

Properness and log-smoothness of LogPic

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Abstract. These are notes for a talk at a seminar organized by David Holmes, Adrien Sauvaget and Arne Smeets in the spring of 2019, over the preprint *The logarithmic Picard group and its tropicalization* by Molcho and Wise.

Let $X \rightarrow S$ be a proper vertical log curve. We have defined the stack $\mathbf{LogPic}(X/S)$ over $\underline{\text{LogSch}}/S$ with the étale topology (or log étale), classifying logarithmic line bundles with bounded monodromy on X . We denote by $\text{LogPic}(X/S)$ the associated sheaf on $\underline{\text{LogSch}}/S$ of isomorphism classes.

We will prove that these objects are proper and log smooth over S

1 Properness of LogPic

Before trying to prove that $\mathbf{LogPic}^0(X/S)$ is proper, we should say what properness means for a stack over $\underline{\text{LogSch}}/S$. We cannot really ask that the underlying stack over the big étale site $\underline{\text{Sch}}/S_{\text{ét}}$ be proper, since $\mathbf{LogPic}^0(X/S)$ it is not algebraic. What is proven in [MW18] is that $\mathbf{LogPic}^0(X/S)$ satisfies the following four properties:

- i) it is locally of finite presentation; that is, for every cofiltered diagram of affine log S -schemes T_i , the natural map

$$\text{colim } \mathbf{LogPic}(X_{T_i}/T_i) \rightarrow \mathbf{LogPic}(\lim X_{T_i}/T_i)$$

is an equivalence of categories;

- ii) It is bounded. That is, there exists a log scheme of finite type Z and a morphism $Z \rightarrow \mathbf{LogPic}^0(X/S)$ which is surjective on valuative geometric point.
- iii) it has diagonal representable by proper (in fact, finite) morphisms of log schemes;
- iv) it satisfies the valuative criterion for properness (see thm 1.4 of these notes).

We will focus on the valuative criterion for properness, for which we need a few lemmas.

Lemma 1.1 ([MW18] 4.6.1). *Let $\pi: X \rightarrow S$ be a proper, vertical log curve. Then*

$$M_S^{gp} \rightarrow \pi_* M_X^{gp}$$

is an isomorphism.

Proof. We may reduce to S atomic, and to X having constant tropicalization \mathfrak{X} on the closed stratum. Consider the exact sequence

$$H^0(X, M_X^{gp}) \xrightarrow{\alpha} H^0(X, \bar{M}_X^{gp}) (= H^0(\mathfrak{X}, \mathfrak{P})) \xrightarrow{\beta} H^1(X, \mathcal{O}_X^\times) = \text{Pic}(X).$$

and let $\varphi \in H^0(X, M_X^{gp})$.

We have an exact sequence of sheaves on the tropical curve \mathfrak{X} :

$$0 \rightarrow \mathfrak{L} \rightarrow \mathfrak{P} \rightarrow \mathfrak{V} \rightarrow 0.$$

In order of appearance, these are the sheaves of linear functions, piecewise linear functions, and the free abelian sheaf generated by vertices of \mathfrak{X} . While the first map is the obvious inclusion, the second map sends a piecewise linear function f to the vertex labelling that to a vertex v assigns the sum of the slopes of the edges having root v .

The induced map $H^0(\mathfrak{X}, \mathfrak{P}) \rightarrow H^0(\mathfrak{X}, \mathfrak{V}) = \mathbb{Z}^V$ factors as β followed by the multidegree map. It follows that $\alpha(\varphi)$ is in the image of $H^0(\mathfrak{X}, \mathfrak{L}) \rightarrow H^0(\mathfrak{X}, \mathfrak{P})$. As \mathfrak{X} is compact, $H^0(\mathfrak{X}, \mathfrak{L}) = H^0(\mathfrak{X}, \bar{M}_S^{gp})$.

Finally, consider the diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathcal{O}_S^\times & \longrightarrow & M_S^{gp} & \longrightarrow & \bar{M}_S^{gp} \longrightarrow 0 \\ & & \downarrow & & \downarrow & \nearrow \text{dashed} & \downarrow \\ 0 & \longrightarrow & \pi_* \mathcal{O}_X^\times & \longrightarrow & \pi_* M_X^{gp} & \longrightarrow & \pi_* \bar{M}_X^{gp} \longrightarrow R^1 \pi_* \mathcal{O}_X^\times \end{array}$$

The leftmost vertical arrow is an isomorphism because X/S is a nodal curve, hence has geometric fibres connected and reduced. On the other hand, by the previous argument, there is a diagonal dashed arrow making the diagram commute, and the statement follows. \square

Definition 1.2. Let Y be a scheme, $j: U \subset Y$ an open immersion, and M_U a log structure on U . The *maximal log structure* on Y extending M_U is the fibre product $M_Y = j_* M_U \times_{j_* \mathcal{O}_U} \mathcal{O}_Y$.

Lemma 1.3 ([MW18], 4.4.3). *Let S be the spectrum of a valuation ring, $j: \eta \hookrightarrow S$ the inclusion of the generic point; let M_S be the maximal log structure on S extending a given valuative log structure M_η on η . Let $X \rightarrow S$ be a proper vertical log curve. Then*

$$R^1 j_* M_{X_\eta}^{gp} = 0.$$

Proof. The sheaf in question is the sheafification of the presheaf

$$\begin{array}{l} \text{Et}/X \rightarrow \text{Sets} \\ U \mapsto H^1(U_\eta, M_{U_\eta}^{gp}) \end{array}$$

So what we're trying to prove is that every $M_{X_\eta}^{gp}$ -torsor becomes trivial étale locally on X .

The hypotheses on the lemma make it possible to reduce to the case where the generic fibre X_η is smooth, see the proof of [MW18] 4.4.3 for details. In this case a

$M_{X_\eta}^{gp}$ -torsor is an old-fashioned line bundle L_η on X_η (since $H^1(X, \bar{M}_{X_\eta}^{gp})$ vanishes). If S is noetherian, (hence a discrete valuation ring) we can take a regular nodal model $Y \rightarrow X$; since Y is factorial, the line bundle L_η extends to a line bundle L on Y , which is in particular a M_Y^{gp} -torsor. Now we use that $H^1(X, M_X^{gp}) = H^1(Y, M_Y^{gp})$ ([MW18] 4.4.1 or Adrien's talk). This tells us that L_η extends to a M_X^{gp} -torsor on X (which, by [MW18], 3.5.1., has bounded monodromy). In particular, it is trivial étale locally on X . \square

Theorem 1.4 ([MW18] 4.10,1). *Let $X \rightarrow S$ be a proper, vertical, log curve. Then $\mathbf{LogPic}(X/S)$ satisfies the valuative criterion for properness. That is, for every valuation ring R having the maximal log structure M_R that extends a given valuative log structure M_K on the fraction field K , and every commutative diagram of solid arrows*

$$\begin{array}{ccc} \mathrm{Spec} K & \longrightarrow & \mathbf{LogPic}(X/S) \\ \downarrow j & \nearrow \text{---} & \downarrow \\ \mathrm{Spec} R & \longrightarrow & S \end{array}$$

there exists up to isomorphism only one dashed arrow making the diagram 2-commute.

Proof. The situation is the following: we have a diagram

$$\begin{array}{ccc} X_K & \xrightarrow{j'} & X_R \\ \downarrow \pi' & & \downarrow \pi \\ \mathrm{Spec} K & \xrightarrow{j} & \mathrm{Spec} R \end{array}$$

and a log line bundle L on X_K , which we would like to extend uniquely to X_R . We will therefore show that the natural morphism of stacks

$$\alpha: BM_{X_R}^{gp\dagger} \rightarrow j_* j^* BM_{X_R}^{gp\dagger} = j_* BM_{X_K}^{gp\dagger}$$

is an equivalence. Taking X_R -valued points, this will give us the desired equivalence between $M_{X_R}^{gp}$ -torsors of bounded monodromy and $M_{X_K}^{gp}$ -torsors of bounded monodromy.

To prove that α is an equivalence, we show that:

- i) it induces an isomorphism on sheaves of automorphisms;
- ii) it induces an isomorphism on sheaves of isomorphism classes of objects.

By [MW18] theorem 2.4.2.1, the log structure M_{X_R} is the maximal one extending M_{X_K} . We get

$$M_{X_R}^{gp} = (j_* M_{X_K} \times_{j_* \mathcal{O}_{X_K}} \mathcal{O}_{X_R})^{gp} = j_* M_{X_K}^{gp}.$$

Hence part i) is fine.

For part ii), the sheaf of isomorphism classes of $BM_{X_R}^{gp\dagger}$ is zero, since every $M_{X_R}^{gp}$ -torsor is trivial étale locally on X . On the other hand, the sheaf of isomorphism classes of $j_* BM_{X_K}^{gp\dagger}$ is the sheafification of $(T \rightarrow X) \mapsto H^1(T_K, M_{T_K}^{gp})^\dagger$; the latter vanishes by lemma 1.3. So part ii) is fine as well. \square

Corollary 1.5 ([MW18] 4.10.2). *Let $X \rightarrow S$ be a proper, vertical log curve. Then $\mathrm{LogPic}(X/S)$ satisfies the valuative criterion for properness.*

Proof. $\mathbf{LogPic}(X/S) \rightarrow \mathrm{LogPic}(X/S)$ is a gerbe banded by $\pi_*\mathbb{G}_{m,\log,X} = \mathbb{G}_{m,\log,S}$ (lemma 1.1); locally on S , it is the trivial gerbe, which means that there exists a section $\mathrm{LogPic}(X/S) \rightarrow \mathbf{LogPic}(X/S) = \mathrm{LogPic}(X/S)/\mathbb{G}_{m,\log,S}$. The latter is a $\mathbb{G}_{m,\log,S}$ -torsor. We are done if we show that $\mathbb{G}_{m,\log}$ satisfies the valuative criterion for properness; but this is exactly the equality $M_X^{gp} = j'_*M_{X_K}^{gp}$. \square

Theorem 1.6 ([MW18] 4.12.5). *For every integer d , the sheaf $\mathrm{LogPic}^d(X/S)$ and the stack $\mathbf{LogPic}^d(X/S)$ are proper over S , that is, they satisfy the four properties at the beginning of these notes.*

Sketch of proof. We only need to prove that $\mathrm{LogPic}^d(X/S)$ is proper, since étale locally on S , $\mathbf{LogPic}^d(X/S)$ is equivalent to $\mathrm{LogPic}^d(X/S) \times B\mathbb{G}_{m,\log,S}$ and $B\mathbb{G}_{m,\log,S}$ is proper. Moreover, we may assume $d = 0$, since every $\mathrm{LogPic}^d(X/S)$ is a $\mathrm{LogPic}^0(X/S)$ -torsor.

Local finite presentation is formal and based on the fact that the étale sheaf M_X^{gp} is finitely generated.

Boundedness can be reduced to proving that the tropical jacobian is bounded, since $\mathrm{LogPic}^0(X/S)$ is an extension of $\mathrm{TropJac}(X/S)$ by $\mathrm{Pic}^0(X/S)$. Recall that, letting \mathfrak{X} be the tropicalization of X , we have an exact sequence

$$0 \rightarrow H_1(\mathfrak{X}, \mathbb{Z}) \rightarrow \mathrm{Hom}(H_1(\mathfrak{X}, \mathbb{Z}), \bar{\mathbb{G}}_{m,\log})^\dagger \rightarrow \mathrm{TropJac}(X/S) \rightarrow 0.$$

We let $g = \mathrm{rk} H_1(\mathfrak{X})$ and choose a basis e_1, \dots, e_g for $H_1(\mathfrak{X})$. Let $l(e_i) \in \bar{M}_S^{gp}$ be the lengths of these cycles. Take $Z \subset \mathrm{Hom}(H_1(\mathfrak{X}, \mathbb{Z}), \bar{\mathbb{G}}_{m,\log})^\dagger$ consisting of those μ such that for all $i = 1, \dots, g$

$$-r(g+1)l(e_i) \leq \mu(e_i) \leq r(g+1)l(e_i)$$

where r is the rank of \bar{M}_S^{gp} . Then Z is bounded and the map $Z \rightarrow \mathrm{TropJac}(X/S)$ is surjective on valuative geometric points. (Theorem 3.10.2). Finiteness of the diagonal is theorem 4.12.1, and we have proven the valuative criterion for properness. \square

2 Log smoothness

Theorem 2.1 ([MW18], 4.13.1). *Let $X \rightarrow S$ be a proper vertical log curve. Then $\mathbf{LogPic}(X/S)$ is log smooth, that is, it is locally of finite presentation, and satisfies the infinitesimal lifting criterion: for every affine log S -scheme $T = \mathrm{Spec} A$ and strict closed immersion $\iota: T_0 \rightarrow T$ defined by a square-zero ideal $J \subset A$, and commutative diagram*

$$\begin{array}{ccc} T_0 & \longrightarrow & \mathbf{LogPic}(X/S) \\ \downarrow \iota & \nearrow & \downarrow \\ T & \longrightarrow & S \end{array}$$

there exists a dashed arrow making the diagram 2-commute.

Proof. We have already seen local finite presentation. For the infinitesimal lifting property, we may assume $T = S$ and write $S_0 = T_0$, $X_0 = X \times_S S_0$. We may also assume that the log scheme S is locally of finite type, that is, \underline{S} is locally of finite type and \bar{M}_S has charts by finitely generated monoids.

Every logarithmic scheme locally of finite type (Y, M) comes equipped with a stratification induced by the log structure M : if M has a global chart given by a monoid P , each generator of P determines an ideal of \mathcal{O}_Y , hence a closed subscheme. Intersections and complements give rise to a stratification; and the characteristic monoid $\bar{M} = M/\mathcal{O}_Y^\times$ is locally constant on each stratum, and so is \bar{M}^{gp} . In particular \bar{M}^{gp} is a constructible sheaf on $Y_{\text{ét}}$, hence representable by an étale algebraic space.

We apply these considerations to (X, M_X) . As the sheaf \bar{M}_X^{gp} is representable by an étale algebraic space, and because the universal homeomorphism $X_0 \rightarrow X$ induces an equivalence $Et/X_0 \rightarrow Et/X$ of étale sites, every $\bar{M}_{X_0}^{gp}$ -torsor on X_0 lifts uniquely to a \bar{M}_X^{gp} -torsor on X . This is expressed by saying that the restriction map

$$B\bar{M}_X^{gp} \rightarrow \iota_* B\bar{M}_{X_0}^{gp}$$

is an equivalence. In particular, it induces an equivalence on the substacks of torsors with bounded monodromy.

Even though I am not sure how to make sense of exact sequence of stacks, we can keep the following picture in mind for reference:

$$\begin{array}{ccccccc} 0 & \longrightarrow & B\mathcal{O}_X^\times & \longrightarrow & BM_X^{gp} & \longrightarrow & B\bar{M}_X^{gp} \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \cong \\ 0 & \longrightarrow & \iota_* B\mathcal{O}_{X_0}^\times & \longrightarrow & \iota_* BM_{X_0}^{gp} & \longrightarrow & \iota_* B\bar{M}_{X_0}^{gp} \longrightarrow 0 \end{array}$$

Take \mathcal{L}_0 an $M_{X_0}^{gp}$ -torsor with bounded monodromy. We want to show that there exists a M_X^{gp} -torsor \mathcal{L} with bounded monodromy on X lifting \mathcal{L}_0 . Actually, any lift \mathcal{L} will automatically have bounded monodromy, as the condition depends only on its image in $B\bar{M}_X^{gp}$. Therefore we may forget about the bounded monodromy condition.

Liftings of \mathcal{L}_0 to M_X^{gp} -torsors can be encoded into a category fibered in groupoids $\mathbf{Lifts}_{\mathcal{L}_0}$ over Log/X . Given a morphism of log schemes $U \rightarrow X$, and letting $U_0 = U \times_X X_0$, the category $\mathbf{Lifts}_{\mathcal{L}_0}(U)$ is defined as follows:

- its objects are pairs (\mathcal{L}, φ) with \mathcal{L} a $\mathbb{G}_{m, \log}$ -torsor on X_U and $\varphi: \mathcal{L} \times_U U_0 \rightarrow \mathcal{L}_0 \times_{X_0} U_0$ an isomorphism;
- its morphisms $(\mathcal{L}, \varphi) \rightarrow (\mathcal{L}', \varphi')$ are (iso)morphisms $f: \mathcal{L} \rightarrow \mathcal{L}'$ of $\mathbb{G}_{m, \log}$ -torsors such that $\varphi' \circ f|_U = \varphi$.

First of all, notice that étale locally on X , liftings exist, so there exists $U \rightarrow X$ an étale atlas with $\mathbf{Lifts}_{\mathcal{L}_0}(U)$ non empty. Moreover, given two objects (\mathcal{L}, φ) , (\mathcal{L}', φ') of $\mathbf{Lifts}_{\mathcal{L}_0}(U)$, there exists an atlas $V \rightarrow U$ where $\mathcal{L}_V, \mathcal{L}'_V$ become trivial torsors. By surjectivity of

$$\text{Aut}(\mathbb{G}_{m, \log})(U) = \mathbb{G}_{m, \log}(U) \rightarrow \text{Aut}(\mathbb{G}_{m, \log})(U_0) = \mathbb{G}_{m, \log}(U_0)$$

there exists an isomorphism $f: \mathcal{L}_U \rightarrow \mathcal{L}'_U$ lifting $\varphi'^{-1}\varphi: \mathcal{L}_{U_0} \rightarrow \mathcal{L}'_{U_0}$. This shows that the two lifts are isomorphic locally on X .

By the above considerations, $\mathbf{Lifts}_{\mathcal{L}_0}$ is a gerbe on X . In fact, the automorphism sheaf of an object $(\mathcal{L}, \varphi) \in \mathbf{Lifts}_{\mathcal{L}_0}(U)$ is $\mathcal{O}_{U_0} \otimes_{\mathcal{O}_{S_0}} J$, and therefore $\mathbf{Lifts}_{\mathcal{L}_0}$ is banded by $\mathcal{O}_{X_0} \otimes J$.

Equivalence classes of gerbes banded by $\mathcal{O}_{X_0} \otimes J$ are classified by $H^2(X_0, \mathcal{O}_{X_0} \otimes J)$ which vanishes since X_0 is a curve. Then $\mathbf{Lifts}_{\mathcal{L}_0}$ is equivalent to the gerbe $X/(\mathcal{O}_{X_0} \otimes J)$ classifying $\mathcal{O}_{X_0} \otimes J$ -torsors on X . The trivial torsor gives us a global lift of \mathcal{L}_0 . □

References

- [MW18] Samouil Molcho, Jonathan Wise. The logarithmic Picard group and its tropicalization. *arXiv e-prints*, page arXiv:1807.11364, Jul 2018.