

# Landau Theory

- Introduction

- Many phase transitions exhibit similar behaviors: critical temperature, order parameter...
- Can one find a rather simple “unifying theory” that gives a general “phenomenological” overview of phase transitions ?
- Several approaches :

**Molecular field** (Weiss ~1925): solve the Schrödinger equation for a one particle system but with an effective interaction potential :

$$\hat{H} = \frac{p^2}{2m} + V_{\text{eff}}$$

**Microscopic model** (Ising 1924): solve the Schrödinger equation for “pseudo spins” on a lattice with effective interaction Hamiltonian restricted to first neighbors

$$\hat{H} = \hat{H}_0 - \frac{1}{2} \sum_{i,j} J \sigma_i \sigma_j$$

# Landau Theory

- Introduction

- **Landau Theory :**

- Express a thermo dynamical potential as a function of the order parameter ( $\eta$ ), its conjugated external field ( $h$ ) and temperature.

- Keep close to a stable state  $\rightarrow$  minimum of energy  $\rightarrow$  power series expansion, eg. like:

$$\Phi(\eta) = \Phi_0 + \frac{A}{2}\eta^2 + \frac{B}{4}\eta^4 + \frac{C}{6}\eta^6 + \dots - h\eta$$

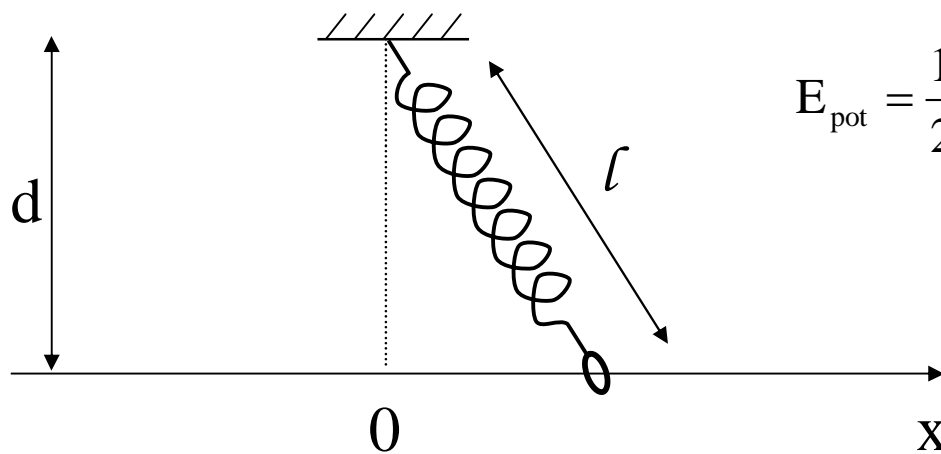
- Find and discuss minima of  $\Phi$  versus temperature and external field.

- Look at thermodynamics' properties (latent heat, specific heat, susceptibility, etc.) in order to classify phase transitions

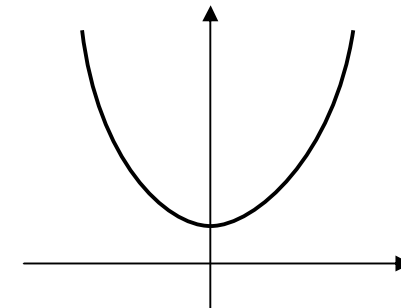
# Landau Theory

- Broken symmetry

- a simple 1D mechanical illustration :



$$E_{\text{pot}} = \frac{1}{2}k \cdot (l - l_0)^2 = \frac{1}{2}k \cdot (\sqrt{d^2 + x^2} - l_0)^2$$

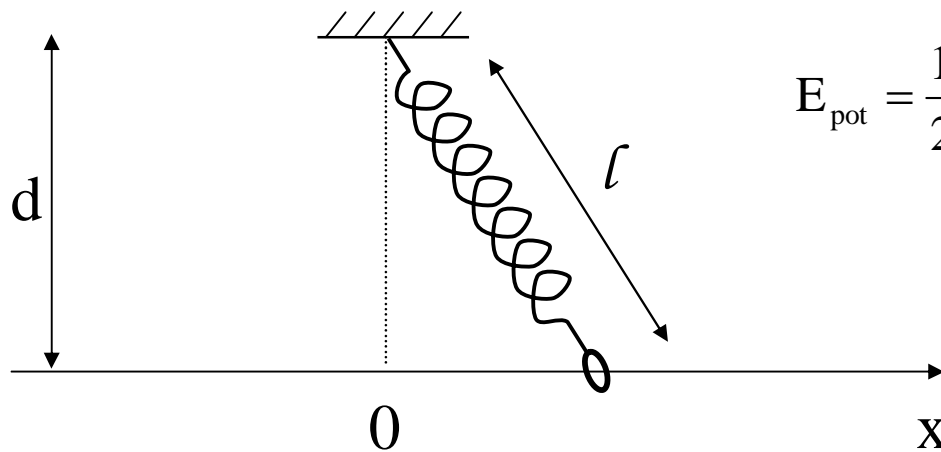


✓ let go with  $d > l_0$  : equilibrium position (minimum energy)  $\rightarrow x = 0$

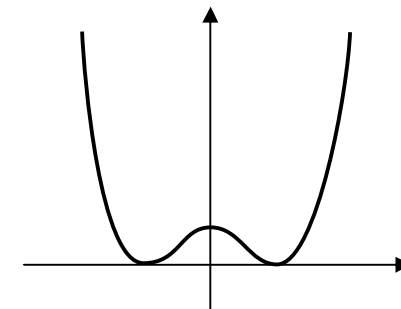
# Landau Theory

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- a simple 1D mechanical illustration :



$$E_{\text{pot}} = \frac{1}{2}k \cdot (l - l_0)^2 = \frac{1}{2}k \cdot (\sqrt{d^2 + x^2} - l_0)^2$$

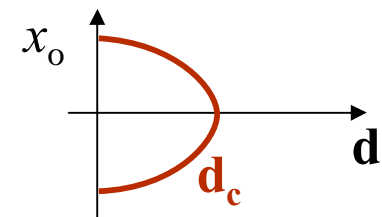


✓ let go with  $d < l_0$  : equilibrium position (minimum energy)  $\rightarrow x = x_0 \neq 0$

*Order parameter*

@ **critical value**  $d_c = l_0 \rightarrow$  spontaneous symmetry breaking

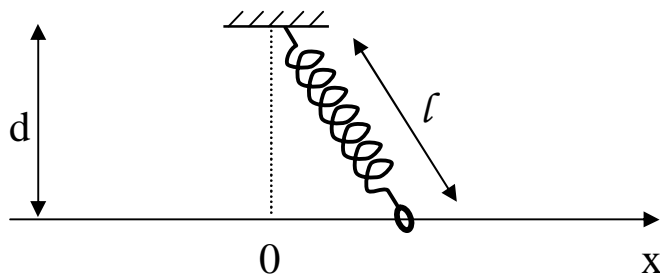
*Only irreversible microscopic events will make the system settle at  $+x_0$  or  $-x_0$  when the system slowly exchanges energy with external world*



# Landau Theory

- Broken symmetry

- a simple 1D mechanical illustration :



$$E_{\text{pot}} = \frac{1}{2} k \cdot (l - l_o)^2 = \frac{1}{2} k \cdot (\sqrt{d^2 + x^2} - l_o)^2$$

$$l = d \cdot \left(1 + \frac{x^2}{d^2}\right)^{1/2} \approx d \cdot \left(1 + \frac{1}{2} \frac{x^2}{d^2} + \dots\right)$$

✓ Taylor expansion of potential (elastic) energy

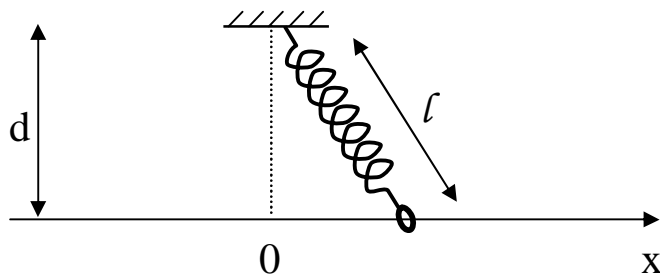
$$(l - l_o)^2 \approx \left( (d - l_o) + \frac{x^2}{2d} \right)^2$$

$$E_{\text{pot}} = \frac{1}{2} k \cdot (l - l_o)^2 \approx \frac{1}{2} k \cdot (d - l_o)^2 + \frac{1}{2} k \cdot 2(d - l_o) \cdot \left(\frac{x^2}{2d}\right) + \frac{1}{2} k \frac{x^4}{4d^2} + \dots$$

# Landau Theory

- Broken symmetry

- a simple 1D mechanical illustration :



$$E_{\text{pot}} = \frac{1}{2} k \cdot (l - l_0)^2 = \frac{1}{2} k \cdot (\sqrt{d^2 + x^2} - l_0)^2$$

✓ Taylor expansion of potential (elastic) energy

$$E_{\text{pot}} \approx E_0 + \frac{1}{2} A \cdot x^2 + \frac{1}{4} B \cdot x^4 + \dots + O(x^6)$$

$$A = A(d) = \frac{k}{d} (d - d_c)$$

**Change sign at  $d=d_c$  !!!**

$$B > 0$$

**Does not change sign**

# Landau Theory

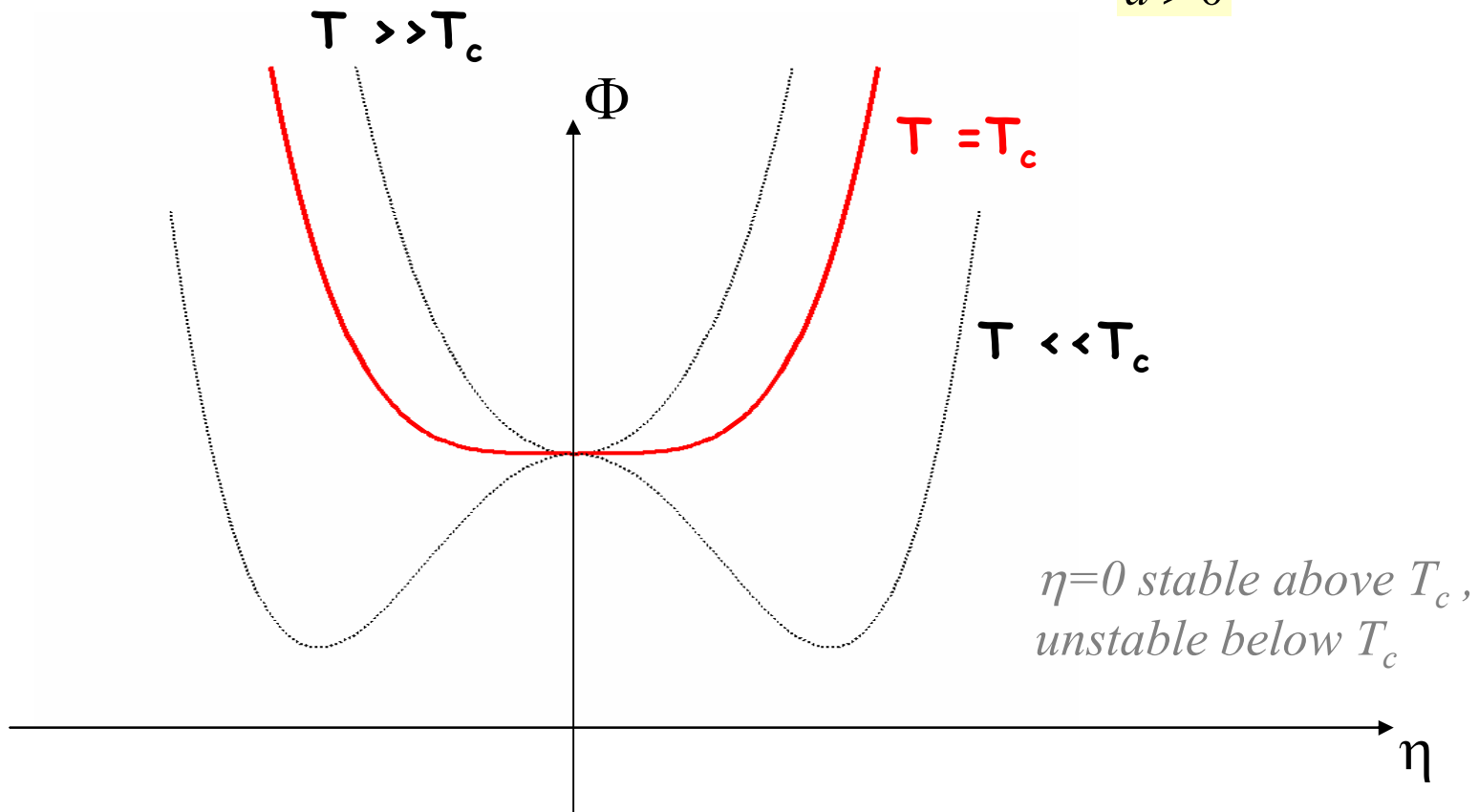
- Second Order Phase Transitions

$$\Phi_h(\eta) \approx \Phi_o + \frac{1}{2}A \cdot \eta^2 + \frac{1}{4}B \cdot \eta^4 - h\eta$$

$h=0$

$$A = a \cdot (T - T_c)$$

$$a > 0$$



# Landau Theory

## • Second Order Phase Transitions

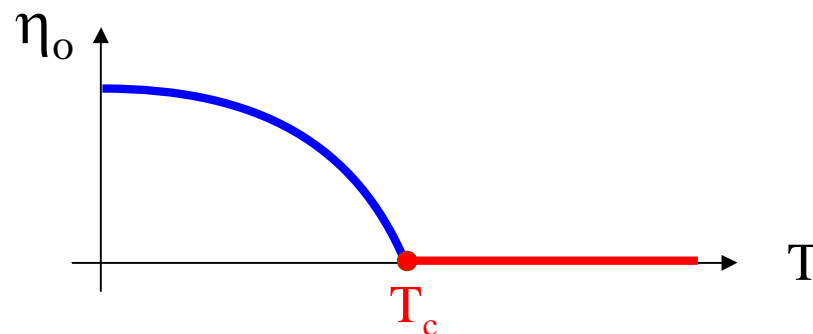
$$\Phi_h(\eta) \approx \Phi_o + \frac{1}{2}A \cdot \eta^2 + \frac{1}{4}B \cdot \eta^4 - h\eta$$

✓ Stationary solution :  $\frac{\partial \Phi}{\partial \eta} = 0$  &  $\frac{\partial^2 \Phi}{\partial \eta^2} \geq 0$

$$A = a \cdot (T - T_c)$$

$$a > 0$$

$$\left. \frac{\partial \Phi}{\partial \eta} \right|_{\eta_o} = \eta_o \cdot (A + B\eta_o^2) = 0 \Rightarrow \begin{cases} \eta_o = 0 & T \geq T_c \\ \eta_o^2 = -\frac{A}{B} = \frac{a(T_c - T)}{B} & T < T_c \end{cases}$$



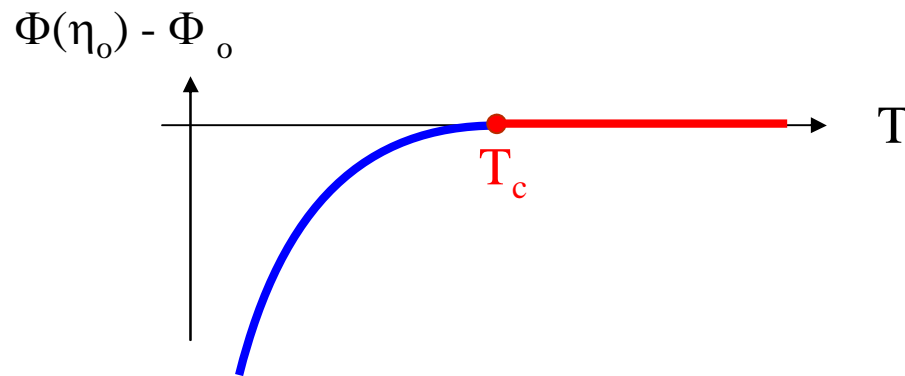


# Landau Theory

## • Second Order Phase Transitions

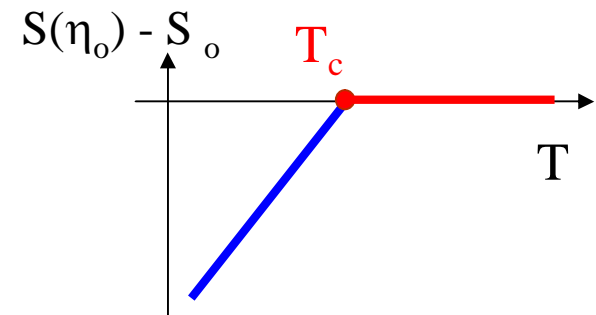
✓ Free energy :

$$\Phi_h(\eta_o) - \Phi_o = \begin{cases} 0 & T \geq T_c \\ -\frac{a^2}{2B}(T_c - T)^2 & T < T_c \end{cases}$$



✓ Entropy :

$$S = -\frac{\partial \Phi_h(\eta)}{\partial T} = -\frac{\partial \Phi_o}{\partial T} - \frac{a^2}{2B}(T - T_c)$$



*No Latent Heat:  $T_c \Delta S = 0$*

# Landau Theory

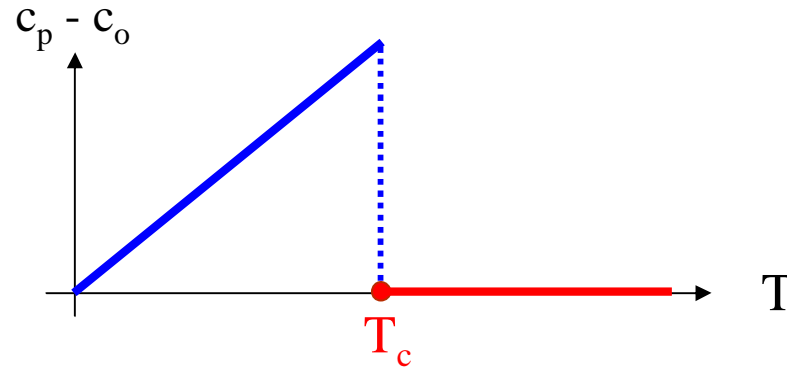
## • Second Order Phase Transitions

✓ Specific heat :

$$c_p = T \frac{\partial S}{\partial T} = -T \frac{\partial^2 \Phi}{\partial T^2} = \begin{cases} c_0 & \\ \frac{a^2 T}{2B} & \end{cases}$$

$$T \geq T_c$$

$$T < T_c$$



# Landau Theory

## • Second Order Phase Transitions

✓ Susceptibility :  $\chi = \frac{\partial \eta}{\partial h}$        $\chi^{-1} = \frac{\partial h}{\partial \eta}$

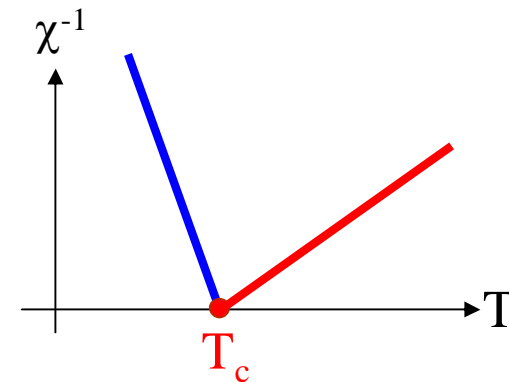
$$\Phi_h(\eta) \approx \Phi_0 + \frac{1}{2}A \cdot \eta^2 + \frac{1}{4}B \cdot \eta^4 - h\eta$$

$$\left. \frac{\partial \Phi_h(\eta)}{\partial \eta} \right|_{\text{eq}} = 0 = \frac{\partial \Phi_{h=0}(\eta)}{\partial \eta} - h$$

$$\chi^{-1} = \frac{\partial h}{\partial \eta} = \frac{\partial^2 \Phi_{h=0}(\eta)}{\partial \eta^2}$$

$$\chi^{-1} = A + 3B\eta_0^2 = \begin{cases} A = a(T - T_c) & T \geq T_c \\ -2A = 2a(T_c - T) & T < T_c \end{cases}$$

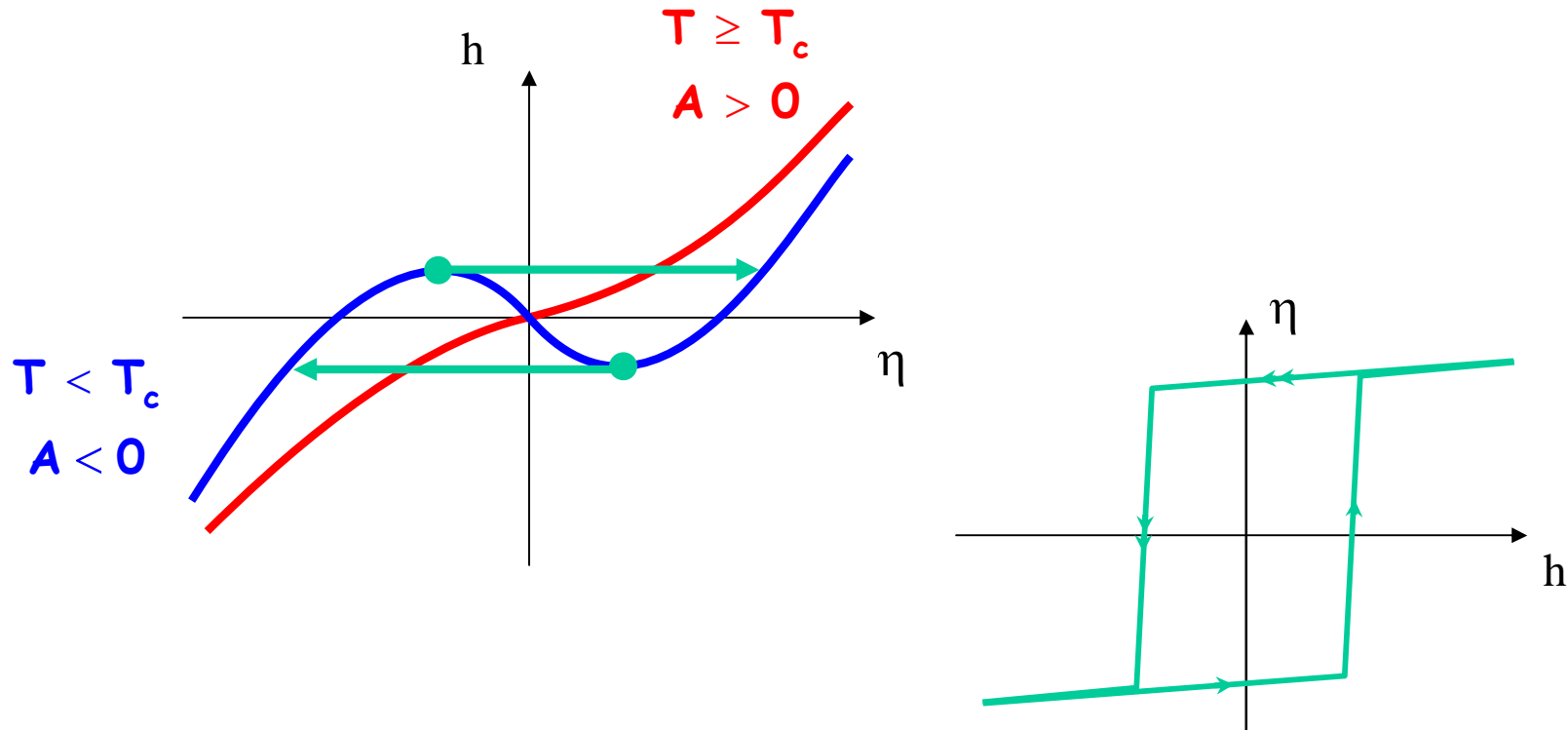
*Curie law*



# Landau Theory

## • Second Order Phase Transitions

✓ field hysteresis : 
$$h = \frac{\partial \Phi_{h=0}(\eta)}{\partial \eta} = A\eta + B\eta^3$$



# Landau Theory

## • Second Order Phase Transitions **SUMMARY**

- ✓ One critical temperature  $T_c$
- ✓ No discontinuity of  $\Phi$ ,  $\eta$ ,  $S$  (no latent heat) at  $T_c$
- ✓ Jump of  $C_p$  at  $T_c$
- ✓ Divergence of  $\xi$  and  $\chi$  at  $T_c$
- ✓ Field hysteresis

# Landau Theory

•First Order Phase Transitions:

$$\Phi_h(\eta) \approx \Phi_o + \frac{1}{2}A \cdot \eta^2 + \frac{1}{4}B \cdot \eta^4 + \frac{1}{6}C \cdot \eta^6$$

$$C > 0$$

$$A = a \cdot (T - T_c)$$

$$B < 0$$

$$a > 0$$

$$\Phi(\eta) \xrightarrow{\eta \rightarrow \infty} \infty$$

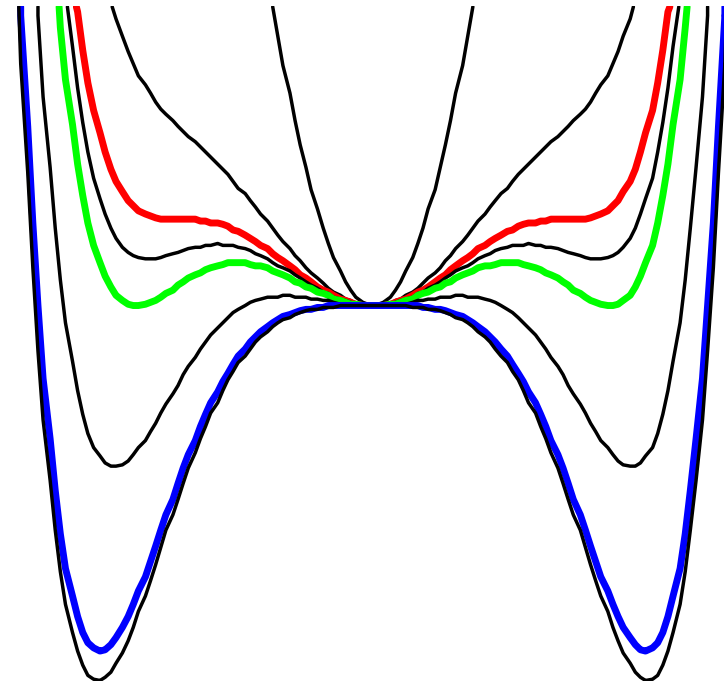
$\eta_o \neq 0$  at  $T < T_c$   $\neq$  from 2<sup>nd</sup> order

$T > T_1$ :  $\eta_o = 0$  stable

$T_1 > T > T_o$ :  $\eta_o = 0$  stable  
 $\eta_o \neq 0$  metastable

$T_o > T > T_c$ :  $\eta_o = 0$  metastable  
 $\eta_o \neq 0$  stable

$T_c > T$ :  $\eta_o \neq 0$  stable



# Landau Theory

•First Order Phase Transitions:

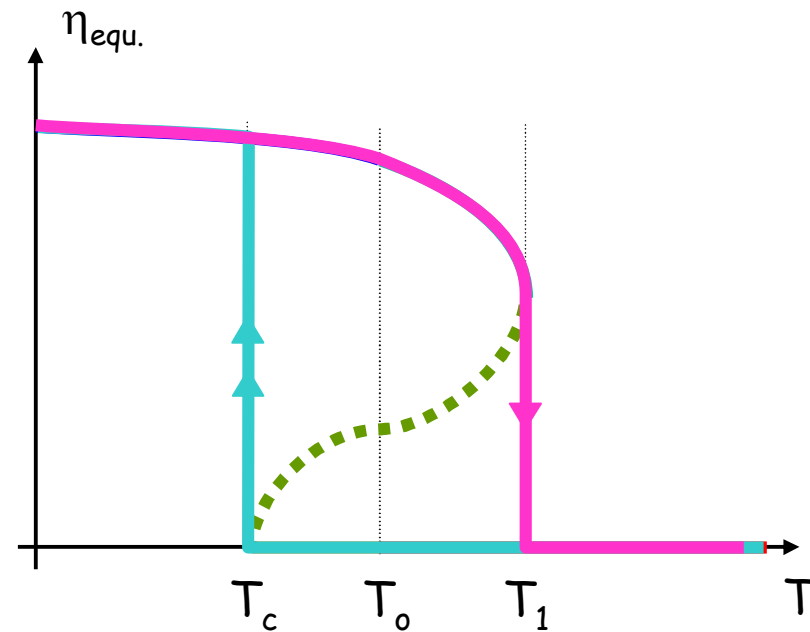
$$\Phi_h(\eta) \approx \Phi_o + \frac{1}{2}A \cdot \eta^2 + \frac{1}{4}B \cdot \eta^4 + \frac{1}{6}C \cdot \eta^6$$

$$C > 0$$

$$A = a \cdot (T - T_c) \\ a > 0$$

$$B < 0$$

- $T > T_1$ :  $\eta_o = 0$  stable
- $T_1 > T > T_o$ :  $\eta_o = 0$  stable  
 $\eta_o \neq 0$  metastable
- $T_o > T > T_c$ :  $\eta_o = 0$  metastable  
 $\eta_o \neq 0$  stable
- $T_c > T$ :  $\eta_o \neq 0$  stable



*Thermal hysteresis*

# Landau Theory

• First Order Phase Transitions:

$$\Phi_h(\eta) \approx \Phi_o + \frac{1}{2}A \cdot \eta^2 + \frac{1}{4}B \cdot \eta^4 + \frac{1}{6}C \cdot \eta^6$$

$$C > 0$$

$$A = a \cdot (T - T_c)$$

$$B < 0$$

$$a > 0$$

✓ Steady state :

$$\left. \frac{\partial \Phi}{\partial \eta} \right|_{\eta_o} = \eta_o \cdot (A + B\eta_o^2 + C\eta_o^4) = 0 \quad T \geq T_c \quad \eta_o = 0$$

$$T < T_c$$

$$\eta_o \neq 0$$

$$\eta_o^2 = \frac{-B \pm \sqrt{B^2 - 4AC}}{2C} > 0$$

$$\Rightarrow B^2 - 4AC > 0$$

limit when  $B^2 - 4AC = 0 \Rightarrow$

$$T_1 = T_c + \frac{B^2}{4aC}$$

$$\eta_o^2(T_1) = \frac{|B|}{2C}$$

$$\eta_o^2(T_c) = \frac{|B|}{C}$$



# Landau Theory

• First Order Phase Transitions:  $\Phi_h(\eta) \approx \Phi_o + \frac{1}{2}A \cdot \eta^2 + \frac{1}{4}B \cdot \eta^4 + \frac{1}{6}C \cdot \eta^6$

$$C > 0$$

$$A = a \cdot (T - T_c) \\ a > 0$$

$$B < 0$$

✓ Steady state :  $T = T_o$

$$\left| \begin{array}{l} \frac{\partial \Phi}{\partial \eta} = \eta_o \cdot (A + B\eta_o^2 + C\eta_o^4) = 0 \\ \Phi - \Phi_o = \eta_o^2 \cdot \left( \frac{1}{2}A + \frac{1}{4}B\eta_o^2 + \frac{1}{6}C\eta_o^4 \right) = 0 \end{array} \right. \Rightarrow \frac{1}{2}B\eta_o^2 + \frac{2}{3}C\eta_o^4 = 0$$

$$\eta_o^2(T_o) = \frac{3|B|}{4C} \Rightarrow T_o = T_c + \frac{3}{4} \cdot \frac{B^2}{4aC}$$

# Landau Theory

• First Order Phase Transitions:

$$\Phi_h(\eta) \approx \Phi_o + \frac{1}{2}A \cdot \eta^2 + \frac{1}{4}B \cdot \eta^4 + \frac{1}{6}C \cdot \eta^6$$

$$C > 0$$

$$A = a \cdot (T - T_c)$$

$$B < 0$$

$$a > 0$$

✓ Entropy :  $T = T_o$

$$S = -\frac{\partial \Phi}{\partial T} = S_o - \frac{\partial}{\partial T} \left( \frac{1}{2}A\eta_o^2 + \frac{1}{4}B\eta_o^4 + \frac{1}{6}C\eta_o^6 \right)$$

*A and  $\eta^2$  depend on T!*

$$-S + S_o = \frac{1}{2}\eta_o^2 \frac{\partial A}{\partial T} + \frac{1}{2} \underbrace{(A + B\eta_o^2 + C\eta_o^4)}_{=0} \frac{\partial \eta_o^2}{\partial T}$$

Latent heat

$$T_o \cdot \Delta S|_{T_o} = \frac{3}{8} \frac{aB}{C} T_o \neq 0$$

# Landau Theory

• First Order Phase Transitions:

$$\Phi_h(\eta) \approx \Phi_o + \frac{1}{2}A \cdot \eta^2 + \frac{1}{4}B \cdot \eta^4 + \frac{1}{6}C \cdot \eta^6$$

$$C > 0$$

$$A = a \cdot (T - T_c)$$

$$a > 0$$

$$B < 0$$

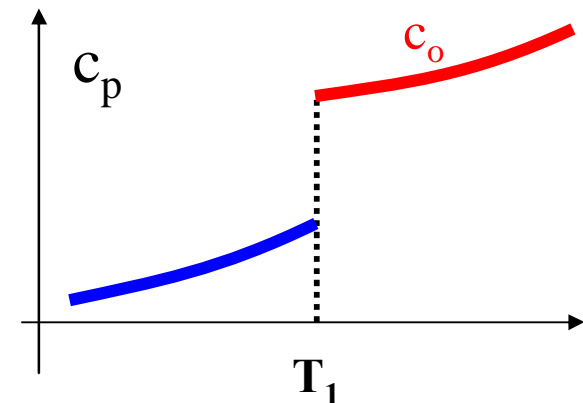
✓ Specific heat :  $T < T_1$

$$S - S_o = -\frac{1}{2} \eta_o^2 \frac{\partial A}{\partial T}$$

$$c_p - c_o = -\frac{1}{2} \frac{\partial A}{\partial T} \cdot \frac{\partial \eta_o^2}{\partial T} - \underbrace{\frac{1}{2} \eta_o^2 \frac{\partial^2 A}{\partial T^2}}_{= 0}$$

$$\eta_o^2 = \frac{|B| + \sqrt{B^2 - 4AC}}{2C}$$

$$c_p - c_o = -\frac{1}{2} \frac{\partial A}{\partial T} \cdot \frac{\partial \eta_o^2}{\partial T} = \frac{-a^2}{2|B|} \cdot \frac{1}{\sqrt{1 - \frac{4aC}{|B|^2}(T - T_c)}}$$



# Landau Theory

• First Order Phase Transitions:

$$\Phi_h(\eta) \approx \Phi_o + \frac{1}{2}A \cdot \eta^2 + \frac{1}{4}B \cdot \eta^4 + \frac{1}{6}C \cdot \eta^6$$

$$C > 0$$

$$A = a \cdot (T - T_c)$$

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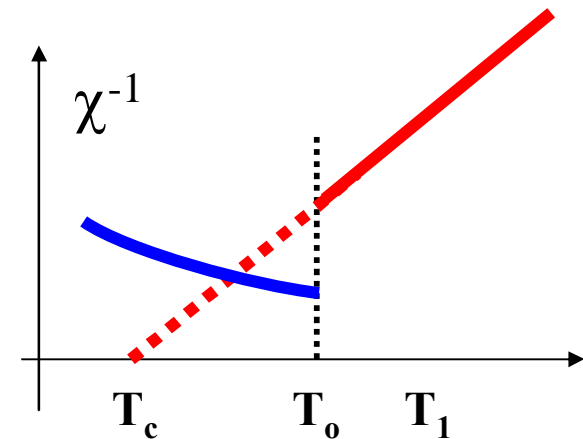
$$a > 0$$

✓ Susceptibility :  $\chi^{-1} = \frac{\partial^2 \phi}{\partial T^2} = A + 3B\eta_o^2 + 5C\eta_o^4$

$$T > T_o : \chi^{-1} = a \cdot (T - T_c)$$

$\eta_o = \text{stable until } T \text{ down to } T_o$

$$\left\{ \begin{array}{l} T < T_o : \chi^{-1} = a \cdot (T - T_c) + 3B\eta_o^2 + 5C\eta_o^4 \\ \eta_o^2 = \frac{|B| + \sqrt{B^2 - 4aC(T - T_c)}}{2C} \end{array} \right.$$



# Landau Theory

## •First Order Phase Transitions **SUMMARY**

- ✓ Existence of metastable phases
- ✓ Temperature domain ( $T_c \leftrightarrow T_1$ ) for coexistence of high and low temperature phases
- ✓ at  $T_o$  ( $T_c < T_o < T_1$ ) both high and low temperature phases are stable
- ✓ Temperature hysteresis
- ✓ Discontinuity of  $\eta$ ,  $\Phi$ ,  $S$  (latent heat),  $C_p$ ,  $\chi$  at  $T_c$

# Landau Theory

- Tricritical point

In the formalism of first order phase transitions, it can happen that B parameter changes sign under the effect of an external field. Then there is a point, which is called tricritical point, where B=0. The Landau expansion then takes the following form:

$$\Phi(\eta) \approx \Phi_0 + \frac{1}{2}A \cdot \eta^2 + \frac{1}{6}C \cdot \eta^6$$

✓ Equilibrium conditions :

$$\left. \frac{\partial \Phi}{\partial \eta} \right|_{\eta_0} = \eta_0 \cdot (A + C\eta_0^4) = 0 \quad \Rightarrow \quad \left\{ \begin{array}{l} \eta_0 = 0 \\ \eta_0^4 = -\frac{A}{C} \end{array} \right.$$

$$\left. \frac{\partial^2 \Phi}{\partial \eta^2} \right|_{\eta_0} = (A + 5C\eta_0^4) = 0 \quad \Rightarrow \quad \left\{ \begin{array}{l} A \text{ pour } \eta_0 = 0 \\ -4A \text{ pour } \eta_0^4 = -\frac{A}{C} \end{array} \right. \quad \Rightarrow \quad \left\{ \begin{array}{l} > 0 \text{ pour } T > T_c \\ > 0 \text{ pour } T < T_c \end{array} \right.$$

# Landau Theory

- Tricritical point

✓ Potential :

$$\Delta\Phi = \Phi - \Phi_0 = \frac{1}{2}A\eta_0^2 + \frac{1}{6}C\eta_0^6$$

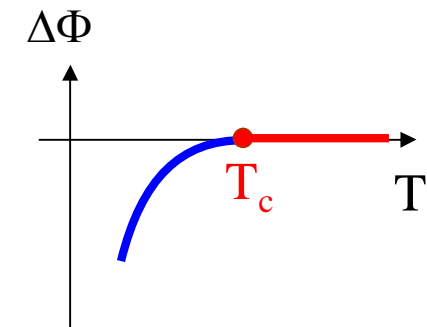
**$T > T_c: \eta = 0$**

$$\Delta\Phi = 0$$

**$T > T_c: \eta \neq 0$**

$$\Delta\Phi = \frac{1}{2}A\left(\frac{-A}{C}\right)^{1/2} + \frac{1}{6}C\left(\frac{-A}{C}\right)^{3/2}$$

$$\Delta\Phi = -\frac{1}{3} \cdot \frac{a^{3/2}}{C^{1/2}} \cdot |T - T_c|^{3/2}$$



# Landau Theory

- Tricritical point

✓ Entropy :

$$S = -\frac{\partial \Phi}{\partial T} = S_o - \frac{\partial}{\partial T} \left( \frac{1}{2} A \eta_o^2 + \frac{1}{6} C \eta_o^6 \right)$$

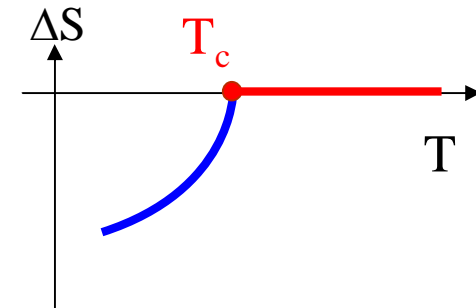
*A and  $\eta^2$  depend on T !*

$$\Delta S = S - S_o = -\frac{1}{2} \eta_o^2 \frac{\partial A}{\partial T} - \frac{1}{2} (A + C \eta_o^4) \frac{\partial \eta_o^2}{\partial T}$$

**$T > T_c: \eta = 0$**        $\Delta S = 0$

**$T < T_c: \eta \neq 0$**        $\Delta S = -\frac{1}{2} \eta_o^2 \frac{\partial A}{\partial T} - \frac{1}{2} \left( A + C \left( \frac{-A}{C} \right) \right) \frac{\partial \eta_o^2}{\partial T}$

$$\Delta S = -\frac{1}{2} \eta_o^2 \frac{\partial A}{\partial T} = -\frac{1}{2} \cdot \frac{a^{3/2}}{C^{1/2}} \cdot |T_c - T|^{1/2}$$





# Landau Theory

- Tricritical point

✓ Specific heat :

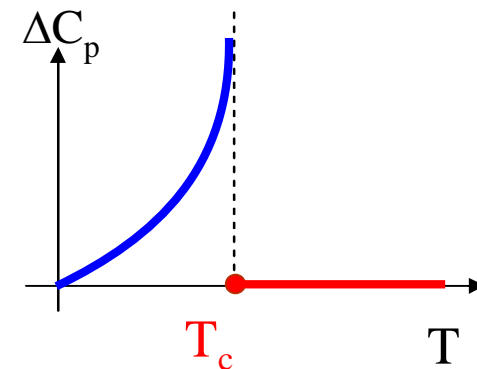
$$\Delta C_p = T \cdot \frac{\partial \Delta S}{\partial T} = T \cdot \frac{\partial}{\partial T} \left( -\frac{1}{2} \eta_o^2 \frac{\partial A}{\partial T} \right)$$

$$\Delta C_p = -\frac{1}{2} T \cdot \left\{ \frac{\partial \eta_o^2}{\partial T} \cdot \frac{\partial A}{\partial T} + \eta_o^2 \cdot \frac{\partial^2 A}{\partial T^2} \right\}$$

**$T > T_c: \eta = 0$**      $\Delta C_p = 0$

**$T > T_c: \eta \neq 0$**      $\Delta C_p = -\frac{1}{2} T \cdot \left\{ \frac{1}{2} (\eta_o^4)^{-1/2} \cdot \frac{\partial \eta_o^4}{\partial T} \cdot a + 0 \right\}$

$$\Delta C_p = \frac{1}{4} \cdot \frac{a^{3/2}}{C^{1/2}} \cdot T \cdot |T - T_c|^{-1/2}$$



# Landau Theory

- Tricritical point

✓ Susceptibility :

$$\chi^{-1} = \frac{\partial^2 \phi}{\partial T^2} = A + 5C\eta_0^4$$

**$T > T_c$ :  $\eta = 0$**

$$\chi^{-1} = A = a \cdot |T - T_c|$$

**$T > T_c$ :  $\eta \neq 0$**

$$\chi^{-1} = -4A = 4a \cdot |T - T_c|$$

