\varepsilon}$ by

$$\begin{cases} h(x) = \left(1 - \frac{\|x - a\|}{\varepsilon}\right) a + \frac{\|x - a\|}{\varepsilon} g_1(x) & \text{if } x \neq a, \\ h(a) = a. \end{cases}$$

We can check that h has the properties of the theorem. The inverse mapping of h is defined by

$$\left\{ \begin{array}{ll} h^{-1}(\lambda a+(1-\lambda)x)&=&g^{-1}((1-\lambda)\varepsilon,x) \text{ for } \lambda\in[0,1),\ x\in S(a,\varepsilon)\cap A\ ,\\ h^{-1}(a)&=&a\ . \end{array} \right.$$
 The instance of $\alpha\in S(a,\varepsilon)$ has $\alpha\in S(a,\varepsilon)$ for $\lambda\in[0,1)$, $\alpha\in S(a,\varepsilon)\cap A$ and $\alpha\in S(a,\varepsilon)$ has $\alpha\in S(a,\varepsilon)$ for $\alpha\in$

Application 2: definition of the link.

Let A be a locally compact semialgebraic subset of \mathbb{R}^n and let a be a point of A. Then we define the link of a in A as $lk(a, A) = A \cap S(a, \epsilon)$ for $\epsilon > 0$ small enough.

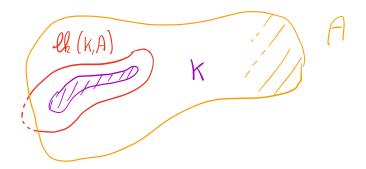
Does it depend on E?

Of course, the link depends on ϵ , but the semialgebraic topological type of the link does not depend on ϵ , if it is sufficiently small: there is ϵ_1 such that, for every $\epsilon \leq \epsilon_1$, there is a semialgebraic homeomorphism $A \cap S(a, \epsilon) \simeq A \cap S(a, \epsilon_1)$. This is a consequence of the local conic structure theorem.

A & R M

More generally, let K be a compact semialgebraic subset of A. We define lk(K,A), the link of K in A, as follows. Choose a proper continuous semialgebraic function $f: \mathbb{R}^n \to \mathbb{R}$ such that $f^{-1}(0) = K$ and $f(x) \geq 0$ for every $x \in \mathbb{R}^n$. We can take for instance for f the distance to K. Now set $lk(K,A) = f^{-1}(\epsilon) \cap A$ for $\epsilon > 0$ sufficiently small.

One need to prove it does not depend on of mor on E.



Proposition 1.20 The semialgebraic topological type of the link lk(K, A) does not depend on ϵ nor on f.

Proof Hardt timiality theorem + triangulation of sa. functions + uniqueness of sa. triangulation.

The preceding result shows that the semialgebraic topological type of the link is a semialgebraic invariant of the pair (A, K): if h is a semialgebraic homeomorphism from A onto B, then lk(K, A) and lk(h(K), B) are semialgebraically homeomorphic.

We will now be interested in s.a. topological invariants.

II EULER CHARACTERISTICS.

To define it, two options:

. via handogy theory for locally compact semialgehoic sets

. via cell decomposition (for any semialgehoic sets)

Let us explain . an idea of construction

. its properties

. how to compute.

We denote by $H_i^{\text{BM}}(A; \mathbb{Z}/2)$ the Borel-Moore homology of a locally compact semialgebraic set (with coefficients in $\mathbb{Z}/2$). We shall not need the definition of this homology. The following properties explain how we can compute it from the ordinary homology.

- If A is compact, $H_i^{\text{BM}}(A; \mathbb{Z}/2)$ coincides with the usual homology $H_i(A; \mathbb{Z}/2)$.
- •If A is not compact, we can take an open semialgebraic embedding of A into a compact semialgebraic set B, and this embedding induces an isomorphism of $H_i^{\text{BM}}(A; \mathbb{Z}/2)$ onto the relative homology group $H_i(B, B \setminus A; \mathbb{Z}/2)$.

follows.



mot loally compact

. in particular
$$A = [(R^*A) \cup A] \cap A$$

- . Note that R'IL # of A is not closed
- . Consider the distance function to R"u:

 9: R" S R

 or Los dist (a, R"u)
- . The function P is then centimens semialge hair.

. It remains to consider the Alexandrof compactification B
of A' (it makes sense for semi-algebraic sets too).

* Coming back to Barel None Romology:

$$H_{\alpha}^{(B)}(A, \underset{2}{\mathbb{Z}}) = H_{\alpha}(B, B^{*}A, \underset{2}{\mathbb{Z}}).$$

Long exact sequence in homology

If F is a

closed semialgebraic subset of A, then both F and $A \setminus F$ are locally compact and we have a long exact sequence

$$\ldots \to H_{i+1}^{\mathrm{BM}}(A \backslash F; \mathbb{Z}/2) \to H_{i}^{\mathrm{BM}}(F; \mathbb{Z}/2) \to H_{i}^{\mathrm{BM}}(A; \mathbb{Z}/2) \to H_{i}^{\mathrm{BM}}(A \backslash F; \mathbb{Z}/2) \to \ldots$$

Usual definition of Euler Characteristics at will give the same.

If A is a locally compact semi-algebraic set: xhop (A) = Z (E1) dim H; (A, Z)

- good definition if A is compact, or comes from a complex algebraic variety

_ for general semi-algebair sets we use Borel-None Ramology:

Why doing so?

If A compact, $\chi(A) = \chi(B) (A)$ x Br will satisfy mice fadditive properties.

multiplicative

Example $\chi^{Bn}\left(\left(-1,1\right)^{d}\right)=\left(-1\right)^{d}=\chi^{Bn}\left(\left(-1,1\right)\right)^{d}$

From mow on we use the notation χ rather than χ^{BD} . Lemma If $F \in A$ are locally compact, then $\chi(A) - \chi(F) + \chi(A \setminus F)$ Proof: long exact sequence in Barel More landagy.

The Euler characteristic with compact support can be computed using a stratification into cells.

Lemma 1.21 Let A be a locally compact semialgebraic set and let $A = \bigsqcup_k C_k$ be a finite stratification into sa-cells C_k sa-kon-eomorphic to $(-1,1)^{d_k}$. Then $\chi(A) = \sum_k (-1)^{d_k}$.

Proof. Let d be the dimension of A, and let $A^{< d}$ be the union of the cells of dimension < d. By the properties of a stratification, $A^{< d}$ is closed in A. The cells of dimension d are the connected components of the complement $A \setminus A^{< d}$. Using this fact and the additivity property mentioned just above, we obtain

$$\chi(A) = (-1)^d \operatorname{card}(\{k; d_k = d\}) + \chi(A^{< d}).$$

Hence, by induction, the lemma is proved.

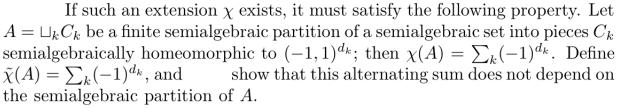
We use this idea to extend I to all semialgebaic sets (even when the Bael-Nove homology does not make sense).

Theorem 1.22 The Euler characteristic with compact support on locally compact semialgebraic sets can be extended uniquely to a semialgebraic invariant (still denoted χ) on all semialgebraic sets satisfying

$$\chi(A \sqcup B) = \chi(A) + \chi(B)$$
 disjoint union

$$\chi(A \times B) = \chi(A) \times \chi(B)$$
 product.

I dea of proof:



For this: of we have two different partitions, find a commun refinement.

a partition.

a cell C is locally compact: we can use the lemona:

if $C = \coprod D_i$, then

 $\chi(C) = \sum_{i} \chi(D_{i}) = \sum_{i} C_{i} dim D_{i}$ $C_{i} dim C$

J

This proves the existence and additivity. Nultiplicativity is an exercise.

Here is a first application of Euler characteristics.

Theorem Let A and B be semialgebaic set. Then A is in semialgebaic bijection with B if and only if $\dim A = \dim B$ and $\chi(A) = \chi(B)$.

Example s'and s'os' are in semialgebaic bijèction.

Idea of proof

 \Rightarrow It is possible to statify A and B in A= ILAi, B= ILBi such that $A_i \xrightarrow{\sim} B_i$ is a semialgebasic homeomorphism.

Then $\chi(A_i) = \chi(B_i)$ and dim $A_i = \dim B_i$.

As a consequence

$$\chi(A) = \sum_{i \in I} \chi(A_i) = \sum_{i \in I} \chi(B_i) = \chi(B)$$

· dim A = mox dim A; = max dim B; = dim B.

 \in Consider a triangulation for A and B: $A \sim |K_A|$ and $B \sim |K_B|$.

· One can assume K_A and K_B have the same number k of simplices of dimension $d = \dim A = \dim B$.



. An open simpler of dimension disatisfies $\chi(a) = (-1)^d$.

. Then

$$\chi(A) = \chi(|K_A|) = \sum_{i} \chi(\sigma) + \sum_{i} \chi(\sigma) = k(i) + \sum_{i} \chi(\sigma)$$

$$c \in K_A \qquad c \in K_A \qquad c \in K_A$$

$$dim \sigma = d \qquad dim \sigma < d \qquad dim \sigma < d$$

Similarly

$$\chi(B) = k(H) + \sum_{i} \chi(\sigma) = k(H)^{i} + \chi(H_{B})$$

where K_{B} is K_{B} minus the le simplices of dimension of

(and similarly for $A : K_{A}$).

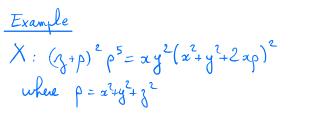
Timally $\chi(K_{A}) = \chi(A) - k(H) = \chi(B) - k(H) - \chi(K_{B})$

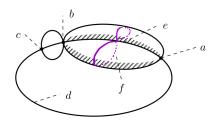
. dim $|K_{A}| = \dim |K_{B}|$ (comes from the cutting)

. We conclude by an induction on dimension

I FUTUR OBJECTIVES

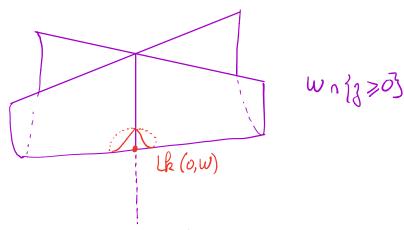
Theorem (Sullivan) Let X be a real algebraic set. For every $x \in X$, the Euler characteristic $\chi(\operatorname{lk}(x,X))$ of the link of x in X is even





| | a | b | c | d | e | f |
|--------|----|-----|-----|-----|----|---|
| lk | 8. | : 8 | ••• | • • | | |
| χ | 0 | 2 | 4 | 2 | -2 | 0 |

Courter example via Whitney Umbrella W: x2=3 y2



Here the link at 0 is so homeomorphic to a figure eight:



Its Euler characteristics is:

$$\chi(\infty) = \chi(\infty) + \chi(\text{point})$$

$$= \chi(s', \{2 \text{points}\}) + 1$$

$$= \chi(s') - 1$$

$$= -1$$

So Wn {330} is not sa homeomorphic to a real algebraic

Remark
$$(\infty) = S^1 \cup S^1$$

 $\chi(00) = \chi(\infty)$

This result leads to:

Definition 2.9 Let A be a locally compact semialgebraic set. Then A is said to be Euler if, for every $x \in A$, the Euler characteristic of the link of x in A is even.

In dimension ≤ 2 , the Euler condition suffices to characterize topologically the real algebraic sets.

Theorem 2.10 (Akbulut-King, Benedetti-Dedo) Let A be an Euler set of dimension at most 2. Then A is homeomorphic to a real algebraic set.

In dimension 3, one need more conditions:

Theorem 3.22 (Akbulut-King) A compact semialgebraic set S of dimension 3 is homeomorphic to a real algebraic set if and only if it is Euler and the four local obstructions

$$\left(\int_{\mathrm{lk}(x,S)} \varphi_i \, d\chi \bmod 2\right)_{i=1,\dots,4}$$
everywhere on S .

defined above vanish everywhere on S.

It can be understood via algebraically constructible functions. (goal of the end of the lectures).

The analysis of the local obstructions given by the theory of algebraically constructible functions can be pushed further. In dimension 4, they give a total of $2^{43} - 43$ independent local obstructions.

Moreover, it is not known in this case whether the vanishing of these obstructions suffices to characterize topologically real algebraic sets of dimension 4...