

Invariants and hyperelliptic curves: geometric, arithmetic and algorithmic aspects

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The genus 1 case

Let K be an algebraically closed field of characteristic $p \neq 2$.

- **Elliptic curves** ($p \neq 3$) $E/K : y^2 = x^3 + ax + b$ are classified up to isomorphism by

$$j(E) = 1728 \frac{4a^3}{4a^3 + 27b^2}.$$

- Conversely, for any $j \in K \setminus \{1728\}$, we can reconstruct a curve E s.t. $j(E) = j$, for instance

$$E/K : y^2 = x^3 - \frac{27j}{j-1728}x + \frac{54j}{j-1728}.$$

- Similarly, we would like to do the same for **hyperelliptic curves** of genus $g \geq 2$, i.e. $C/K : y^2 = f(x)$ with $\deg(f) = 2g + 2$ and simple roots.

$\{\text{Hyperelliptic curves of genus } g\}_{/\simeq} \longleftrightarrow \{\text{a 'space' of parameters}\}$

Concretely for $g = 3...$

```
> _<x> := PolynomialRing(GF(11));  
> H1 := HyperellipticCurve(7*x^8 + 5*x^6 + 5*x^4 + 3*x^2 + x + 9);
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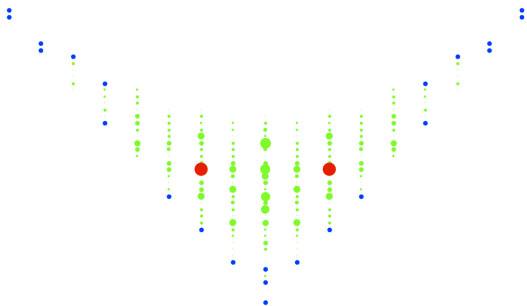
```
> ShiodaInvariants(H1);  
[ 8, 2, 4, 10, 2, 7, 8, 9, 3 ]
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```
> H2, G := HyperellipticCurveFromShiodaInvariants($1); H2;  
Hyperelliptic Curve defined by  
   $y^2 = x^8 + x^7 + x^6 + 4x^5 + x^4 + x^2 + 5x + 8$  over GF(11)
```

```
> IsIsomorphic(H1, H2);  
true  
> IdentifyGroup(G);  
<8, 5>
```

Why do we want do be able to do this ?

- check if two curves are isomorphic;
- recognize quickly **the group of automorphisms**;
- Information about the moduli space;
- arithmetic properties: what is the smallest field over which I can define the curve ?
- enumeration of curves over finite fields for experiments.



Distribution of of genus 2 curves over \mathbb{F}_7 among isogeny classes

Proposition

Let $C : y^2 = f(x)$ and $C' : y^2 = f'(x)$ be two hyperelliptic curves of genus g . Every isomorphism from C to C' is of the form

$$(x, y) \mapsto \left(\frac{ax + b}{cx + d}, \frac{ey}{(cx + d)^{g+1}} \right),$$

for some $M = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathrm{GL}_2(K)$ and $e \in K^*$. The couple (M, e) is unique up to $(\lambda \cdot M, \lambda^{g+1} \cdot e)$.

Definition

Let $M = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathrm{GL}_2(K)$ act on binary forms $f(X, Z)$ of even degree n by $M.f = f(aX + bZ, cX + dZ)$.

A homogenous polynomial function I on the space of such forms f is an **invariant** if there exists $\omega \in \mathbb{Z}$ such that for all $M \in \mathrm{GL}_2(K)$,

$$I(M.f) = \det(M)^\omega \cdot I(f).$$

If I has degree d then its weight $\omega = nd/2$.

Ex: $f = a_2X^2 + a_1XZ + a_0Z^2$, $I = a_1^2 - 4a_2a_0$ is a degree-2 invariant.

Necessary results on invariant algebras

Fact: the algebra of invariants \mathcal{I}_n is finitely generated (Gordan 1868) and for $n \leq 10$ generators are explicitly known.

Theorem (Mumford 1977)

Let f, f' be binary forms of even degree $n \geq 4$ with **simple roots**. Let $\{l_i\}$ be a finite set of homogeneous generators of degree d_i for \mathcal{I}_n .

Then f and f' are in the same orbit under the action of $\mathrm{GL}_2(K)$ if and only if there exists $\lambda \in K$ such that for all i , $l_i(f) = \lambda^{d_i} \cdot l_i(f')$.

\Rightarrow test efficiently if $C : y^2 = f(x)$ and $C' : y^2 = f'(x)$ are isomorphic by computing a finite set of invariants.

Covariant and transvectant

To construct invariants, one needs to embed them in a broader framework.

Definition

Let M acts on a vector $(x, z) \in K^2$ by $M.(x, z) = M^{-1} \begin{pmatrix} x \\ z \end{pmatrix}$.

A bihomogeneous polynomial function $C(f, (x, z))$ is a **covariant** C for binary forms $f(X, Z)$ of even degree n if there exists $\omega \in \mathbb{Z}$ such that for all $M \in \text{GL}_2(K)$,

$$C(M.f, M.(x, z)) = \det(M)^\omega \cdot C(f, (x, z)).$$

The degree r of C in (x, z) is called the **order**. One has $\omega = (nd - r)/2$.

Ex: $C : (\sum a_i X^i Z^{n-i}, (x, z)) \mapsto \sum a_i x^i z^{n-i}$ is a covariant of order n , degree 1 and weight 0.

On the algebra \mathcal{C}_n of covariants, there are bilinear differential operators, called **h -th transvectant**

$$\left(\underbrace{C_1}_{\substack{\text{degree } d_1 \\ \text{order } r_1}}, \underbrace{C_2}_{\substack{\text{degree } d_2 \\ \text{order } r_2}} \right) \mapsto \underbrace{(C_1, C_2)_h}_{\substack{\text{degree } d_1 + d_2 \\ \text{order } r_1 + r_2 - 2h}}$$

Fact (Gordan 1868): starting from the covariant ' f ' and applying a finite number of h -th transvectants, one can get a set of generators for \mathcal{I}_n (and for \mathcal{C}_n).

Shioda invariants for \mathcal{I}_8

(Shioda 1967) defines the invariants J_i 's as

$$J_2 = (f, f)_8, \quad J_3 = (f, g)_8, \quad J_4 = (\ell, \ell)_4, \quad J_5 = (m, \ell)_4, \quad J_6 = (\ell, h)_4, \\ J_7 = (m, h)_4, \quad J_8 = (p, h)_4, \quad J_9 = (n, h)_4, \quad J_{10} = (q, h)_4$$

where

$$g = (f, f)_4, \quad \ell = (f, f)_6, \quad h = (\ell, \ell)_2, \quad m = (f, \ell)_4, \quad n = (f, h)_4, \quad p = (g, \ell)_4, \quad q = (g, h)_4.$$

Rem: These formulas are valid over fields of char. p with $p = 0$ or $p > 7$.

Theorem (Shioda 1967)

\mathcal{I}_8 is generated by the 9 invariants J_2, J_3, \dots, J_{10} of deg. 2, 3, \dots , 10. J_2, \dots, J_7 are algebraically independent and there exist 5 generating relations, $\mathfrak{R}_i(J)$ between the J_i 's.

According to the syzygy theorem of Hilbert, \mathcal{I}_8 fits into a finite exact sequence of $\mathbb{C}[X] := \mathbb{C}[X_2, \dots, X_{10}]$ -module.

$$0 \rightarrow \mathbb{C}[X] \mathfrak{F} \rightarrow \sum_{i=1}^5 \mathbb{C}[X] \mathfrak{T}_i \rightarrow \sum_{i=1}^5 \mathbb{C}[X] \mathfrak{R}_i \rightarrow \mathbb{C}[X] \rightarrow \mathcal{I}_8 \rightarrow 0.$$

Ex. : $\text{Disc}(f)$ is an invariant of deg. 14. We find

$$\begin{aligned}
 \text{Disc}(f) = & 29008561427982581760 J_{10} J_4 + 18346188403113984000 J_{10} J_2^2 - 21756421070986936320 J_9 J_5 \\
 & - 7554312871870464000 J_9 J_3 J_2 - 3021725148748185600 J_8 J_6 + 31803657190574653440 J_8 J_4 J_2 \\
 & + 2549580594256281600 J_8 J_3^2 + 2345327219866337280 J_8 J_2^3 - 106232524760678400 J_7^2 \\
 & - 8849169312564510720 J_7 J_5 J_2 - 5139293475644375040 J_7 J_4 J_3 - 1292776756346880000 J_7 J_3 J_2^2 \\
 & + 4879526536497070080 J_6^2 J_2 + 4582949808934748160 J_6 J_5 J_3 + 2751208802098348032 J_6 J_4^2 \\
 & + 1267160326927810560 J_6 J_4 J_2^2 - 1143872189796188160 J_6 J_3^2 J_2 - 93857730923593728 J_6 J_2^4 \\
 & - 895451656628551680 J_5^2 J_2^2 + 351157512403353600 J_5 J_4 J_3 J_2 + 212465049521356800 J_5 J_3^3 \\
 & - 66189456176578560 J_5 J_3 J_2^3 + 968390964336918528 J_4^3 J_2 + 169419330714009600 J_4^2 J_3^2 \\
 & + 313290675380551680 J_4^2 J_2^2 + 33474799101542400 J_4 J_3^2 J_2^2 - 16397790463918080 J_4 J_2^5 \\
 & + 31476303632793600 J_3^4 J_2 + 10245839137013760 J_3^2 J_2^4 + 369994358063104 J_2^7 .
 \end{aligned}$$

- Starting from a 'list' $(j_1 : j_2 : \dots)$ of values of $J_i(f)$ in K , we aim at recovering a hyperelliptic curve C/K , isomorphic to $y^2 = f(x)$.
- In general, inverting the polynomial system giving the invariants in terms of a generic polynomial $f = \sum_i a_i x^i$ is quickly impossible.

$$J_2 = 2 a_0 a_8 - \frac{1}{4} a_1 a_7 + \frac{1}{14} a_2 a_6 - \frac{1}{28} a_3 a_5 + \frac{1}{70} a_4^2,$$

$$J_3 = \frac{3}{35} a_0 a_4 a_8 - \frac{3}{56} a_0 a_5 a_7 + \frac{9}{392} a_0 a_6^2 - \frac{3}{56} a_1 a_3 a_8 + \frac{9}{560} a_1 a_4 a_7 - \frac{3}{784} a_1 a_5 a_6 + \frac{9}{392} a_2^2 a_8 \\ - \frac{3}{784} a_2 a_3 a_7 - \frac{3}{13720} a_2 a_4 a_6 + \frac{9}{5488} a_2 a_5^2 + \frac{9}{5488} a_3^2 a_6 - \frac{3}{27440} a_3 a_4 a_5 + \frac{9}{34300} a_4^3,$$

$$J_4 = \dots$$

- However, (Mestre 1991) suggested a general strategy based on nice formulae due to (Clebsch 1872).

Generic reconstruction

- 1 Choose 3 covariants q_1, q_2, q_3 of order 2;
- 2 Construct from them a conic $\mathcal{Q} : \sum A_{ij} \cdot x_i x_j = 0$ and a plane degree $g + 1$ curve $\mathcal{H} : \sum h_l \cdot x_l = 0$ satisfying
 - A_{ij} and h_l are invariants;
 - The point $(x_1^* : x_2^* : x_3^*) = ((q_2, q_3)_1 : (q_3, q_1)_1 : (q_1, q_2)_1)$ is solution of

$$\begin{cases} \sum A_{ij} \cdot x_i^* x_j^* = 0, \\ \sum h_l \cdot x_l^* - R(q_1, q_2, q_3)^{n/2} \cdot f(x, z) = 0, \end{cases}$$

where $R(q_1, q_2, q_3)$ is zero iff the conic \mathcal{Q} is singular.

- 3 After parametrization of \mathcal{Q} , the intersection points of \mathcal{Q} and \mathcal{H} are $\text{GL}_2(K)$ -equivalent to the zeros of f .

Example for $g = 3$

Choose $q_1 = C_{5,2}$, $q_2 = C_{6,2}$ and $q_3 = C_{7,2}$ (one among 364 choices).

$R(q_1, q_2, q_3)$ is the determinant of the q_i 's in the basis x^2, xz, z^2 .

Up to a constant,

$$\begin{aligned} R(q_1, q_2, q_3) = & -4937630140800J_9^2 + 6172588800000J_8J_{10} + 1016336160000J_6^3 - 1646487542700J_5J_6J_7 + 475344450J_5^2J_8 \\ & - 13778100J_4J_7^2 + 6154254741600J_4J_6J_8 + 2469123699840J_4J_5J_9 - 3175414824960J_4^2J_{10} \\ & - 1028718873000J_3J_7J_8 - 1555231104000J_3J_6J_9 + 514676332800J_3J_5J_{10} - 579162433500J_2J_8^2 \\ & + 231655788000J_2J_7J_9 + 47632860J_4^2J_5^2 - 201602675520J_4^3J_6 - 264617457390J_3J_4J_5J_6 \\ & + 529262244990J_3J_4^2J_7 + 4618063800J_3^2J_6^2 - 35210700J_3^2J_5J_7 - 228766979700J_3^2J_4J_8 \\ & + 38124172800J_3^3J_9 + 77149935135J_2J_5^2J_6 - 40603006080J_2J_4J_6^2 - 115812049185J_2J_4J_5J_7 \\ & - 330859026540J_2J_4^2J_8 + 145802916000J_2J_3J_6J_7 - 15715198800J_2J_3J_4J_9 + 42877447200J_2J_3^2J_{10} \\ & + 53596043550J_2^2J_7^2 - 145802916000J_2^2J_6J_8 - 53606606760J_2^2J_5J_9 + 137217628800J_2^2J_4J_{10} \\ & - 36737464140J_2^3J_4^3 - 7824600J_3^3J_4J_5 + 11300902200J_3^4J_6 - 47249726760J_2J_4^4 \\ & - 12161979900J_2J_3J_4^2J_5 + 33446455740J_2J_3^2J_4J_6 + 1760535J_2^2J_4J_5^2 + 25514097660J_2^2J_4^2J_6 \\ & - 153935460J_2^3J_6^2 + 1173690J_2^3J_5J_7 + 7625565990J_2^3J_4J_8 - 1270805760J_2^3J_3J_9 - 1429248240J_2^4J_{10} \\ & + 289800J_2J_3^4J_4 + 900887400J_2^2J_3^2J_4^2 + 2575261188J_2^3J_4^3 + 260820J_2^3J_3J_4J_5 - 753393480J_2^3J_3^2J_6 \\ & - 1114881858J_2^4J_4J_6 - 19320J_2^4J_3^2J_4 - 30029580J_2^5J_4^2 + 12556558J_2^6J_6 + 322J_2^7J_4. \end{aligned}$$

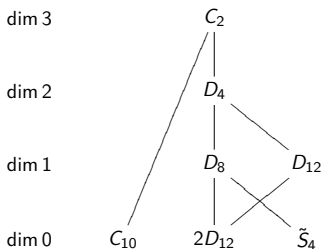
Example (cont.)

$$\begin{aligned}
 \mathcal{Q} : 0 = & (9217732608000J_{10} - 1422489600J_2^3J_4 + 1814283878400J_4J_6 - 384072192000J_3J_7 + 42674688000J_3^2J_4 \\
 & - 1152216576000J_5^2 + 212154163200J_2J_4^2 + 384072192000J_2J_8) x_1^{*2} \\
 & + (-80015040000J_3^2J_5 + 2667168000J_2^3J_5 - 12002256000J_2^2J_7 + 288054144000J_2J_9 + 216040608000J_4J_7 \\
 & - 102019176000J_2J_4J_5 + 138883248000J_3J_4^2 - 48009024000J_5J_6 + 360067680000J_3J_8) x_1^*x_2^* \\
 & + (-12040358400J_2^2J_8 - 902039040J_2^3J_6 - 24768737280J_4^3 + 27061171200J_3^2J_6 + 18627840J_2^4J_4 \\
 & - 424308326400J_2J_{10} - 5482391040J_2^2J_4^2 - 43481733120J_2J_4J_6 + 216726451200J_5J_7 + 12040358400J_2J_3J_7 \\
 & - 762657638400J_4J_8 + 36121075200J_2J_5^2 + 135339724800J_3J_9 - 162570240000J_6^2 - 10516262400J_3J_4J_5 \\
 & - 558835200J_2J_3^2J_4) x_1^*x_3^* + (135025380000J_3J_9 + 55566000J_2^3J_6 - 15788682000J_2J_4J_6 + 2813028750J_2^2J_8 \\
 & - 2813028750J_2J_3J_7 + 149333625J_2^4J_4 + 8439086250J_3J_4J_5 - 151903552500J_4J_8 - 2509400250J_2^2J_4^2 \\
 & + 75951776250J_5J_7 - 1666980000J_3^2J_6 - 4480008750J_2J_3^2J_4 + 92610000J_2^3J_3^2 - 1543500J_2^6 \\
 & - 1389150000J_3^4 - 2893401000J_4^3 - 5000940000J_6^2 - 67512690000J_2J_{10}) x_2^{*2} \\
 & + (1434793500J_2^2J_4J_5 - 1629217800J_2J_3J_4^2 + 6460738200J_2J_5J_6 + 365148000J_3^3J_4 - 41806800J_2^4J_5 \\
 & - 12748654800J_3J_4J_6 + 1254204000J_2J_3^2J_5 + 914457600J_2J_4J_7 - 12171600J_2^3J_3J_4 - 172254600J_2^3J_7 \\
 & - 2400451200J_2^2J_9 - 714420000J_6J_7 - 5643918000J_3J_8 - 4445733600J_2^2J_5 + 14402707200J_4J_9 \\
 & + 10811556000J_2^2J_7 - 63440496000J_3J_{10} - 44365482000J_5J_8) x_2^*x_3^* \\
 & + (94363920J_2^3J_8 + 2592705024J_4^2J_6 - 32568480J_3^2J_4^2 + 57512J_2^5J_4 - 283091760J_2^2J_5^2 + 4386130560J_2^2J_{10} \\
 & - 1905120000J_6J_8 - 40824000J_7^2 + 34895088J_2^3J_4^2 + 1886976000J_2J_6^2 - 10150479360J_5J_9 \\
 & - 109801152J_2^2J_4J_6 + 23227223040J_4J_{10} + 21819168J_2^4J_6 + 3110425920J_3J_5J_6 + 15630965280J_2J_4J_8 \\
 & + 164838240J_2J_3J_4J_5 + 635065920J_2J_4^3 - 3676609440J_3J_4J_7 - 1725360J_2^2J_3^2J_4 - 3397101120J_2J_5J_7 \\
 & - 2121396480J_2J_3J_9 - 94363920J_2^2J_3J_7 - 654575040J_2J_3^2J_6) x_3^{*2}.
 \end{aligned}$$

$$\begin{aligned}
 \mathcal{H} : 0 = & (20832487200J_7^3 - 98761420800J_6J_7J_8 - 14814213120J_6^2J_9 + 140619288600J_5J_8^2 + 21526903440J_5J_7J_9 \\
 & + 192584770560J_4J_8J_9 - 29628426240J_3J_9^2 + 6351593875200000J_2J_9J_{10} - 231472080J_5^3J_6 \\
 & + 17310682368J_4J_5J_6^2 - 24651776520J_4J_5^2J_7 + \dots + 653457959280J_2^5J_5J_6 - 653460684660J_2^5J_4J_7 \\
 & + 108909756900J_2^6J_9 + 47040J_2^4J_3^3J_4 + 56723695560J_2^5J_3J_4^2 + 141120J_2^5J_3^2J_5 + 222264J_2^6J_4J_5 \\
 & + 117600J_2^6J_3J_6 + 7056J_2^7J_7 - 784J_2^7J_3J_4 - 2352J_2^8J_5) x_1^{*4} + \dots
 \end{aligned}$$

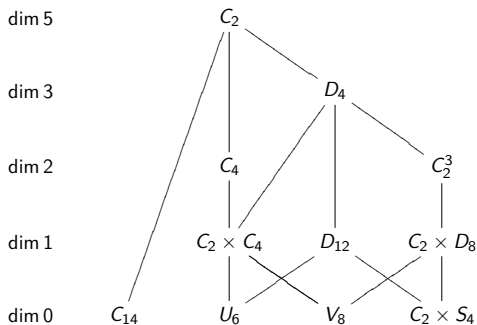
Comparison $g = 2$ and $g = 3$

$g = 2$ (char $K \neq 2, 3, 5$)



- $\exists q_i$ such that $R(q_1, q_2, q_3) \neq 0$ if and only if $\text{Aut}(C) \simeq \mathbb{Z}/2\mathbb{Z}$ (Bolza 1887);
- $\exists q'_i$ such that if $\text{Aut}(C) \simeq D_4$, (Cardona and Quer 2005) then $R(q'_1, q'_2, q'_3) \neq 0$;
- For bigger automorphism groups, explicit parametrizations were known.

$g = 3$ (char $K \neq 2, 3, 5, 7$)



- If $D_4 \subset \text{Aut}(C)$, all possible $R(q_1, q_2, q_3) = 0$;
- For C_4 , we found 5 R 's among which at least one is non-zero;
- We give explicit parametrizations for dimension 1 cases;
- **Conjecture**: at least one of the 364 possible R 's is non-zero if $\text{Aut}(C) \simeq C_2$;
- Under Conjecture, explicit (parametric when $\dim \leq 2$) equations and reconstructions for all strata.

Field of moduli and field of definition

So far, we worked over an algebraically closed field, but what happens if now $k \subset \bar{k} = K$ is any field (of characteristic 0 or a finite field) ?

Definition

Let C/K be a curve of genus $g \geq 2$.

A field k is a **field of definition** for C if there exists a curve \mathcal{C}/k (called a model of C) which is K -isomorphic to C .

The intersection \mathbf{M}_C of all the fields of definition is called the **field of moduli** of C .

One has also $\mathbf{M}_C = K^H$ where $H = \{\sigma \in \text{Aut}(K), C \simeq \sigma C\}$ and it is the residue field of the point $[C]$ in the coarse moduli space M_g .

\mathbf{M}_C is a field of definition when

- when C has no automorphism (Dèbes, Ensalem 1999);
- when K is the algebraic closure of a finite field;

Definition

A curve C/k is said **hyperelliptic** if there exists a degree-2 cover to a non singular plane conic Q .

It is of the form $y^2 = f(x)$ when $Q(k) \neq \emptyset$. This leads to the more precise issue.

Definition

We say that C/K can be **hyperelliptically defined** over k if there exists a model \mathcal{C}/k of C given by $\mathcal{C} : y^2 = f(x)$.

'To be defined' and 'to be hyperelliptically defined' over k are equivalent problems when g is **even** (Mestre 1991).

Proposition

When g is odd, \mathbf{M}_C is a field of definition.

(Quotients of) Invariants can be seen as functions on the moduli space. In particular if $C : y^2 = f(x)$ then the invariants $I(f)$ of f are naturally defined (as a weighted projective point) over \mathbf{M}_C .

Reconstruction of a hyperelliptic model over \mathbf{M}_C :

- 1 Compute a non-singular \mathcal{Q} and \mathcal{H} with coefficients in \mathbf{M}_C ;
- 2 If $\mathcal{Q}(\mathbf{M}_C) \neq \emptyset$ then choose a parametrization over \mathbf{M}_C and construct a model $y^2 = \tilde{f}(x)$ of C over \mathbf{M}_C ;
- 3 if not, then one can prove that C cannot be **hyperelliptically defined** over \mathbf{M}_C .

Theorem (Huggins 2007)

If $\text{Aut}(C)/\langle \iota \rangle$ is not cyclic then C can be hyperelliptically defined over \mathbf{M}_C .

Our work for $g = 3$

- Our parametrizations for the $\dim \leq 1$ cases were hyperelliptically defined over \mathbf{M}_C ;
- For C_2^3 , we know how to hyperelliptically reconstruct over **at most a cubic extension** of \mathbf{M}_C ;
- For D_4 , there are **counterexamples** for \mathbf{M}_C to be a field of definition (Huggins 2007). Our reconstruction is over a degree 8 extension;
- For C_4 , we prove using the **ramification signature** that C can be defined over \mathbf{M}_C . Then using Weil cocycle relations, we could hyperelliptically define C over \mathbf{M}_C .

Enough to get **enumeration over small finite fields**:

p	C_2	D_4	C_4	C_2^3	$C_2 \times C_4$	D_{12}	$C_2 \times D_8$	C_{14}	U_6	V_8	$C_2 \times S_4$	Total = p^5
11	159729	1092	101	101	8	8	8	1	1	1	1	161051
13	369107	1862	145	145	10	10	10	1	1	1	1	371293
17	1414959	4338	257	257	14	14	14	1	1	1	1	1419857
19	2469257	6140	325	325	16	16	16	1	1	1	1	2476099
23	6424197	11112	485	485	20	20	20	1	1	1	1	6436343

Several **open questions**:

- Is the condition ' $\text{Aut}(C) \simeq \mathbb{Z}/2\mathbb{Z}$ ' sufficient for the existence of a non-singular conic Q ? In any genus?
- Can we find a hyperelliptic model over \mathbf{M}_C when $\text{Aut}(C) \simeq C_2^3$? A similar question for $g = 5$ showed that the isomorphism to descend the curve can live in a large extension.
- For $g = 3$ can one extend the results to small characteristics? Can we prove that for $p > 2g + 1$, Gordan's approach works for any g ?