



## Complex Geometry

### Digest on differential forms

A standard reference for this material is Chapter I, §1-5 in :

R. Bott and L. W. Tu, *Differential Forms in Algebraic Topology*, Springer Verlag, 1982.

Let  $M$  be a differentiable manifold of dimension  $m = \dim(M)$ . A differential form  $\alpha$  of degree  $k$  is a smooth section of the vector bundle  $\wedge^k T_M^*$  : for any point  $x \in M$ ,  $\alpha(x)$  is a  $k$ -multilinear skew-symmetric form on the vector space  $T_{M,x}$ .

In the sequel we will denote by  $\mathcal{A}^k(\mathcal{U})$  the vector space of differential forms of degree  $k$  defined on an open set  $\mathcal{U} \subset M$ . It is a  $\mathcal{C}^\infty(\mathcal{U})$ -module (a differential form can be multiplied by a smooth function).

**Local description.** If  $(x_1, \dots, x_m)$  are local coordinates defined on an open set  $\mathcal{U} \subset M$ , then  $\alpha$  can be written

$$\alpha(x) = \sum_{|I|=k} \alpha_I(x) dx_I$$

where :

- ★  $I := \{1 \leq i_1 < i_2 < \dots < i_k \leq n\}$  is an ordered set of indices (a multi-index) ;
- ★  $\alpha_I : \mathcal{U} \rightarrow \mathbb{R}$  is a smooth function for any  $I$  ;
- ★  $dx_I := dx_{i_1} \wedge \dots \wedge dx_{i_k}$  is the  $I^{\text{th}}$ -term of canonical basis of  $\wedge^k \mathbb{R}^m$ .

In particular, the vector space of differential forms of degree  $k$  defined on a coordinate patch  $\mathcal{U} \subset M$  is a free module of rank  $\binom{m}{k}$  over the ring  $\mathcal{C}^\infty(\mathcal{U})$ .

**Wedge product.** There is a natural product

$$\wedge^k V \otimes \wedge^p V \longrightarrow \wedge^{k+p} V$$

defined for any vector space  $V$ . In particular, it gives rise to a product for differential forms : if  $\alpha$  is a  $k$ -form and  $\beta$  a  $p$ -form, then  $\alpha \wedge \beta$  is a  $(k+p)$ -form. This *wedge product* has the following properties :

- ★ it is  $\mathcal{C}^\infty$ -linear :  $(f\alpha) \wedge (g\beta) = fg(\alpha \wedge \beta)$  for any smooth functions  $f, g$  and for any differential forms  $\alpha$  and  $\beta$  ;
- ★ it is anti-commutative :  $\alpha \wedge \beta = (-1)^{kp} \beta \wedge \alpha$  (with  $k$  and  $p$  their degrees).

In particular, if  $\alpha$  is a 1-form, then we have :  $\alpha \wedge \alpha = -\alpha \wedge \alpha$  and  $\alpha \wedge \alpha = 0$ .

The product can be computed using the local description above. Let us consider  $\alpha(x) = \sum_{|I|=k} \alpha_I(x) dx_I$  and  $\beta(x) = \sum_{|J|=p} \beta_J(x) dx_J$ . By linearity, we have :

$$\alpha \wedge \beta(x) = \sum_{I,J} \alpha_I(x) \beta_J(x) dx_I \wedge dx_J.$$

Using the fact that  $dx_i \wedge dx_i = 0$ , we see that  $dx_I \wedge dx_J = 0$  as soon as  $I \cap J \neq \emptyset$ . On the contrary, if  $I \cap J = \emptyset$ , then  $I \cup J$  is a  $(k+p)$ -tuples of indices and it can be reordered. Finally we have :

$$\alpha \wedge \beta(x) = \sum_{I \cap J = \emptyset} \alpha_I(x) \beta_J(x) \epsilon_{I,J} dx_{I \cup J}$$

where  $\epsilon_{I,J} = \pm 1$  according to the number of permutations needed to reorder  $I \cup J$ .

**Exterior derivative.** Differential forms can be differentiate as smooth functions. There is a well-defined operator  $d$  acting on forms and increasing the degree by one :

$$d : \mathcal{A}^k(\mathcal{U}) \longrightarrow \mathcal{A}^{k+1}(\mathcal{U})$$

and having the following properties :

1. if  $\alpha = f$  is a function (*i.e.* a 0-form), then  $df$  coincides with the tangent map of  $f$  :

$$df_x : T_{M,x} \longrightarrow T_{\mathbb{R},f(x)} = \mathbb{R}.$$

2. the operator  $d$  satisfies the Leibniz rule :

$$d(\alpha \wedge \beta) = d\alpha \wedge \beta + (-1)^k \alpha \wedge d\beta$$

for any forms  $\alpha, \beta$  with  $\deg(\alpha) = k$ .

3. the square of  $d$  vanishes :  $d(d\alpha) = 0$  for any form  $\alpha$ .

In local coordinates, the operator  $d$  has the following expression. First, observe that if  $\alpha = f$  is a function, then

$$df(x) = \sum_{i=1}^m \frac{\partial f}{\partial x_i}(x) dx_i$$

according to Point 1 above. Points 2 and 3 imply that

$$d\alpha(x) = \sum_{i=1}^n \sum_{|I|=k} \frac{\partial \alpha_I}{\partial x_i}(x) dx_i \wedge dx_I = \sum_{i \notin I} \frac{\partial \alpha_I}{\partial x_i}(x) \epsilon_{i,I} dx_{i \cup I}$$

where  $\epsilon_{i,I} = \pm 1$  is the signature of the reordering of  $\{i\} \cup I$ .

**Behavior with respect to smooth mappings.** Any  $\mathcal{C}^\infty$  map  $f : M \rightarrow N$  between smooth manifolds  $M$  and  $N$  of respective dimensions  $m = \dim(M)$  and  $n = \dim(N)$  induces a morphism (called *pull-back*) :

$$f^* : \mathcal{A}^k(N) \rightarrow \mathcal{A}^k(M).$$

Intrinsically, it is defined as follows :

$$f^*(\alpha)_x(v_1, \dots, v_k) = \alpha_{f(x)}(df_x(v_1), \dots, df_x(v_k))$$

where  $\alpha$  is a  $k$ -form on  $N$ ,  $x \in M$  and  $v_1, \dots, v_k$  are tangent vectors to  $M$  at the point  $x$ .

It is not difficult to check that  $f^*$  is compatible with  $d$  and with the wedge product in the following sense :

$$\begin{aligned} f^*(\alpha \wedge \beta) &= f^*\alpha \wedge f^*\beta, \quad \forall \alpha, \beta \in \mathcal{A}^\bullet(N) \\ d(f^*\alpha) &= f^*(d\alpha), \quad \forall \alpha \in \mathcal{A}^\bullet(N). \end{aligned}$$

If  $(x_1, \dots, x_m)$  are local coordinates near a point  $x \in M$  and  $(y_1, \dots, y_n)$  are local coordinates near  $y = f(x)$  then the map  $f$  can be written  $f = (f_1, \dots, f_n)$ . The pull-back of a form  $\alpha(y) = \sum_{|I|=k} \alpha_I(y) dx_I$  is given by :

$$f^*(\alpha)(x) = \sum_{I=\{i_1 < \dots < i_k\}} \alpha_I(f(x)) df_{i_1}(x) \wedge \dots \wedge df_{i_k}(x).$$

In the special case  $n = m = k$ , the form  $\alpha$  is just  $\alpha(y) = g(y) dy_1 \wedge \dots \wedge dy_n$  and the pull-back formula can be read :

$$f^*\alpha(x) = g(f(x)) \text{Jac}(df_x) dx_1 \wedge \dots \wedge dx_n.$$

**De Rham cohomology.** The property  $d^2 = 0$  implies that

$$\text{Im}(d : \mathcal{A}^{k-1}(M) \rightarrow \mathcal{A}^k(M)) \subset \text{Ker}(d : \mathcal{A}^k(M) \rightarrow \mathcal{A}^{k+1}(M))$$

and it legitimates the following definition.

**Definition** (de Rham cohomology groups). The  $k^{\text{th}}$  de Rham cohomology group of a smooth manifold  $M$  is denoted by :

$$H_{\text{dR}}^k(M, \mathbb{R}) := \frac{\text{Ker}(d : \mathcal{A}^k(M) \rightarrow \mathcal{A}^{k+1}(M))}{\text{Im}(d : \mathcal{A}^{k-1}(M) \rightarrow \mathcal{A}^k(M))}.$$

It is naturally a  $\mathbb{R}$ -vector space.

A typical element in this cohomology group is a *closed* form (*i.e.* a form  $\alpha$  with  $d\alpha = 0$ ) up to the addition of an *exact* form (*i.e.*  $\alpha = d\beta$ ). Leibniz rule implies that the product of cohomology classes is well defined (check it!) and the compatibility of  $f^*$  and  $d$  can be rephrase by saying that  $f : M \rightarrow N$  induces a map between cohomology groups :

$$f^* : H_{\text{dR}}^k(N, \mathbb{R}) \rightarrow H_{\text{dR}}^k(M, \mathbb{R})$$

which is a morphism of algebras (with the wedge product).

**Easy computations.**

1. If  $M$  is connected, then  $H_{\text{dR}}^0(M, \mathbb{R}) = \mathbb{R}$ .
2. If  $M$  is compact and oriented then  $H_{\text{dR}}^m(M, \mathbb{R}) \simeq \mathbb{R}$  (with  $m = \dim(M)$ ) and the isomorphism is given by the integration  $[\alpha] \mapsto \int_M \alpha$  (*cf.* [Bott-Tu, Corollary 5.8]). The fact that the latter map is well-defined boils down to the so-called Stokes' formula.
3. The Poincaré lemma (*cf.* [Bott-Tu, Proposition 4.1]) states that :

$$\forall k \geq 1, \quad H^k(\mathbb{R}^n, \mathbb{R}) = 0$$

(any closed  $k$ -form is exact on  $\mathbb{R}^n$  or more generally on a contractible manifold).

**The Künneth formula** This gives the cohomology of a product. It says the following :

**Proposition.** *Let  $M$  and  $N$  be compact manifolds. The cohomology algebra of  $M \times N$  is given by :*

$$H^*(M \times N, \mathbb{R}) \simeq H^*(M, \mathbb{R}) \otimes H^*(N, \mathbb{R}).$$

The tensor product of graded algebra has the following meaning :

$$\forall k \geq 0, \quad H^k(M \times N, \mathbb{R}) \simeq \bigoplus_{i+j=k} H^i(M, \mathbb{R}) \otimes H^j(N, \mathbb{R}).$$

It has to be noticed that both projections

$$M \xleftarrow{p} M \times N \xrightarrow{q} N$$

can be used to define a map on forms :

$$\begin{cases} \mathcal{A}^i(M) \otimes \mathcal{A}^j(N) & \longrightarrow & \mathcal{A}^{i+j}(M \times N) \\ \alpha \otimes \beta & \longmapsto & p^*(\alpha) \wedge q^*(\beta) \end{cases}$$

that induces the isomorphism in the Künneth formula above.