Curvature bounds and heat kernels methods in subriemannian geometry

Fabrice Baudoin

Based on joint works with N. Garofalo, M. Bonnefont, B. Kim, I. Munive, J. Wang

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In Riemannian geometry the Ricci tensor plays a fundamental role. Its connection with the Laplace-Beltrami operator is given by the celebrated Bochner's identity:

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$$\Delta(\|\nabla f\|^2) = 2\|\nabla^2 f\|^2 + 2\langle \nabla f, \nabla \Delta f \rangle + 2\mathsf{Ric}(\nabla f, \nabla f).$$

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$$\Gamma(f,g) = \frac{1}{2} \left(\Delta(fg) - f \Delta g - g \Delta f \right) = \langle \nabla f, \nabla g \rangle$$

and

$$\Gamma_2(f,g) = rac{1}{2} \left(\Delta \Gamma(f,g) - \Gamma(f,\Delta g) - \Gamma(\Delta f,g)
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The curvature dimension inequality on Riemannian manifolds

The Bochner's identity then simply writes

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Theorem

We have $\operatorname{Ric} \ge \rho$ and $\operatorname{dim} \mathbb{M} \le n$ if and only if for every smooth f,

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A basic question is:

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Are there curvature dimension bounds for such structures ?

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Let (\mathbb{M}, θ) be a contact manifold:



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$$\int_{\mathbb{M}} \|\nabla_{\mathcal{H}} f\|^2 \ \theta \wedge (d\theta)^n.$$

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This operator is not elliptic but locally subelliptic of order 1/2. There is one missing direction.

Model spaces in K-contact geometry

► The Hopf fibration

$$\mathbb{S}^1 \to \mathbb{S}^{2n+1} \to \mathbb{CP}^n$$

gives the positively curved model space.



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$$\frac{\partial^2}{\partial r^2} + ((2n-1)\cot r - \tan r)\frac{\partial}{\partial r} + \tan^2 r\frac{\partial^2}{\partial \theta^2}$$

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These are the model spaces of the *K*-contact geometry. A contact triple (\mathbb{M}, θ, g) is K-contact if the Reeb vector field acts by isometry on the horizontal bundle. These geometries are the simplest contact geometries.

The geometry associated associated with subelliptic diffusion operators is the sub-Riemannian geometry.

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Can we throw away the geometry and only work with intrinsic curvatures of Dirichlet forms (Bakry-Ledoux approach to Riemannian geometry) ?

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The main idea is to introduce the vertical intrinsic curvature of the Dirichlet form:

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The main idea is to introduce the vertical intrinsic curvature of the Dirichlet form:

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Theorem (B., Garofalo 2011)

Let \mathbb{M} be a 2n + 1 dimensional K-contact manifold.

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Theorem (B., Garofalo 2011)

Let \mathbb{M} be a 2n + 1 dimensional K-contact manifold. We have $\operatorname{Ric}_{\nabla} \geq \rho_1$ if and only if for every $\nu > 0$,

$$\Gamma_2(f) + \nu \Gamma_2^{\mathcal{T}}(f) \geq \frac{1}{2n} (Lf)^2 + \left(\rho_1 - \frac{1}{\nu}\right) \Gamma(f) + \frac{n}{2} (\mathcal{T}f)^2.$$

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It was conjectured by geometers (Barletta, Dragomir) that the global analysis of K-contact manifolds with Tanno-Ricci lower bounds should parallel the global analysis of Riemannian manifolds with lower Ricci bounds.

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The previous theorem opens the door to the use of the powerful heat kernel methods.

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Let *L* be a diffusion operator defined on a manifold \mathbb{M} . We assume that *L* is symmetric with respect to a smooth measure μ . Assume, additionally, that \mathbb{M} is endowed with a first-order differential bilinear form $\Gamma^{Z}(f,g)$ that satisfies

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In the context of contact manifolds, the commutation is equivalent to the fact that the manifold is K-contact (B., J. Wang 2013).

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Definition (B., Garofalo 2009)

We say that L satisfies the generalized-curvature inequality $CD(\rho_1, \rho_2, \kappa, d)$ if for every $\nu > 0$,

$$\Gamma_2(f) + \nu \Gamma_2^Z(f) \geq \frac{1}{d} (Lf)^2 + \left(\rho_1 - \frac{\kappa}{\nu}\right) \Gamma(f) + \rho_2 \Gamma^Z(f).$$

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$$CD(\rho_1, \rho_2, \kappa, d)$$
 is the linearization of
 $\Gamma_2(f) + 2\sqrt{\kappa\Gamma(f)\Gamma_2^Z(f)} \ge \frac{1}{d}(Lf)^2 + \rho_1\Gamma(f) + \rho_2\Gamma^Z(f).$

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Let M be a *n*-dimensional complete Riemannian manifold wiose Ricci curvature is bounded from below by ρ. The Laplacian of M satisfies the curvature dimension inequality CD(ρ, 0, 0, d) with Γ^Z = 0.

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- Riemannian submersions
- Infinite dimensional examples (B.-Gordina-Melcher, 2012)
Generalized curvature dimension inequality

What is it good for ?

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- Improved Sobolev inequalities and isoperimetric estimates (B., Kim 2012)
- Quasi-invariance results in infinite dimension (B., Gordina, Melcher 2012).

If the parameter ρ_1 is positive, then it is possible to prove sharp Gaussian upper bounds for the heat kernel that lead to (almost) sharp Sobolev-type inequalities.

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If the inequality $CD(\rho_1, \rho_2, \kappa, d)$ holds for some constants $\rho_1 > 0, \rho_2 > 0, \kappa > 0$, then the metric space (\mathbb{M}, d) is compact in the metric topology and we have

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Conjecture: If *L* satisfies the generalized-curvature inequality $CD(\rho_1, \rho_2, \kappa, d)$, with $\rho_1 \ge 0$, then (\mathbb{M}, d) satisfies $MCP\left(0, d\left(1 + \frac{3\kappa}{4\rho_2}\right)\right)$.

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The conjecture is true if L is the sub-Laplacian on a 3 -dimensional K-contact manifold (Agrachev-Lee 2011)

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The general contact curvature dimension condition

On a general contact Riemannian manifold the intertwining

$$\Gamma(f,\Gamma^Z(f))=\Gamma^Z(f,\Gamma(f))$$

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The curvature parameter is now $\rho_1 - \frac{\kappa\sqrt{\rho_3}}{\sqrt{\rho_2}}$

Expectedly, this curvature dimension condition is much more difficult to handle.

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